

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : PRIMARY MARKET SEGMENT

Download Ref No : NSE/CMTR/33999

Date : January 12, 2017

Circular Ref. No : 02 / 2017

All Members

Introduction of e-ETF facility under e-IPO Module – Mock Session

The Exchange has received approval from the Securities and Exchange Board of India (SEBI) to extend its stock exchange mechanism currently being used for accepting subscriptions for Sovereign Gold Bonds (SGBs) to Further Fund Offer (FFO) of CPSE ETF.

With an objective to facilitate acceptance of subscriptions for the FFO of CPSE ETF through Stock Exchange mechanism, an online facility for the investment and subscription of ETF shall be available to trading members of capital market segment with valid ARN on existing web based E-IPO platform. Subscription entry for ETF shall be available through single bid entry and bulk upload facility. The file format for bulk upload of demat mode is enclosed as Annexure-1.

In order to familiarize with the new facility, the Exchange shall be providing a Mock session for new 'e-ETF' on E-IPO platform on **13th, 16th and 17th January, 2017**.

To participate in the Mock session, members are requested to click on 'I Accept Terms & Conditions' available under Misc tab 'e-ETF' menu and enter valid ARN no from ADMIN login and thereafter enter applications for subscription from User login.

The mock parameters for mock session are as follows.

URL for Mock Session	http://121.241.2.63/eipo/bidux/login.jsp
Symbol	CPSEETF
Series	GR
Issue Type	ETF
Mode	Demat
Sub Categories	FI, IC, MF, FII, OTH, CO, IND, NOH, NRI, HUF
Issue Session Time	10:00 AM to 04:00 PM

Application Amount:

Sr. No	Category of Investor	Minimum Investment Amount	Maximum Investment Amount
1	Qualified Institutional Buyers	Rs. 2,00,001/- and in multiples of Re. 1/- thereafter	Rs. 6000/- Crore
2	Non Institutional Investors	Rs. 2,00,001/- and in multiples of Re. 1/- thereafter	Rs. 6000/- Crore
3	Retail Individual Investors	Rs. 5,000/- and in multiples of Re. 1/- thereafter	Rs. 2,00,000/-

Kindly participate actively in the mock session and in case of any queries please call Toll Free no:
1800 266 00 53.

For and on behalf of
National Stock Exchange of India Ltd

Khushal Shah
Chief Manager

Toll Free No	Fax No	Email id
1800 266 0053	022-26598447	msm@nse.co.in

Annexure-1

Bulk Upload Order Entry File Format- Demat Mode (Comma separated file [csv] without header)

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory	Remarks
1	Symbol	AlphaNumeric 12	Mandatory	
2	Series	AlphaNumeric 2	Mandatory	
3	Sub Category	AlphaNumeric 5	Mandatory	
4	Application Amount	Numeric 15	Mandatory	
5	DP Name	Char 4	Mandatory	NSDL/CDSL
6	DP ID	AlphaNumeric 8	Non Mandatory	Mandatory in case of NSDL
7	Ben ID	AlphaNumeric 16	Mandatory	NSDL (8) & CDSL (16)
8	PAN No	AlphaNumeric 10	Mandatory	
9	ARN No	AlphaNumeric 16	Mandatory	ARN-XXXXXXXXXX
10	Advisory / Execution	Char 1	Mandatory	A-Advisory; E-Execution
11	EUIN No	AlphaNumeric 16	Non Mandatory	Mandatory in case of Advisory
12	Sub Broker Code	AlphaNumeric 16	Non Mandatory	
13	Reference Number	AlphaNumeric 16	Non Mandatory	
14	Order Number	Numeric 16		BID ID Put 0 or blank in case of fresh order (will be replaced by order number in out file)
15	Activity Type	Char 1	Mandatory	N-New entry M-Modification D-Deletion

Bulk Upload Order Entry Success File

S. No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory	Remarks
1	Symbol	AlphaNumeric 12	Mandatory	
2	Series	AlphaNumeric 2	Mandatory	
3	Sub Category	AlphaNumeric 5	Mandatory	
4	Bid Amount	Numeric 15	Mandatory	
5	DP Name	Char 4	Mandatory	NSDL/CDSL
6	DP ID	AlphaNumeric 8	Non Mandatory	Mandatory in case of NSDL
7	Ben ID	AlphaNumeric 16	Mandatory	NSDL (8) & CDSL (16)
8	PAN No	AlphaNumeric 10	Mandatory	
9	ARN No	AlphaNumeric 16	Mandatory	ARN-XXXXXXXX
10	Advisory / Execution	Char 1	Mandatory	A-Advisory; E-Execution
11	EUIN No	AlphaNumeric 16	Non Mandatory	Mandatory in case of Advisory
12	Sub Broker Code	AlphaNumeric 16	Non Mandatory	
13	Reference Number	AlphaNumeric 16	Non Mandatory	
14	Order Number	Numeric 16		BID ID Put 0 or blank in case of fresh order (will be replaced by order number in out file)
15	Activity Type	Char 1	Mandatory	N-New entry M-Modification D-Deletion
16	Application Number	AlphaNumeric 16	Mandatory	Auto generated
17	User	AlphaNumeric 30	Mandatory	Will be the login id

Bulk Upload Order Entry Error File

S. No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory	Remarks
1	Symbol	AlphaNumeric 12	Mandatory	
2	Series	AlphaNumeric 2	Mandatory	
3	Sub Category	AlphaNumeric 5	Mandatory	
4	Bid Amount	Numeric 15	Mandatory	
5	DP Name	Char 4	Mandatory	NSDL/CDSL
6	DP ID	AlphaNumeric 8	Non Mandatory	Mandatory in case of NSDL
7	Ben ID	AlphaNumeric 16	Mandatory	NSDL (8) & CDSL (16)
8	PAN No	AlphaNumeric 10	Mandatory	
9	ARN No	AlphaNumeric 16	Mandatory	ARN-XXXXXXXX
10	Advisory / Execution	Char 1	Mandatory	A-Advisory; E-Execution
11	EUIN No	AlphaNumeric 16	Non Mandatory	Mandatory in case of Advisory
12	Sub Broker Code	AlphaNumeric 16	Non Mandatory	
13	Reference Number	AlphaNumeric 16	Non Mandatory	
14	Order Number	Numeric 16		BID ID Put 0 or blank in case of fresh order (will be replaced by order number in out file)
15	Activity Type	Char 1	Mandatory	N-New entry M-Modification D-Deletion
16	Application Number	AlphaNumeric 16	Non Mandatory	Auto generated
17	User	AlphaNumeric 30	Mandatory	Will be the login id
18	Error message	AlphaNumeric 100	Mandatory	