

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : CAPITAL MARKET SEGMENT

Download Ref No : NSE/CMTR/29481

Date : April 17, 2015

Circular Ref. No : 19 / 2015

All Members,

Mock bidding session for Offer for sale

To make trading members accustomed with the OFS mechanism, Exchange is conducting mock bidding session from April 20, 2015 to April 24, 2015.

The mock details are as under:

| | |
|-----------------|---|
| Company Name | MOCK OFS LTD |
| Symbol | MOCKOFS |
| Series | IS (Non retail category) RS (Retail category – Bid value <= Rs 2 lacs) |
| Price Quotation | IS : Limit Price RS: Limit Price / Cut off Price |
| Issue Size | 10,000,000 equity shares |
| Bidding Time | 10:00 Hrs to 17:00 Hrs |
| Floor Price | Rs. 100 |
| Market Lot | 1 Equity share |
| Tick Size | Rs 0.05 |

Members may please note the following:

- All the eligible trading members of the Capital Market segment can participate in mock session using NEAT IPO Version 4.28.00 available on the NSE Extranet path /Common/IPO/Ver428.
- Members enabled on NOW platform of Capital market segment can also participate in mock session using the web based OFS bidding platform viz. 'NSE e-OFS' as mentioned in Exchange circular number NSE/CMTR/27587 dated September 12, 2014. The login credentials (admin id/ dealer id and password) for NSE e-OFS shall be the same as in NOW.

| Particulars | URL |
|-------------------------|---|
| NSE e-OFS (for mock) | <ul style="list-style-type: none"> • Admin URL (https://mock.nowonline.in/admin.html) • Trading terminal URL (https://mock.nowonline.in/OFS) |

In case of queries pertaining to the 'NSE e-OFS' bidding platform members may contact NOW helpdesk on toll free number 1800 2200 52.

In case of technical queries, members are advised to contact helpdesk no: 1800220053.

The operational instructions are annexed herewith:

Annexure 1: New Features in NEAT IPO Version 4.28.00

Annexure 2: Tap Parameters for Mock

For and on behalf of
National Stock Exchange of India Ltd

Binoy K Yohannan
Manager

| Toll Free No | Fax No | Email id |
|---------------------|---------------|---------------------|
| 1800 2200 53 | 022-26598447 | ipo_trade@nse.co.in |

Annexure 1

New Features in NEAT IPO Version 4.28.00

- Facility to filter by client code in outstanding order window (F3)

Outstanding Orders

Symbol: TESTOFS Series: IS Book: REGULAR LOT

App/Client: BBB

| Symbol | Series | Book | Price |
|---------|--------|-------------|-------|
| TESTOFS | IS | REGULAR LOT | 1999 |
| TESTOFS | IS | REGULAR LOT | 2000 |

Total Buy: 0 Total Sell: 0

- Bulk order modification facility (Alt+F12)

OFS BULK UPLOAD MOD/CXL

| B/S Sym | Ser | Qty | Price | Cli/Pro | Account No. | CP Code | Block Col? | Remarks |
|---------|-----|-----|-------|---------|-------------|---------|------------|---------|
| | | | | | | | | |

Buttons: Load, Add, Delete, Modify, Select All, Send

File format for OFS offline bulk modification is as specified below:

| Sr. No. | Field | Size | Value |
|---------|----------------|---------|--|
| 1 | Book Type | C(2) | 01 for RL |
| 2 | Buy/Sell | C(2) | 01 for Buy |
| 3 | Symbol | C(10) | Symbol |
| 4 | Series | C(2) | Series |
| 5 | Quantity | C(12) | Quantity |
| 6 | Price | 9(6).99 | Limit price |
| 7 | Pro/Cli | C(3) | PRO/CLI |
| 8 | Account Number | C(10) | Client account number |
| 9 | CP Code | C(12) | Participant code |
| 10 | Block Collat | C(2) | 01 for YES; 02 for NO |
| 11 | Action Type | N(1) | Action Type 1 for order Modification. |
| 12 | Order Number | N(15) | Order Number. |

Annexure 2

TAP Parameters for Mock

New TAP software (Windows Version 1.1.4 and Linux Version 7.0.7) has been introduced. Members have the option to either use the New TAP or the existing TAP software versions for connecting to Exchange (Live / Mock) environment.

