

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : CAPITAL MARKET SEGMENT

Download Ref No : NSE/CMTR/37852	Date : May 28, 2018
Circular Ref. No : 42/2018	

All Members,

Mock trading (Contingency) on Saturday, June 02, 2018, new version of NEAT CM 9.34.0

In continuation to our circular (Download No. 36490) dated December 13, 2017, Exchange will be conducting a mock trading (contingency) session in the Capital Market Segment on Saturday, June 02, 2018 as per the following schedule:

Saturday, June 02, 2018	Start Time	End Time
Trading Session- 1		
Morning Block Deal Window Session-1	09:45 hrs	10:00 hrs
Pre Open*	10:00 hrs	10:08 hrs
Normal Market	10:15 hrs	14:20 hrs
Call Auction Illiquid session (3 sessions of 1 hour each) *	10:30 hrs	13:30 hrs
Auction Market	11:30 hrs	12:00 hrs
Afternoon Block Deal Window Session-2	12:15 hrs	12:30 hrs
Trading Session- 2		
Pre Open*	15:00 hrs	15:05 hrs
Normal Market	15:10 hrs	15:30 hrs
Closing Session	15:40 hrs	15:50 hrs

* Random closure in last one minute

Saturday, June 02, 2018	Start Time	End Time
Re-Login time	17:30 hrs	18:00 hrs

Members are requested to refer circular no NSE/CMTR/37849 dated May 28, 2018 for configuration of new TAP EXE.

The Exchange shall be releasing new version of NEATCM 9.34.0. The new versions shall be available for download from June 01, 2018 at 17:00 hours onwards on NSE EXTRANET path /common/NEAT934.

Accordingly, Members shall be able to login to live trading system from June 04, 2018 onwards with the following combination of NEAT/NEAT+ and TAP versions only –

NEAT/NEAT+ Version	TAP version
NEAT CM 9.33.0 NEAT+ 7.2.6	Version 1.1.24 for Windows Normal TAP Version 7.0.28 for Linux Normal TAP Version 1.1.20 for FIX TAP
NEAT CM 9.34.0	Version 1.1.32 for Windows Normal TAP Version 7.0.36 for Linux Normal TAP Version 1.1.23 for FIX TAP

Login with the older version NEAT CM 9.33.0 shall be discontinued from August 04, 2018.

For other important instructions regarding the mock trading, kindly refer to the following Annexures:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Additional Features

Annexure 3: Installation procedure for NEAT CM 9.34.0

Annexure 4: Precautions and procedure for reverting to earlier version.

Annexure 5: Parameters for Login without TAP

Annexure 6: Parameters for Login for Trade Drop Copy Facility

**For and on behalf of
National Stock Exchange of India Limited**

**Khushal Shah
Associate Vice President**

Toll Free No	Fax No	Email id
1800 266 0053	+91-22-26598155	msm@nse.co.in

Annexure – 1

Important instructions regarding mock trading session

1. Members are requested to note that the Exchange Contingency Tests shall be carried out between 13:00 hrs to 14:20 hrs. Members are requested to actively participate and plan their activities accordingly.
2. All the outstanding orders shall be purged before the start of trading session 2. Members using NNF software should clear the outstanding orders of session 1 in their systems before start of trading session 2.
3. Trades resulting from this session shall not attract any obligation in terms of funds and/or securities pay-in and/or pay-out. Kindly do not transfer any data files for this session.
4. Members having approved algorithmic software are required to adhere to circular 082/2013 (Download No. 24583) dated September 27, 2013 for mandatory participation in mock trading (contingency) session.
5. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, June 04, 2018.
6. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
7. In case of any queries please call Toll Free no: 1800 266 0053

Annexure – 2

Additional Features

In continuation to the Exchange circular (Download No. 37518) dated April 12, 2018, new NEAT CM 9.34.0 is being released with following features:

1. Client Master Maintenance
 - a. PAN (Permanent Account Number) field:
 - i. For Non CP clients, PAN shall be mandatory. If the client is exempted from PAN, 'PAN_EXEMPT' should be provided in the PAN Field.
 - ii. For CP client, PAN shall be optional
 - b. [Delete All] button for deleting existing clients maintained in Client Master.
2. The PAN as mentioned in the client master, shall be auto fetched and sent during order entry for the respective client. The PAN shall be displayed in the order confirmation message on message area.

