

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : COMPLIANCE**

Download Ref No.: NSE/COMP/35103

Date: June 13, 2017

Circular Ref. No.: 335/2017

To All Members

Sub: Details of employee training programme by Members.

In reference to Exchange circular ref. no.: NSE/INVG/16703 dated January 05, 2011 Intermediaries must have ongoing employee training programme so that staff of members are adequately trained in Anti Money Laundering (AML) and Countering the Financing of Terrorism (CFT) procedures.

SEBI has requested the Exchange to provide the details sought by Department of Economic Affairs-Ministry of Finance with regards to training programmes against ML/TF implemented by the various financial institutions, including ongoing employee training programmes.

All members are requested to provide the above mentioned details before June 20, 2017 for FY 2014-15, 2015-16 and 2016-17 in the excel format as per the attached Annexure at email id report_mem@nse.co.in with subject line "Details of training". Further trading members are requested to adhere to the format provided in the annexure and provide the data in excel file only.

For and on behalf of

National Stock Exchange of India Limited

Nipa Simaria
Chief Manager

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