

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref. No. : NSE/COMP/34329	Date : March 06, 2017
Circular Ref. No. : 313/2017	

To All Members,

Sub: Reminder for Sub-broker renewal fees for block of five financial years ending March 2022

This is in furtherance to the Exchange circular ref. no. NSE/COMP/34136 dated February 07, 2017 and ref. no. NSE/COMP/34186 dated February 15, 2017 regarding the provisional list of sub-brokers eligible for renewal fees for block of five financial years ending March 2022 which shall become due on April 01, 2017.

Exchange has again provided list of sub-brokers(s) through FTP (Path: memltrs\dnld) on March 03, 2017 on the basis of applications received by the Exchange for cancellation of sub-broker and conversion of sub-broker into Authorised Person received till **February 28, 2017** from the trading members.

Trading Members are requested to take note that the amount for renewal fees shall be debited to the Exchange Dues account after March 31, 2017 on the basis of above mentioned list sent through FTP unless any further application is received by the Exchange for cancellation of sub-broker or conversion of sub-broker to Authorized Person till **March 20, 2017**. You are requested to keep the account funded for the said purpose.

Further the trading members are requested to note that:

1. In case of any clarification or changes in the list of sub-brokers sent through FTP, kindly send a mail on compliance_mem@nse.co.in with subject "Sub-broker renewal fees";
2. If incomplete application for surrender of sub-broker cancellation is received, then the application will not be considered;
3. If any trading member wishes to submit the application for conversion of sub-broker to Authorized Person then the application for registration into Authorized person should be submitted along with the application for surrender of sub-broker registration.

For and on behalf of

National Stock Exchange of India Limited

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Chief Manager

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