**NATIONAL STOCK EXCHANGE OF INDIA LIMITED**

| DEPARTMENT : COMPLIANCE | |
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| Download Ref. No. : NSE/COMP/34186 | Date : February 15, 2017 |
| Circular Ref. No. : 305/2017 | |

To All Members,

**Sub: Reminder for Sub-broker renewal fees for block of five financial years ending March 2022**

Exchange vide circular ref. no. NSE/COMP/34136 dated February 07, 2017 has intimated the members about the provisional list of sub-brokers eligible for renewal fees for block of five financial years ending March 2022 which shall become due on April 01, 2017.

Members were also provided a provisional list of sub-broker(s) through FTP (Path: memltrs\dnld).

In this regard, members are once again requested to submit the application for surrender of registration of such sub-brokers who do not wish to continue their business after March 31, 2017. The application for surrender should reach the Exchange on or before **March 20, 2017** to avoid collection of renewal fees after March 31, 2017 by way of debit to the Exchange dues account of the respective members.

Further the trading members are requested to note that:

1. In case of any clarification or changes in the list of sub-brokers sent through FTP, kindly send a mail on compliance_mem@nse.co.in with subject "Sub-broker renewal fees";

2. If incomplete application for surrender of sub-broker cancellation is received, then the application will not be considered;

3. If a trading member wishes to submit the application for conversion of sub-broker to Authorized Person then the application for registration into Authorized person should be submitted along with the application for surrender of sub-broker registration.

For and on behalf of
**National Stock Exchange of India Limited**

**Nipa Simaria**
**Chief Manager**

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