

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : COMPLIANCE**

Download Ref. No. : NSE/COMP/ 34353

Date : March 10, 2017

Circular Ref. No. : 314/2017

To All Members,

Sub: Information of sub-brokers.

As per the communication received from SEBI, the Exchange has to collect the following information of sub-brokers affiliated to the trading members:

1. PAN of all the sub-brokers;
2. Email ids of all the sub-brokers;
3. PAN of all the Directors, Shareholders, Key Managerial Personnel & Compliance Officer of the sub-brokers;
4. Email ids of all the Directors, Shareholders, Key Managerial Personnel & Compliance Officer of the sub-brokers;
5. PAN of all the partners of the sub-brokers;
6. Email ids of all the partners of the sub-brokers.

Trading members are requested to provide the above mentioned data before March 17, 2017 in the excel format as per the attached Annexure at email id compliance_mem@nse.co.in with subject line "Information of sub-brokers". Further trading members are requested to adhere to the format provided in the annexure and provide the data in excel file only.

For and on behalf of

National Stock Exchange of India Limited**Nipa Simaria**
Chief Manager

Telephone No	Fax No.	Email id
1800 22 00 51	+91-22-26598428	compliance@nse.co.in