Further, members can follow below mentioned steps for bulk PAN Modification of clients present in client master:

- a. Save the existing client details by clicking on [Save] button. This will create a file with the existing client's details from client master.
- b. Delete the existing records in client master by clicking [Delete All] button.
- c. Add and save the PAN details as the last column of the client master file saved in step (i) above.
- d. Browse and upload the saved client file by clicking [Upload] button

Annexure – 3

Installation procedure for NEAT CM 9.34.0

NEAT CM Version 9.34.0

Precautions:

- The new version shall be available from June 01, 2018 at 17:00 hours onwards on NSE EXTRANET path- /common. Kindly install the new version only after end of live trading hours and after all end of day activities have been completed on Friday, June 01, 2018.
- Take back up of all report files before upgrading the software.
- Take back up of client master through client master maintenance.
- Take back up of debarred client master through debarred client master maintenance.
- Members who wish to retain their existing market watch screen are advised to take backup of the files 'mw****.dat (**** = userid). This file can be found at '\NTNSECM\BACKUP' directory on the log drive.
- Members who wish to retain their existing set charges for Net Position are advised to take backup of the files 'BakSetCharges****.dat (**** = userid). This file can be found at '\NTNSECM\BACKUP' directory on the log drive.
- Use cmneattdr.bat for installing the new NEAT exe.

Pre-requisites:

- **Application will support OS - Windows 7 and above**
- **Recommended resolution for Neat-CM TWS is 1024 X 768**

Installation:

- Installation files shall be available after 17:00 hrs on Friday, June 01, 2018 on path /common/NEAT934 on NSE EXTRANET.
- Download the files NTRELTDR.9.34.0& cmneattdr.bat into (C :) in your local hard disk.
- Go to MSDOS prompt
- Execute command - cd C:\
- Type cmneattdr.bat and press "enter"
- Set up the NEATCM icon on the Desk top and click on the icon

Updating the new version with latest data:

- Download following files from NSE EXTRANET path /common/NTNEAT (log on drive) in your local hard disk:
 1. participant.gz
 2. security.gz
 3. contract.gz.
 4. FreeFloat.txt
- Unzip the above files and from the log on screen click on the “LOAD DB” button to update the security and participant details.

Annexure – 4

Precautions and procedure for reverting to earlier version

These instructions are required to be followed to ensure that members are able to revert to old version of NEAT CM 9.33.0.

Steps to be followed for reverting to old version of NEAT CM:

NEAT CM:

- Installation files for old version can be taken from path (/common/NEAT933) on NSE EXTRANET
- Download the files cmneattdr.bat & ntreltdr.9.33.0 into (C :) in your local hard disk, if prompted, choose to overwrite the existing files.
- Rename the existing NTNSECM directory
- Go to MSDOS prompt and Execute command: cd C:\
- Type: cmneattdr.bat and press "enter"
- Set up the NEATCM icon on the Desk top and click on the icon.
- Place the old mw****.dat (****=user id) file in C: \NTNSECM\BACKUP directory.
- Place the old BakSetCharges****.dat(****=user id) file in C:\NTNSECM\BACKUP directory
- Download the latest security.gz, participant.gz, FreeFloat.txt and contract.gz file from NSE EXTRANET path /common/ntneat and unzip it on your log on drive (this step is very important to ensure trading on Monday, June 04, 2018).
- From the log on screen click the “LOAD DB” button to update the security and participant details

Note: In case of reverting to older version of NEAT members also need to revert to the older version of TAP.

Annexure 5

Parameters for Login without TAP

For Login through Existing protocol (Available till August 3, 2018)

Primary (BKC) / DR site

Gateway Router IP Address	Port
172.19.12.80	10810

Gateway IPs Subnet ranges –

Network	172.19.12.0
Mask	255.255.255.128
Port	10810

For Login through NEW protocol with changes related to order/trade structure
(Mandatory from August 04, 2018)

Primary (BKC) / DR site

Gateway Router IP Address	Port
172.19.12.85	10815

Gateway IPs Subnet ranges –

Network	172.19.12.0
Mask	255.255.255.128
Port	10815

Note: Login without TAP using Normal API shall be discontinued w.e.f August 04, 2018.

Annexure 6:

Parameters for Login for Trade Drop Copy Facility

Dropcopy parameters for Login through Existing protocol

Dropcopy Gateway IP Address	Port
172.19.12.47	10850

Dropcopy parameters for Login through NEW protocol with changes related to order/trade structure. (Mandatory from August 04, 2018)

Dropcopy Gateway IP Address	Port
172.19.12.48	10850