

# National Stock Exchange of India Limited

## Circular

Department: CAPITAL MARKET SEGMENT	
Download Ref No: NSE/CMTR/63915	Date: September 13, 2024
Circular Ref. No: 122/2024	

All Members,

Mock trading on Saturday, September 14, 2024 – New version of NEAT+ 7.7.3

In reference to Exchange circular [NSE/CMTR/63747](#) dated September 04, 2024, regarding modification in duration for call auction in pre-open session for initial public offer (IPO) and relisted scrips and in continuation to circular (Download No. 59843) dated December 20, 2023, Exchange will be conducting a mock trading session in the Capital Market Segment on Saturday, September 14, 2024.

Mock trading from Primary Site:

Saturday, September 14, 2024	Time
Trading Session – 1	
Morning Block Deal Window Session-1 open time	10:45 hrs
Morning Block Deal Window Session-1 close time	11:00 hrs
Pre-Open open time	11:00 hrs
Pre-Open* close time	11:08 hrs
Special Preopen Session open time (For IPO & Relisted security)	11:00 hrs
Special Preopen Session** close time (For IPO & Relisted security)	11:45 hrs
Normal Market open time	11:15 hrs
T+0 Market Open time	11:15 hrs
Call Auction Illiquid session open time (2 sessions of 1 hour each)	11:30 hrs
T+0 Market Close time	13:00 hrs
T+0 Market Trade modification end time	13:10 hrs
Normal market open time for stocks in special preopen session	12:00 hrs
Auction Market Open Time	11:30 hrs
Auction Market Close Time	12:15 hrs
Afternoon Block Deal Window Session – 2 Open time	12:00 hrs
Afternoon Block Deal Window Session – 2 Close time	12:15 hrs

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Contingency Start Time	12:30 hrs
Contingency Close Time	14:00 hrs
Normal Market close time	14:00 hrs

Mock trading from DR Site:

Saturday, September 14, 2024	Time
Trading Session-2	
Pre-Open open time	14:45 hrs
Pre-Open* close time	14:53 hrs
Normal Market open time	15:00 hrs
Call Auction Illiquid session open time (1 session of 30 mins)*	15:00 hrs
Normal Market close time	16:00 hrs
Closing Session open time	16:10 hrs
Closing Session close time	16:20 hrs
Trade Modification end time	16:30 hrs

\* Random closure in last one minute

\*\* Special pre-open session (SPOS) with random closure time of 10 mins shall be conducted for a sample security “DRSCARGO” series “ST” to enable market participants the testing of the same as per aforesaid reference circular [NSE/CMTR/63747](#). Random closure time for remaining securities applicable for SPOS for September 16, 2024 shall be 1 min.

Saturday, September 14, 2024	Time
Live Re-login start time	18:00 hrs
Live Re-login close time	18:30 hrs

Members are required to take note of the below important points w.r.t NEAT+ versions:

- The Exchange shall be releasing new version of NEAT+ 7.7.3. The new version shall be available for download from September 13, 2024, at 18:00 hours onwards on NSE Extranet path /common/NEATPlus773.
- Login with the version NEAT+ 7.7.2 shall be discontinued from mock of September 28, 2024.
- NEATPlus versions (7.7.2 and 7.7.3) are compatible with new broadcast parameters (Phase 2). For information regarding market data new broadcast parameters, kindly refer the circular [NSE/MSD/62785](#) dated July 04, 2024. Accordingly, members are required to do the setup on their systems in order to receive the market data broadcast from new parameters.
- In reference to circular [NSE/CMTR/63747](#) dated September 04, 2024, members who desire to view the number and quantity of cancelled orders placed during pre-open call auction session, are required to use the latest version of NEAT+ version 7.7.3. Details are provided in Annexure 4.

For other important instructions regarding the mock trading, kindly refer to the following Annexures:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment.

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Annexure 3: Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site.

Annexure 4: Additional features in NEATPlus : Dissemination of number & quantity of cancelled orders during pre-open session & dissemination of Indicative close price of security.

For and on behalf of  
National Stock Exchange of India Limited

Khushal Shah  
Associate Vice President

Toll Free No	Email id
1800-266-0050 (Option 1)	<a href="mailto:msm@nse.co.in">msm@nse.co.in</a>

