

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref No : NSE/COMP/34071	Date : January 27, 2017
Circular Ref. No : 298/2017	

To All Members

Sub: Updation of PAN details of KMP and Directors

As part of compliance with respect to Enhanced Supervision of Stock Brokers/Depository Participants, members are required to identify their KMP (Key Management Personnel) and update their PAN details alongwith the PAN details of their Directors on the Exchange platform.

Members can identify and declare any one or more personnel as their KMP from the given list as per their constitution: CEO or MD or Manager, Company Secretary, CFO, Director/(s), Compliance Officer, Proprietor, Partners and any other competent personnel as identified by the member.

The functionality to update the same has been provided on Member Portal. Detailed procedure is explained at Annexure I.

Members have to comply with the aforementioned requirement latest by June 30, 2017. Members are also required to note that any change in the aforesaid information/details has to be updated within 7 days of such change. Failure to adhere will be treated as non-compliance.

In case of any clarifications/suggestions, members are requested to contact the Membership Compliance department at toll free no. 1800 22 00 51 or at email id: compliance_mem@nse.co.in.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Chief Manager

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