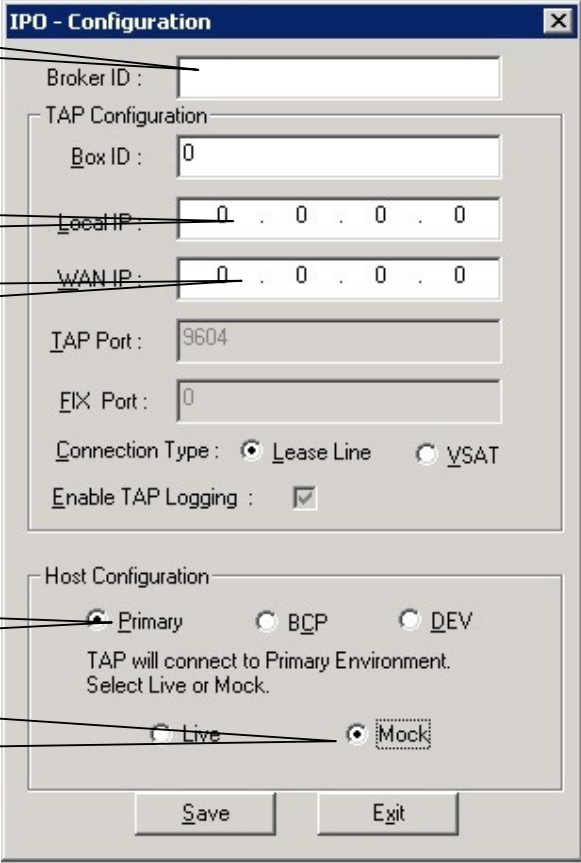
New TAP Software:

| Sr. no. | Setup Name | Version | OS | Extranet path |
|---------|---|---------|---------|------------------------|
| 1 | TAP_1.1.4_Setup.exe | 1.1.4 | Windows | /Common/IPO-OFS/WinTAP |
| 2 | TAP_7.0.7_Setup.sh, TAP_7.0.7_SetupFiles.zip | 7.0.7 | Linux | /Common/IPO-OFS/LinTAP |

Existing TAP Software:

| Sr. no. | Setup Name | Version | OS | Extranet path |
|---------|---|---------|---------|--|
| 1 | TAP_1.1.3_Setup.exe | 1.1.3 | Windows | /Common/IPO/ IntegratedTAP/Windows) |
| 2 | TAP_7.0.5_Setup.sh, TAP_7.0.5_SetupFiles.zip | 7.0.5 | Linux | /Common/IPO/ IntegratedTAP/Linux |

Configuring New TAP Software:

| New TAP Version | TAP configuration |
|---|--|
| <p>For Windows – Version 1.1.4</p> <p>Member Code</p> <p>Member LAN IP</p> <p>WAN IP Provided by NSE</p> <p>Please select 'Primary'</p> <p>Please select 'Mock' for connecting to mock environment</p> | <p>For Windows TAP –</p>  |
| <p>For Linux – Version 7.0.7</p> <p>Please select 'Switch to Mock Environment'</p> <ul style="list-style-type: none"> • IPO_TAP_CONFIG_1.0_Setup.exe NOT to be run in case of New Windows TAP Version 1.1.4 & New Linux TAP Version 7.0.7 | <p>For Linux TAP-</p> <pre> ***** [TAP IPO Configuration Menu] ***** Broker ID.....[16] 1. Local IP.....[] 2. WAN IP.....[] 3. Box ID.....[0] 4. TAP Port.....[9604] -. FIX Port.....[0] (Not Configurable). -. PRIMARY Configuration. [Current Configuration]. 6. Switch to BCP Configuration. 7. Switch to Development Configuration. 8. Connection Type.[Lease Line] -. Enable TAP Logging (Not Configurable). 10. Change Broker ID. -. Switch to Live Environment. [Current Configuration]. 12. Switch to Mock Environment. -. Save. -. Save & exit. 0. Exit. ***** </pre> |

Configuring Existing TAP Software: (There is no change in this)

| Existing TAP Version | TAP configuration |
|-------------------------------|--|
| (For Windows – Version 1.1.3) | <p>For Windows TAP:</p> <ul style="list-style-type: none"> Use 'IPO_TAP_CONFIG_1.0_Setup.exe' to connect to Mock session, available in following path: (/common/IPO/IntegratedTAP/Windows) To configure please refer help document available on the above path (File name : Windows_IPO_TAP_Configurator.docx) |
| (For Linux – Version 7.0.5) | <p>For Linux TAP:</p> <ul style="list-style-type: none"> Use 'IPO_TAP_CONFIG_1.0_Setup.sh and IPO_TAP_CONFIG_1.0_Setup.zip' to connect to Mock session, available in following path: (/common/IPO/IntegratedTAP/Linux) <p>To configure please refer help document available on the above path (File name : Linux_IPO_TAP_Configurator.docx)</p> |

Configuring NSE UDP server: (For Broadcast Data Connection)

| | |
|-----------------------------|--------------|
| Receiving Multicast Address | 239.50.50.42 |
| Receiving UDP Port | 10842 |

The screenshot shows the 'NSE UDP Broadcast Server Configuration v3.04.00' dialog box. It contains several input fields and a table. Annotations point to specific fields:

- 'Sending Multicast Address' field: To be configured at Member's N/W
- 'Local IP Address towards Host' field: WAN IP Provided by NSE
- 'Local IP Address towards NEAT' field: Member LAN IP
- 'Receiving UDP Port No.' field (value: 10842): Input as 10842
- 'Receiving Multicast Address' field (value: 239.50.50.42): Input as 239.50.50.42
- 'Sending UDP Port No.' field: To be configured at Member's N/W

Buttons at the bottom include 'Add/Modify', 'OK', and 'Cancel'. A footer note reads: 'Enter Corresponding Receiving Multicast Address.'