#### ANNEXURE

**Report Format**

[1 Client Final Obligation Report (DCFOBG) 2](#_Toc150791049)

[2 Client Funds Obligation Report (DCFUNDSOBG) 2](#_Toc150791050)

[3 Client Securities Obligations Report (DCSECOBG) 3](#_Toc150791051)

[4 Client Funds Debit Report (DCDEBIT) 3](#_Toc150791052)

[5 Client Funds Shortage Report (DCFSHRT) 3](#_Toc150791053)

[6 Client Securities Shortage Report (DCSSHRT\_R & DCSSHRT\_D) 4](#_Toc150791054)

[7 Client Auction Square off Report (DCASQR\_R & DCASQR\_D) 4](#_Toc150791055)

[8 Client Allocation Details (DCCADT) 4](#_Toc150791056)

[9 Client Funds Pay-out Rejection Report (DCREJECT) 5](#_Toc150791057)

# Client Final Obligation Report (DCFOBG)

Filename (for CM): C\_<memcode>\_DCFOBG\_<settypno>\_<ddmmyyyy>.csv

Filename (for TM): C\_<memcode>\_T\_DCFOBG\_<settypno>\_<ddmmyyyy>.csv

Content:

Settlement Type

Settlement No

Trading Member Code

Client Code

Security Symbol

Security Series

Security Code

Buy Volume

Sell Volume

Buy Amount

Sell Amount

# Client Funds Obligation Report (DCFUNDSOBG)

Filename(forTM): C\_<membercode>\_T\_DCFUNDSOBG\_<ddmmyyyy>.csv

Filename (for CM): C\_<membercode>\_DCFUNDSOBG\_<ddmmyyyy>.csv

Content:

TM Code

Client Code

Net settlement pay-in

Net settlement pay-out

Gross settlement pay-in

Gross settlement pay-out

STT payable

Stamp duty payable

Final pay-in transaction

Final pay-out transaction

# Client Securities Obligations Report (DCSECOBG)

Filename(forTM): C\_<membercode>\_T\_DCSECOBG\_<settypno>\_<ddmmyyyy>.csv

Filename (for CM): C\_<membercode>\_DCSECOBG\_<settypno>\_<ddmmyyyy>.csv

Content:

TM code

Client code

Settlement Type

Settlement Number

Security Symbol

Security Series

Deliverable Qty

Receivable Qty

# Client Funds Debit Report (DCDEBIT)

Filename (for TM): C\_<membercode>\_T\_DCDEBIT\_<settypno>\_<ddmmyyyy>.csv

Filename (for CM): C\_<membercode>\_ DCDEBIT\_<settypno>\_<ddmmyyyy>.csv

Content:

TM Code

UCC Code

Mode

UMN Number

IFSC Code

Account Number

Amount Debited

# Client Funds Shortage Report (DCFSHRT)

Filename (for TM): C\_<membercode>\_T\_DCFSHRT\_<settypno>\_<ddmmyyyy>.csv

Filename (for CM): C\_<membercode>\_ DCFSHRT\_<settypno>\_<ddmmyyyy>.csv

Content:

TM Code

Client Code

Pay-in transaction

Total amount debited

Amount short

# Client Securities Shortage Report (DCSSHRT\_R & DCSSHRT\_D)

Filename (for TM): C\_<membercode>\_T\_DCSSHRT\_<R/D>\_<settypno>\_<ddmmyyyy>.csv

Filename (for CM): C\_<membercode>\_ DCSSHRT\_<R/D>\_<settypno>\_<ddmmyyyy>.csv

Content:

TM code

Client code

Settlement Type

Settlement Number

Security Symbol

Security Series

ISIN

Deliverable/ Receivable Qty

Delivered/ Received Qty

Short Delivered/ Received Qty

# Client Auction Square off Report (DCASQR\_R & DCASQR\_D)

Filename (for TM): C\_<membercode>\_T\_DCASQR\_R/D\_<settypno>\_<ddmmyyyy>.csv

Filename (for CM): C\_<membercode>\_ DCASQR\_R/D\_<settypno>\_<ddmmyyyy>.csv

Content:

TM code

Client code

Settlement Type

Settlement Number

Security Symbol

Security Series

ISIN

Square off quantity

Square off Price

Square off debit/Credit Amount

# Client Allocation Details (DCCADT)

File Name : C\_<membercode>\_DCCADT\_DD-MMM-YYYY\_P.csv

File Name : C\_<membercode>\_DCCADT\_DD-MMM-YYYY.csv

**Header Record**

Record Type (01)

File Type

Member Type

Member Code

Date (YYYYMMDD)

Total Number of Records

Total Quantity

**Detailed Record (Security wise client level allocation)**

Record Type (10)

Settlement Type

Settlement No

Delivery Type

Security Symbol

Security Series

Security ISIN

Quantity Received

Depository

Transaction No

Quantity Credited to CM Pool A/c / Clearing A/c (Filler field)

Quantity Credited to Beneficiary A/c

Quantity of payout to Beneficiary A/c rejected by depository

**Detailed Record (Details of Direct Payout to Beneficiary Account)**

Record Type (20)

Settlement Type

Settlement No

Delivery Type

Security Symbol

Security Series

Security ISIN

Depository

TM Code

UCC

Beneficiary Account No

Quantity of Receivable Obligation (applicable for UPI client)

Quantity actually credited in Beneficiary A/c

Quantity of payout to Beneficiary A/c rejected by depository

Transaction No

# Client Funds Pay-out Rejection Report (DCREJECT)

Filename (for TM): C\_<membercode>\_T\_DCREJECT\_<ddmmyyyy>.csv

Filename (for CM): C\_<membercode>\_ DCREJECT \_<ddmmyyyy>.csv

Date

CM Code

TM Code

UCC

Settlement Number

Bank Account Number

IFSC Code

Amount

Remarks