

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : CAPITAL MARKET SEGMENT

Download Ref No : NSE/CMTR/29601

Date : April 30, 2015

Circular Ref. No :022/ 2015

All Members,

Mock trading (Contingency) on Saturday, May 09, 2015, new version of NEAT CM 9.25.0 & NEAT+ 7.1.4

In continuation to our circular 68/2014 (Download No. 28367) dated December 16, 2014, Exchange will be conducting a mock trading (contingency) session in the Capital Market Segment on Saturday, May 09, 2015 as per the following schedule:

Saturday, May 09, 2015	Start Time	End Time
Pre Open*	10:00 hrs	10:08 hrs
Normal Market	10:15 hrs	15:30 hrs
Call Auction Illiquid session (5 sessions of 1 hour each) *	10:30 hrs	15:30 hrs
Auction Market	14:00 hrs	15:15 hrs
Closing Session	15:40 hrs	16:00 hrs
Re-Login time	17:30 hrs	18:00 hrs

* Random closure in last one minute

The Exchange shall be releasing new version of NEAT CM 9.25.0 and NEAT+ 7.1.4. The new versions shall be available from May 08, 2015 at 17:00 hours onwards on NSE EXTRANET path /common/.

Members shall be able to login to live trading system from May 11, 2015 onwards with the following software only –

- NEAT CM 9.24.0 & NEAT CM 9.25.0
- NEAT+ 7.1.3 & NEAT+ 7.1.4.

Login with the older version i.e. NEAT CM 9.24.0 and NEAT+ 7.1.3 shall be discontinued with effect from June 08, 2015.

From June 08, 2015 onwards only new version of TAP shall be available in live environment. Kindly refer circular 021/2015 (Download No. 29599) dated April 30, 2015.

For other important instructions regarding the mock trading, kindly refer to the following Annexures:

Annexure 1: Important instructions regarding mock trading session

Annexure 2: **Additional features- w.e.f. May 11, 2015**

Annexure 3: Installation procedure for NEAT CM Version 9.25.0 and NEAT+ Version 7.1.4

Annexure 4: Precautions and procedure for reverting to earlier version

In case of any queries please call Toll Free no: 1800 2200 53

**For and on behalf of
National Stock Exchange of India Limited**

**Khushal Shah
Chief Manager**

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Annexure – 1

Important instructions regarding mock trading session

1. Trades resulting from this session shall not attract any obligation in terms of funds and/or securities pay-in and/or pay-out. Kindly do not transfer any data files for this session.
2. Members having approved algorithmic software are required to adhere to circular 082/2013 (Download No. 24583) dated September 27, 2013 for mandatory participation in mock trading (contingency) session.
3. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday, May 11, 2015.
4. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
5. In case of any queries please call Toll Free no: 1800 2200 53.

Annexure – 2

Additional features- w.e.f. May 11, 2015

1: Cancel on Logout (COL)

COL facility at User level is being provided. Corporate Manager of a trading member can enable / disable user level COL flag for their users.

The salient features of COL at User level are:

- Corporate Manager shall have an option to enable / disable the user level COL facility for their users
- By default the User level COL facility shall be disabled for all users.
- If a user for whom User level COL flag is enabled logs out, all outstanding orders shall be cancelled for that user.
- Corporate manager can enable/disable users for COL before, after or anytime during the market hours.
- **COL facility shall not be applicable for orders entered in Pre-Open and Call Auction Sessions.**

2: Kill Switch

An additional facility 'Kill Switch' is being provided. When the Kill switch function is executed, all outstanding **(except pre-open & call auction session)** orders shall be cancelled.

A) Trading member level:

The **trading member level** kill switch shall be available to Corporate Manager of a trading member. When trading member level kill switch is executed, all outstanding orders **(excluding pre-open and call auction session orders)** of that trading member shall be cancelled.

The salient features of trading member level Kill Switch are:

- Only corporate manager can execute this Kill Switch.
- All outstanding orders **(excluding pre-open and call auction session orders)** of all users of that trading member shall be cancelled.

B) User level:

The **User level** kill switch functionality shall be available to all users. When user level kill switch is executed, all outstanding orders **(excluding pre-open and call auction session orders)** for that user shall be cancelled.

The salient features of Kill Switch at user level are:

- User Level Kill Switch is available to all users.
- On executing user level Kill Switch, all outstanding orders **(excluding pre-open and call auction session orders)** entered by that user shall be cancelled.

3: Warning Message for Non CP orders in IL Series

A warning message shall be displayed in case user enters a order without participant code in IL series. This is to alert the user if an order is entered without participant code in IL series. If such an order is placed, the system shall give an alert message which will read as **“Only Institutional Clients are permitted to trade in IL series. Do you wish to continue?”** and only after the user confirms, the order will be further processed.

4: Password Expiry Alert

The user shall receive alert message for the expiry of their log in password.

Users will start receiving following alert message in the message area of NEAT & NEAT+, five days prior to the expiry of the password at the time of login.

Message Area:-

<USER_NAME> Signed On.

Attention: User <USER_ID> Your password shall expire on <DATE>.

