

National Stock Exchange Of India Limited
Department : CAPITAL MARKET SEGMENT

Download Ref No: NSE/CMTR/41305

Date : June 13, 2019

Circular Ref. No: 72/2019

All Members,

Mock trading on Saturday, June 15, 2019 and Sunday, June 16, 2019 - New version of NEAT 9.40.0 and NEAT+ 7.3.3

This is in reference to circular no NCL/CMPT/41295 dated June 12, 2019 regarding “Interoperability among Clearing Corporations” issued by NSE Clearing Limited. The Exchange will be conducting a mock trading session in the Capital Market Segment on Saturday, June 15, 2019 and Sunday, June 16, 2019. **Members are requested to note that functional changes in the Trading Platform due to Interoperability as communicated vide Exchange Circulars NSE/CMTR/41018 dated May 17, 2019 and NSE/CMTR/41180 dated May 31, 2019 shall be effective from June 17, 2019.**

The schedule for the aforesaid mock session is as below:

Particulars	Mock Date	
	June 15, 2019	June 16, 2019
	Time	Time
Trading Session		
Morning Block Deal Window Session-1 open time	09:45 hrs	09:45 hrs
Morning Block Deal Window Session-1 close time	10:00 hrs	10:00 hrs
Pre Open open time	10:00 hrs	10:00 hrs
Pre Open* close time	10:38 hrs	10:23 hrs
Special Preopen Session open time (For IPO & Relisted security)	10:00 hrs	10:00 hrs
Special Preopen Session * close time (For IPO & Relisted security)	10:53 hrs	10:38 hrs
Normal Market open time	10:45 hrs	10:30 hrs
Normal market open time for stocks in special preopen session	11:00 hrs	10:45 hrs
Normal Market close time	14:30 hrs	13:00 hrs
Call Auction Illiquid session open time (June 15,2019 - 2 sessions of 1 hour each June 16,2019 - 1 session of 1 hour)	11:30 hrs	10:30 hrs
Call Auction Illiquid session close time*	13:30 hrs	11:30 hrs
Auction Market open time	12:00 hrs	10:45 hrs
Auction Market close time	13:15 hrs	11:30 hrs
Afternoon Block Deal Window Session-2 open time	12:05 hrs	10:45 hrs
Afternoon Block Deal Window Session-2 close time	12:20 hrs	11:00 hrs
Closing Session open time	14:40 hrs	13:10 hrs
Closing Session close time	14:50 hrs	13:20 hrs
Trade Modification end time	15:00 hrs	13:30 hrs

Contingency Test Start Time	-	11:00 hrs
Contingency Test End Time	-	12:40 hrs
Re-login Session		
Live Re-login start time	-	15:00 hrs
Live Re-login close time	-	15:30 hrs

* Random closure in last one minute

The Exchange shall be releasing new version of NEAT 9.40.0 & NEAT+ 7.3.3. The new versions shall be available for download from June 14, 2019 at 17:00 hours onwards on NSE Extranet path /common/NEAT940 & /common/NEATPlus733 respectively.

Login with the older version NEAT CM 9.39.0 and NEAT+ 7.3.2 shall be discontinued from next mock.

For other important instructions regarding the mock trading, kindly refer to the following Annexures:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Additional Features.

For and on behalf of
National Stock Exchange of India Limited

Khushal Shah
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Annexure – 1**Important instructions regarding mock trading session**

1. Refer to Exchange circular download ref no NSE/MSD/40008 dated January 22, 2019 for Interactive Connectivity Parameters.
2. Installation procedure for NEAT and NEAT+ is available on extranet path /common/Installation_Procedure.
3. Members are requested to note that the Exchange Contingency Tests shall be carried out as per the timing mentioned above. Members are requested to actively participate and plan their activities accordingly.
4. All the outstanding orders shall be purged before the start of each trading session. Members using NNF software should clear the outstanding orders in their systems before the start of each trading session.
5. Members having approved algorithmic software can participate in the mock session in order to adhere to circular 41/2018 (Download No. 38935) dated September 21, 2018.
6. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
7. **Members are requested to note that Trader report “DDMMYYYY_TMCODE_x_P.txt.gz” & “DDMMYYYY_TMCODE.txt.gz” shall be downloaded on the extranet folder on Saturday June 15, 2019 for testing purposes only. Members opting for interoperability are required to refer the updated content of these reports as attached as Part C of this circular. There shall be no change in structure of these reports.**
8. **Members are requested to note that NOTIS application shall be available for testing on both mock days.**
9. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, June 17, 2019.
10. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
11. In case of any queries please call Toll Free no: 1800 266 0053.

Annexure – 2**Additional Features**

With reference to Exchange circular download reference no. NSE/CMTR/41018 dated May 17, 2019 regarding Interoperability – Functional Changes in Trading Platform, members are requested to take note of the following:

1. Trade Modification facility :

- The Trade Modification facility shall be available to members till 4:15pm after which it will stop as and when the generation of final Bhavcopy is commenced by the Exchange. Trading Members are thus requested to ensure that trade modifications are completed before 4:15 pm. The Exchange shall not entertain any requests to extend the said time.
- Corporate Manager (CM) has a provision to enable / disable Trade modification functionality for all users including Corporate Manager, Branch Managers and Dealers. CM can invoke the trade modification status window under following menu options:
 - In NEAT+ version: “Dialogs” or via shortcut “Ctrl + Shift + S”
 - In NEAT version: Supplementary Menu
- For modification of trades the following menu options are available to Dealers / Branch Managers/ Corporate Managers:
 - In NEAT+ version: Individual Trades can be modified with shortcut (Shift + F5). The bulk trade modification facility can be availed by clicking function key (Alt + F9) in Menu>Transaction> Multiple Trade Modification
 - In NEAT version: Individual Trades can be modified with shortcut (Shift + F5)

2. Close out Facility by Clearing Member on behalf of Trading Member

- As per “Guidelines on Interoperability among Clearing Corporations” issued by NCL vide Circular Download Ref No. NCL/CMPT/40855 dated April 27, 2019, the close out facility shall be available to clearing members to square off the positions of their trading members. The said facility can be invoked in NEAT+ version by menu “Transaction > Close Out Order Entry” or via shortcut “Ctrl+Shift+E”.
- Clearing Members are solely responsible for the correctness of the PAN provided for respective Trading Member in “Order Entry Default” menu (CTRL+E) while closing out the position in TM’s pro account.