DEPARTMENT: CAPITAL MARKET	
Download Ref No: NCL/CMPT/60704	Date: Feb 14, 2024
Circular Ref. No: 0045/2024	

All Members/ Custodians/ PCMs,

Sub: Trading supported by Blocked Amount in Secondary Market – Additional Reports for Clearing and Trading Members

This is further to our circular ref: NCL/CMPT/59361 dated November 13, 2023 regarding operational guidelines for trading supported by blocked amount in secondary market.

Members are requested to kindly note additional reports and changes in existing reports on account of introduction of trading supported by block amount

A) New Reports for Clearing members and Trading Members

Following new reports are being downloaded to members. The reports shall have data with respect to UPI clients only. The report format shall be as existing report and is provided in Annexure A.

Sr No.	Report Name
1	*EPI Reversal In UPI Client Account (DCEPIREV)* Clearing Member: _ C_<MemberCode>_DCEPIREV_C_DDMMYYY.nn Trading Member: C_<MemberCode>_DCEPIREV_T_DDMMYYY.nn
2	Trading member wise UPI client wise obligation (DCDEPO) C_< Member Code>_ DCDEPO_DEL_ DTLS_< DDMMYYYY>.csv

B) Changes in Existing Report

The data for clients who have opted for trading supported by blocked amount in secondary market shall be excluded from the following existing reports respectively.

Sr No.	Report Name
1	*EPI Reversal In Client Account (EPIREV)* Clearing Member: _ C_<MemberCode>_EPIREV_C_DDMMYYY.nn Trading Member: C_<MemberCode>_EPIREV_T_DDMMYYY.nn
2	Trading member wise client wise obligation C_< Member Code>_ DEPO_DEL_ DTLS_< DDMMYYYY>.csv

Confidential

The above changes in existing reports and download of new reports shall be applicable from 19th February 2024.

For and on behalf of
NSE Clearing Limited

Prashant Shinde
Chief Manager

Telephone No	Fax No	Email id
18002660050	+91-22-26598242	securities_ops@nsccl.co.in

Annexure A

EPI Reversal In UPI Client Account (DCEPIREV)

Clearing Member: _ C_<MemberCode>_DCEPIREV_C_DDMMYYY.nn
Trading Member: C_<MemberCode>_DCEPIREV_T_DDMMYYY.nn

***File Format*- Clearing Member Report**
CM Primary Code
TM Code
Settlement Type
Settlement Number
Symbol
Series
ISIN
Client Code
EPI Qty Allocation
Reversal Qty
Balance Qty
Target Account
Target Depository

***File Format*- Trading Member Report**
TM Code
Settlement Type
Settlement Number
Symbol
Series
ISIN
Client Code
EPI Qty
Reversal Qty
Balance Qty
Target Account
Target Depository

Trading member wise UPI client wise obligation (DCDEPO)

C_< Member Code>_ DCDEPO_DEL_ DTLS_< DDMMYYYY>.csv

File Format
Trade Date
Settlement Type
Settlement Number

Confidential

CMID
TM CODE
CPCODE
Client Code UCC
Client PAN
Client BO ID
ISIN
Filler 1
Filler 2
Filler 3
Obligation

Confidential