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NSE Clearing

Circular

NSE Clearing Limited

(Formerly known as National Securities Clearing Corporation Limited)

Department : SECURITIES LENDING & BORROWING SCHEME (SLBs)	
Download Ref No: NCL/CMPT/49138	Date: August 02, 2021
Circular Ref. No: 054/2021	

All Participants and Custodians

Sub: Instruction wise release of securities as collateral

This is in continuation and partial modification to Item 9 of Part B of our consolidated circular no. 001/2021 (Download Ref No: NCL/CMPT/46884) dated January 01, 2021.

The web-based facility Collateral Interface for Members (CIM) is provided to members to request release of collaterals held with Clearing Corporation.

In addition to the existing facility, the clearing members shall be permitted to request release securities pledged as collateral based on the Margin Pledge Repledge (MPR) number/ Pledge Sequence Number (PSN). In this regard clearing members may note the following:

- Providing MPR/PSN shall be optional. The clearing members can continue to provide request for release as per existing mechanism also. However, clearing members using file upload facility shall be required to use the revised file format as provided in Annexure 1
- Clearing members shall be provided a facility to download details of securities pledge including details of MPR/PSN using the menu option CIM→ Collateral Release → Data Export
- Request for release of securities based on MPR/PSN shall **ONLY** be through file upload. The file format shall be same as downloaded using above mentioned option. The file format has been provided in Annexure 2
- Request of release of securities provided without MPR/PSN shall be processed as per the existing mechanism

The above changes shall be effective from August 16, 2021.

For and on behalf of
NSE Clearing Limited
(Formerly National Securities Clearing Corporation Limited)

Huzefa Mahuvawala
Vice President

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Annexure 1-FILE FORMAT FOR REQUESTING COLLATERAL RELEASES (changes are highlighted in yellow)

File name: S_RRQ_DDMMYYYY_NN.CSV

Where S is Segment indicator
RRQ is release request
DDMMYYYY is the request date which should be current date
NN is sequential file batch number

The file should be CSV file with the following *columns*
The file should be uploaded under the option CIM → Collateral Release → File Upload

Segment Indicator	S
Member Code	Member Code
Type of Collateral	BC /ABC
Instrument Type	CSH/BGN/FDP/SDP/NMF/CMF/GMF/OMF
Instrument Id	Applicable only for BGN/FDP
Custodian code	To be provided only in case of SDP/CMF/NMF/OMF/GMF
Security symbol	To be provided only in case of SDP/CMF/NMF/OMF/GMF
Requested Quantity	To be provided only in case of SDP/CMF/NMF/OMF/GMF
Requested amount	To be provided only in case of BGN/FDP/CSH in Rs. In case of SDP/CMF/NMF/OMF/GMF it should be typed as NA
ISIN Code	To be provided only in case of Corporate Bond/OMF/GMF. In case of BGN/FDP/CSH/SDP/CMF/NMF it should be typed as NA
TM Code	To be provided only in case of SDP/CMF/NMF/OMF/GMF TM prop security release / else NA
Client / CP Code	To be provided only in case of SDP/CMF/NMF/OMF/GMF CP / TM client security release / else NA
Bank Code	Mandatory for instrument type CHQ. NA for rest.
Filler	N

Notes:

1. Member code stands for the member code (5 digit) / custodian code.
2. CSH stands for cash, BGN for bank guarantee, FDP for Fixed deposit receipt, SDP for securities, NMF for non-cash ETF, CMF for cash component ETF/SGB, GMF for gilt mutual funds and OMF for other than gilt mutual funds
3. Instrument ID stands for the NCL Ref no as is available in the latest MG05 report. For eg the instrument id for NCL.Ref no ABC/BG/39288 will be 39288
4. Non Applicable fields should be blank or as specified in table above
5. The return files giving the status of the acceptance/rejection of the release request will be available through the collateral interface for members (CIM) at the following path Collateral Release > Download Return File. The file naming convention for the same will be S_RRR_Member Code_DDMMYYYY_NN.csv.

Annexure 2-FILE FORMAT FOR INSTRUCTION WISE RELEASE REQUEST OF SECURITIES AS COLLATERAL

File name: S_RRT_DDMMYYYY_NN.CSV

Where S is Segment indicator
RRT is release request
DDMMYYYY is the request date which should be current date
NN is sequential file batch number

The file should be CSV file with the following *columns*
The file should be uploaded under the option CIM → Collateral Release → Instruction wise file upload

Segment Indicator	S
Member Code	Member Code
Type of Collateral	ABC
Instrument Type	SDP/NMF/CMF/GMF/OMF/GSE
Instrument Id/Mode	Blank for SDP/NMF/CMF/OMF Depository for GSE
Custodian code	CDSL or NSDL, in case of SDP/CMF/NMF/OMF/GMF NSCCL in case of GSE
Security symbol	To be provided only in case of SDP/CMF/NMF/OMF/GMF/GSE
Requested Quantity	To be provided only in case of SDP/CMF/NMF/OMF/GMF/GSE
Requested amount	To be provided only in case of GSE in Rs. In case of SDP/CMF/NMF/OMF/GMF it should be typed as NA
ISIN Code	To be provided only in case of Corporate Bond/OMF/GMF. In case of SDP/CMF/NMF it should be typed as NA
TM Code	TM Code else NA
Client / CP Code	Client Code else NA
Bank Code/Gsec Ac No	NA for SDP/NMF/CMF/OMF Custodian Code NSDL/CDSL for GSE
MPR/PSN	To be provided only in case of SDP/CMF/NMF/OMF/GMF
DP ID	To be provided only in case of SDP/CMF/NMF/OMF/GMF
Filler	N

Notes:

1. The file format is only applicable for instruction wise release of securities pledged as collateral
2. Member code stands for the member code (5 digit) / custodian code.
3. NMF for non-cash ETF, CMF for cash component ETF/SGB, GMF for gilt mutual funds, OMF for other than gilt mutual funds and GSE for Government Securities
4. Non-Applicable fields should be blank or as specified in table above
5. The return files giving the status of the acceptance/rejection of the release request will be available through the collateral interface for members (CIM) at the following path Collateral Release > Download Return File. The file naming convention for the same will be S_RTR_Member Code_DDMMYYYY_NN.csv.