### Annexure – 1

#### Important instructions regarding mock trading session

1. Refer to Exchange consolidated circular download ref no NSE/MSD/61777 dated April 26, 2024 for Interactive Connectivity Parameters.
2. Installation procedure for NEAT+ is available on extranet path /common/InstallationProcedure.
3. All the outstanding orders shall be purged before the start of each trading session. Members using NNF software should clear the outstanding orders in their systems before the start of each trading session.
4. Members are requested to refer to circular reference no. NSE/MSD/46441 regarding Testing of software used in or related to Trading and Risk Management. Members may choose to participate either in Mock Trading Session or Simulated environment for fulfilling their regulatory requirements in accordance to SEBI circular no. SEBI/HO/MRD1/DSAP/CIR/P/2020/234 dated November 24, 2020.
5. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
6. With reference to Exchange circular NSE/ISC/51754 dated March 24, 2022 and NSE/ISC/52722 dated June 23, 2022 issued by Investor Services Cell and updated from time to time, only valid and compliant UCC / PAN uploaded by members before cutoff time in UCC system and approved by Exchange shall be available for trading in the mock session. In case of any queries for status of UCC and/or reporting, members may connect with UCI team. ([uci@nse.co.in](mailto:uci@nse.co.in)).
7. Members are requested to note that NOTIS application shall be available in mock session-2.
8. Kindly note Connect2NSE and Extranet facility shall not be available from 11:00 AM to 03:00 PM on September 14, 2024.
9. Unique Client Code (UCC) will not be validated for the Order entry during contingency time if any.
10. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, September 16, 2024.
11. Kindly refer to the website of NSE at [www.nseindia.com](http://www.nseindia.com) for any information which may be updated by the Exchange on the mock trading session.
12. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).

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## Annexure – 2

### Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in CM segment in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

1. You are able to successfully telnet the Exchange host from the IP you wish to participate.
2. The Box ID with appropriate messages has been created on the IP in the respective segments you wish to participate.
3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the respective segments, prior to placing orders in the Mock.
5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of MOCK participation.
  - a. Pro enablement
  - b. CTCL conversion (only dealer ID can be converted)
  - c. Password Reset for Corporate Manger user id
  - d. Unlocking of Corporate Manager user id
  - e. Change of User ID trading rights (viz. PRO to CLI, PRO+CLI, etc)
6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the respective segments.

## Annexure – 3

### Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site

1. Kindly note below points after switch over to DR site:
  - a. Trades of primary site will be available in Previous Trades window.
  - b. Trades can be modified/cancelled using Multiple Trade Modification and Cancellation window respectively.
  - c. Messages of primary site will be available in TWS Message Area.
2. If user renames or deletes the User folder and re-login the NEAT+ terminal, then data of primary site shall not be available in the functional window as mentioned above point.

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## Annexure – 4

Additional features on NEATPlus:

- Dissemination of number & quantity of cancelled orders during pre-open session
- Dissemination of Indicative Close Price of Security

### A. Dissemination of number & quantity of cancelled orders during pre-open session

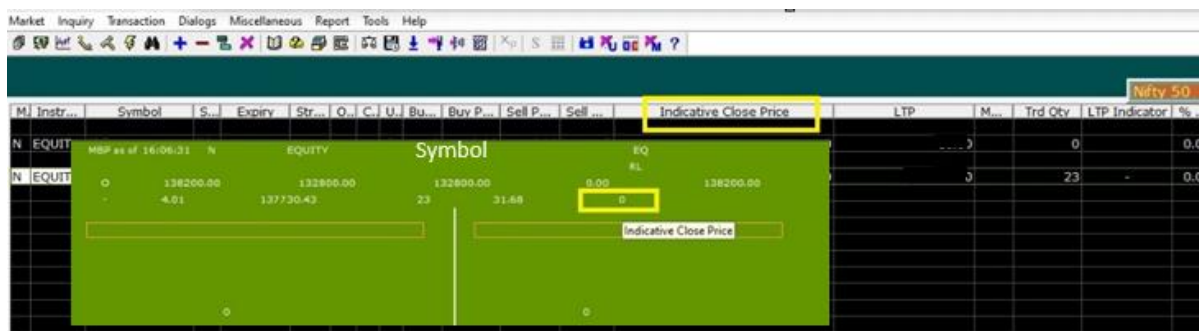
The information regarding order cancellation statistics during the Special pre-open session shall be visible in Market by Price (F6) window in NEATPlus version 7.7.3 & onwards. The same shall also be available to direct connection users via NNF API protocols ( [https://nsearchives.nseindia.com/web/sites/default/files/inline-files/TP\\_CM\\_Trimmed\\_NNF\\_PROTOCOL\\_5.8.pdf](https://nsearchives.nseindia.com/web/sites/default/files/inline-files/TP_CM_Trimmed_NNF_PROTOCOL_5.8.pdf) ) through transcode 7210.



Buy Order Cxl Count	Buy Order Cxl Vol	Sell Order Cxl Count	Sell Order Cxl Vol
15	30	3	44
201	1	12	1
2	1	150.00	1
1	15	158.00	1
1	157	159.85	1
4	1	160.00	17
1	1	180.00	20
378	4461		

### B. Dissemination of Indicative Close Price of Security

1. Indicative Close Price (ICP) of Securities shall be displayed on NEATPlus TWS in MBP (F6) and Market Watch windows. The dissemination of ICP shall commence 30 mins prior to normal market close.
2. The default value of Indicative Close Price (ICP) of securities shall be displayed as zero (0) in TWS till start of dissemination in last 30mins of normal market close.
3. ICP shall be reflected for securities eligible in Normal market only. Other market securities should have '0' as their ICP filed.
4. If market time is extended in the last 30 minutes before market close, ICP will not update for remainder of the session.



Symbol	Indicative Close Price	LTP	Trd Qty
N EQUITY	0.00	132800.00	23