

# National Stock Exchange of India Limited

## Circular

| Department: CAPITAL MARKET SEGMENT |                        |
|------------------------------------|------------------------|
| Download Ref No: NSE/CMTR/64494    | Date: October 10, 2024 |
| Circular Ref. No: 140/2024         |                        |

All Members,

### Mock trading on Saturday, October 12, 2024 – No new version release

In continuation to circular (Download No. 59843) dated December 20, 2023, Exchange will be conducting a mock trading session in the Capital Market Segment on Saturday, October 12, 2024 as per the following schedule:

#### Mock trading from Primary Site:

| Saturday, October 12, 2024                                          | Time      |
|---------------------------------------------------------------------|-----------|
| <b>Trading Session – 1</b>                                          |           |
| Morning Block Deal Window Session-1 open time                       | 10:45 hrs |
| Morning Block Deal Window Session-1 close time                      | 11:00 hrs |
| Pre-Open open time                                                  | 11:00 hrs |
| Pre-Open* close time                                                | 11:08 hrs |
| Special Preopen Session open time (For IPO & Relisted security)     | 11:00 hrs |
| Special Preopen Session** close time (For IPO & Relisted security)  | 11:45 hrs |
| Normal Market open time                                             | 11:15 hrs |
| T+0 Market Open time                                                | 11:15 hrs |
| Call Auction Illiquid session open time (1 session of 30 mins each) | 11:30 hrs |
| T+0 Market Close time                                               | 12:00 hrs |
| T+0 Market Trade modification end time                              | 12:10 hrs |
| Normal market open time for stocks in special preopen session       | 12:00 hrs |
| Auction Market Open Time                                            | 11:30 hrs |
| Auction Market Close Time                                           | 12:10 hrs |
| Normal Market close time                                            | 12:15 hrs |

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Mock trading from BCP site:

| Saturday, October 12, 2024                                           | Time      |
|----------------------------------------------------------------------|-----------|
| <b>Trading Session-2</b>                                             |           |
| Pre-Open open time                                                   | 12:45 hrs |
| Pre-Open* close time                                                 | 12:53 hrs |
| Normal Market open time                                              | 13:00 hrs |
| Call Auction Illiquid session open time (2 session of 60 mins each)* | 13:00 hrs |
| Normal Market close time                                             | 15:00 hrs |
| Closing Session open time                                            | 15:10 hrs |
| Closing Session close time                                           | 15:20 hrs |
| Trade Modification end time                                          | 15:30 hrs |

\* Random closure in last one minute

\*\* Random closure in last ten minute.

| Saturday, October 12, 2024 | Time      |
|----------------------------|-----------|
| Live Re-login start time   | 16:00 hrs |
| Live Re-login close time   | 16:30 hrs |

Members are required to take note of the below important points w.r.t NEAT+ versions:

- Login with the version NEAT+ 7.7.3 shall be discontinued from mock of November 09, 2024.
- NEATPlus versions (7.7.3 and 7.7.4) are compatible with new broadcast parameters (Phase 2). For information regarding market data new broadcast parameters, kindly refer the circular [NSE/MSD/62785](#) dated July 04, 2024. Accordingly, members are required to do the setup on their systems in order to receive the market data broadcast from new parameters.

For other important instructions regarding the mock trading, kindly refer to the following Annexures:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment.

Annexure 3: Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site.

**For and on behalf of**

**National Stock Exchange of India Limited**

**Khushal Shah**

**Associate Vice President**

|                          |                                                  |
|--------------------------|--------------------------------------------------|
| <b>Toll Free No</b>      | <b>Email id</b>                                  |
| 1800-266-0050 (Option 1) | <a href="mailto:msm@nse.co.in">msm@nse.co.in</a> |

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## Annexure – 1

### Important instructions regarding mock trading session

1. Refer to Exchange consolidated circular download ref no NSE/MSD/61777 dated April 26, 2024 for Interactive Connectivity Parameters.
2. Installation procedure for NEAT+ is available on extranet path /common/InstallationProcedure.
3. All the outstanding orders shall be purged before the start of each trading session. Members using NNF software should clear the outstanding orders in their systems before the start of each trading session.
4. Members are requested to refer to circular reference no. NSE/MSD/46441 regarding Testing of software used in or related to Trading and Risk Management. Members may choose to participate either in Mock Trading Session or Simulated environment for fulfilling their regulatory requirements in accordance to SEBI circular no. SEBI/HO/MRD1/DSAP/CIR/P/2020/234 dated November 24, 2020.
5. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
6. With reference to Exchange circular NSE/ISC/51754 dated March 24, 2022 and NSE/ISC/52722 dated June 23, 2022 issued by Investor Services Cell and updated from time to time, only valid and compliant UCC / PAN uploaded by members before cutoff time in UCC system and approved by Exchange shall be available for trading in the mock session. In case of any queries for status of UCC and/or reporting, members may connect with UCI team. ([uci@nse.co.in](mailto:uci@nse.co.in)).
7. Members are requested to note that NOTIS application shall not be available in mock session.
8. Unique Client Code (UCC) will not be validated for the Order entry during contingency time if any.
9. Kindly note Connect2NSE and Extranet facility shall not be available from 06:00 PM to 09:00 PM on October 12, 2024.
10. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, October 14, 2024.
11. Kindly refer to the website of NSE at [www.nseindia.com](http://www.nseindia.com) for any information which may be updated by the Exchange on the mock trading session.
12. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).

## Annexure – 2

### Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in CM segment in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

1. You are able to successfully telnet the Exchange host from the IP you wish to participate.
2. The Box ID with appropriate messages has been created on the IP in the respective segments you wish to participate.
3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.

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4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the respective segments, prior to placing orders in the Mock.
5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of MOCK participation.
  - a. Pro enablement
  - b. CTCL conversion (only dealer ID can be converted)
  - c. Password Reset for Corporate Manger user id
  - d. Unlocking of Corporate Manager user id
  - e. Change of User ID trading rights (viz. PRO to CLI, PRO+CLI, etc)
6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the respective segments.

### **Annexure – 3**

#### **Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site**

1. Kindly note below points after switch over to DR site:
  - a. Trades of primary site will be available in Previous Trades window.
  - b. Trades can be modified/cancelled using Multiple Trade Modification and Cancellation window respectively.
  - c. Messages of primary site will be available in TWS Message Area.
2. If user renames or deletes the User folder and re-login the NEAT+ terminal, then data of primary site shall not be available in the functional window as mentioned above point.