

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref No.: NSE/COMP/33938	Date: January 02, 2017
Circular Ref. No.: 292/2017	

To All Members

Sub: Submission of Compliance Certificate for the quarter ended December 2016.

This is further to the Exchange Circular NSE/COMP/33321 dated September 30, 2016 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended December 2016 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from January 03, 2017 till January 17, 2017), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before January 17, 2017.

For and on behalf of

National Stock Exchange of India Limited

Nipa Simaria
Chief Manager

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