Kindly change it to avoid any login issue on <DATE>

Annexure – 3

Installation procedure for NEAT CM Version 9.25.0 & NEAT+ Version 7.1.4

NEAT CM Version 9.25.0

Precautions:

- The new version shall be available from May 08, 2015 at 17:00 hours onwards on NSE EXTRANET path- /common. Kindly install the new version only after end of live trading hours and after all end of day activities have been completed on Friday, May 08, 2015.
- Take back up of all report files before upgrading the software.
- Take back up of client master through client master maintenance.
- Take back up of debarred client master through debarred client master maintenance.
- Members who wish to retain their existing market watch screen are advised to take backup of the files 'mw****.dat (**** = userid). This file can be found at 'NTNSECM\BACKUP' directory on the log drive.
- Members who wish to retain their existing set charges for Net Position are advised to take backup of the files 'BakSetCharges****.dat (**** = userid). This file can be found at 'NTNSECM\BACKUP' directory on the log drive.
- Use cmneattdr.bat for installing the new NEAT exe.

Installation:

- Installation files shall be available after 17:00 hrs on Friday, May 08, 2015 on path /common/NEAT925 on NSE EXTRANET.
- Download the files NTRELTD9.25.0 & cmneattdr.bat into (C :) in your local hard disk
- Go to MSDOS prompt
- Execute command - cd C:\
- Type cmneattdr.bat and press "enter"
- Set up the NEATCM icon on the Desk top and click on the icon.

Updating the new version with latest data:

- Download following files from NSE EXTRANET path /common/NTNEAT (log on drive) in your local hard disk:
 1. participant.gz
 2. security.gz
 3. contract.gz
 4. FreeFloat.txt

Unzip the above files and from the log on screen click on the “LOAD DB” button to update the security and participant details

NEAT + Version 7.1.4

Precautions:

- Install the new version only after end of live trading hours and after all end of day activities have been completed on Friday, May 08, 2015.
- Take back up of all required data to a location other than NEATProDB-TWS and NEATProData-TWS folders before upgrading the software.
- Take back up of client master through client master maintenance and debarred client master through debarred client master maintenance.
- Take back up of Market watch through export functionality.

Installation:

- Installation files can be downloaded from NSE EXTRANET path /common/NEATPlus714 after 17:00 hrs on Friday, May 08, 2015.
- Download the setup file 'NEATPlusTWSSetup.exe' to your local hard disk drive (C:\) and double click on it to run the installer. Select the Installation drive. Click on '**Install**' button on this pop up window and follow the installation instructions.
- Message of successful installation will be displayed once the complete application is installed.
- The user can run NEATPlus TWS application by double clicking on either of the following:
 - a) NEATPlus TWS shortcut icon created on the desktop
 - b) NEATPlus TWS entry in the Programs sub-menu of the Start menu
 - c) NEATPLUS.exe located in the NEATProDB-TWS directory in the installation drive

Updating the new version with latest data:

- Download and unzip following files from NSE EXTRANET path /common/NTNEAT (log on drive) in your local hard disk:
 - a) participant.gz b) security.gz c) contract.gz d) FreeFloat.txt
- From the log on screen click on the "UPLOAD" button to update the contract, security and participant details.

Annexure – 4

Precautions and procedure for reverting to earlier version

These instructions are required to be followed to ensure that members are able to revert back to old version of NEAT-CM 9.24.0 and NEAT+ 7.1.3

Steps to be followed for reverting to old version of NEAT CM and NEAT+:

NEAT CM

- Installation files for old version can be taken from path (/common/NEAT924) on NSE EXTRANET
- Download the files cmneattdr.bat & ntreltdr.9.24.0 into (C :) in your local hard disk, if prompted, choose to overwrite the existing files.
- Rename the existing NTNSECM directory
- Go to MSDOS prompt and Execute command: cd C:\
- Type: cmneattdr.bat and press "enter"
- Set up the NEATCM icon on the Desk top and click on the icon.
- Place the old mw****.dat (****=user id) file in C:\NTNSECM\BACKUP directory.
- Place the old BakSetCharges****.dat(****=user id) file in C:\NTNSECM\BACKUP directory
- Download the latest security.gz, participant.gz, FreeFloat.txt and contract.gz file from NSE EXTRANET path /common/ntneat and unzip it on your log on drive (this step is very important to ensure trading on Monday, May 11, 2015)
- From the log on screen click the "LOAD DB" button to update the security and participant details.

NEAT+:

Download the set up file for old version 'NEATPlusTWSSetup.exe' from NSE EXTRANET path folder (/common/NEATPlus713) to your hard disk in the root directory (i.e. C:\ or D:\ or E:\). If prompted, choose to overwrite the existing file. Kindly choose the same drive where the proposed new version was installed. Go to the drive where NEATPlusTWSSetup.exe is copied and double click on the NEATPlusTWSSetup.exe.

The files will be extracted with the window pop up 'NEATPlus TWS Installer extracting files'. It will be followed by 'NEATPlus Installer' pop up window. Installation drive (where application will be installed) will be C: by default.

Installation of 7.1.3:

Double click on the file NEATPlusTWSSetup.exe again. Select the same drive from which the earlier version was un-installed. Click on 'Install' button on this pop up window and follow the installation instructions. Message of successful installation will be displayed once the complete application is installed.

The user can run NEATPlus TWS application by double clicking on either of the following:

- a. NEATPlus TWS shortcut icon created on the desktop.
- b. NEATPlus TWS entry in the 'Programs' sub-menu of the 'Start' menu.
- c. NEATPLUS.exe located in the NEATProDB-TWS directory in the installation drive.

From NSE EXTRANET path(/common/NTNEAT) download contract.gz, security.gz, participant.gz & fo_participant.gz and unzip it on your log on drive. From the log on screen click on the "UPLOAD" button to update the contract, security, participant and portfolio details. Restore the backup of Market Watch, Client Master and Debarred Client Master and update the version with the latest data (as mentioned in Annexure 3).