

**NSE Clearing Limited**

(Formerly known as National Securities Clearing Corporation Limited)

**Department : FUTURES AND OPTIONS SEGMENT**

Download Ref No: NCL/CMPT/49136

Date: August 02, 2021

Circular Ref. No: 069/2021

All Members/Custodians/PCM

**Sub: Instruction wise release of securities as collateral**

This is in continuation and partial modification to Item 9 of Part B of our consolidated circular no. 001/2021 (Download Ref No: NCL/CMPT/46881) dated January 01, 2021.

The web-based facility Collateral Interface for Members (CIM) is provided to members to request release of collaterals held with Clearing Corporation.

In addition to the existing facility, the clearing members shall be permitted to request release securities pledged as collateral based on the Margin Pledge Repledge (MPR) number/ Pledge Sequence Number (PSN). In this regard clearing members may note the following:

- Providing MPR/PSN shall be optional. The clearing members can continue to provide request for release as per existing mechanism also. However, clearing members using file upload facility shall be required to use the revised file format as provided in Annexure 1
- Clearing members shall be provided a facility to download details of securities pledge including details of MPR/PSN using the menu option CIM → Collateral Release → Data Export
- Request for release of securities based on MPR/PSN shall **ONLY** be through file upload. The file format shall be same as downloaded using above mentioned option. The file format has been provided in Annexure 2
- Request of release of securities provided without MPR/PSN shall be processed as per the existing mechanism

The above changes shall be effective from August 16, 2021.

**For and on behalf of  
NSE Clearing Limited**

**(Formerly National Securities Clearing Corporation Limited)**

Huzefa Mahuvawala  
Vice President

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**Annexure 1-FILE FORMAT FOR REQUESTING COLLATERAL RELEASES  
(changes are highlighted in yellow)**

File name: F\_RRQ\_DDMMYYYY\_NN.CSV

Where F is Segment indicator

RRQ is release request

DDMMYYYY is the request date which should be current date

NN is sequential file batch number

The file should be CSV file with the following *columns*

The file should be uploaded under the option CIM → Collateral Release → File Upload

|                    |                                                                                                                    |
|--------------------|--------------------------------------------------------------------------------------------------------------------|
| Segment Indicator  | F                                                                                                                  |
| Member Code        | Member Code                                                                                                        |
| Type of Collateral | BC /ABC                                                                                                            |
| Instrument Type    | CSH/BGN/FDP/SDP/NMF/CMF/GMF/OMF                                                                                    |
| Instrument Id      | Applicable only for BGN/FDP                                                                                        |
| Custodian code     | To be provided only in case of SDP/CMF/NMF/OMF/GMF                                                                 |
| Security symbol    | To be provided only in case of SDP/CMF/NMF/OMF/GMF                                                                 |
| Requested Quantity | To be provided only in case of SDP/CMF/NMF/OMF/GMF                                                                 |
| Requested amount   | To be provided only in case of BGN/FDP/CSH in Rs. In case of SDP/CMF/NMF/OMF/GMF it should be typed as NA          |
| ISIN Code          | To be provided only in case of Corporate Bond/OMF/GMF. In case of BGN/FDP/CSH/SDP/CMF/NMF it should be typed as NA |
| TM Code            | To be provided only in case of SDP/CMF/NMF/OMF/GMF TM prop security release / else NA                              |
| Client / CP Code   | To be provided only in case of SDP/CMF/NMF/OMF/GMF CP / TM client security release / else NA                       |
| Bank Code          | Mandatory for instrument type CHQ. NA for rest.                                                                    |
| Filler             | N                                                                                                                  |

Notes:

1. Member code stands for the member code (5 digit) / custodian code.
2. CSH stands for cash, BGN for bank guarantee, FDP for Fixed deposit receipt, SDP for securities, NMF for non-cash ETF, CMF for cash component ETF/SGB, GMF for gilt mutual funds and OMF for other than gilt mutual funds
3. Instrument ID stands for the NCL Ref no as is available in the latest MG05 report. For eg the instrument id for NCL.Ref no ABC/BG/39288 will be 39288
4. Non Applicable fields should be blank or as specified in table above
5. The return files giving the status of the acceptance/rejection of the release request will be available through the collateral interface for members (CIM) at the following path Collateral Release > Download Return File. The file naming convention for the same will be F\_RRR\_Member Code\_DDMMYYYY\_NN.csv.

## Annexure 2-FILE FORMAT FOR INSTRUCTION WISE RELEASE REQUEST OF SECURITIES AS COLLATERAL

File name: F\_RRT\_DDMMYYYY\_NN.CSV

Where F is Segment indicator

RRT is release request

DDMMYYYY is the request date which should be current date

NN is sequential file batch number

The file should be CSV file with the following *columns*

The file should be uploaded under the option CIM → Collateral Release → Instruction wise file upload

|                         |                                                                                                           |
|-------------------------|-----------------------------------------------------------------------------------------------------------|
| Segment Indicator       | F                                                                                                         |
| Member Code             | Member Code                                                                                               |
| Type of Collateral      | ABC                                                                                                       |
| Instrument Type         | SDP/NMF/CMF/GMF/OMF/GSE                                                                                   |
| Instrument Id/Mode      | Blank for SDP/NMF/CMF/OMF<br>Depository for GSE                                                           |
| Custodian code          | CDSL or NSDL, in case of SDP/CMF/NMF/OMF/GMF<br>NSCCL in case of GSE                                      |
| Security symbol         | To be provided only in case of<br>SDP/CMF/NMF/OMF/GMF/GSE                                                 |
| Requested Quantity      | To be provided only in case of<br>SDP/CMF/NMF/OMF/GMF/GSE                                                 |
| Requested amount        | To be provided only in case of GSE in Rs. In case of<br>SDP/CMF/NMF/OMF/GMF it should be typed as NA      |
| ISIN Code               | To be provided only in case of Corporate Bond/OMF/GMF. In<br>case of SDP/CMF/NMF it should be typed as NA |
| TM Code                 | TM Code else NA                                                                                           |
| Client / CP Code        | Client Code else NA                                                                                       |
| Bank Code/Gsec<br>Ac No | NA for SDP/NMF/CMF/OMF<br>Custodian Code NSDL/CDSL for GSE                                                |
| MPR/PSN                 | To be provided only in case of SDP/CMF/NMF/OMF/GMF                                                        |
| DP ID                   | To be provided only in case of SDP/CMF/NMF/OMF/GMF                                                        |
| Filler                  | N                                                                                                         |

Notes:

1. The file format is only applicable for instruction wise release of securities pledged as collateral
2. Member code stands for the member code (5 digit) / custodian code.
3. NMF for non-cash ETF, CMF for cash component ETF/SGB, GMF for gilt mutual funds, OMF for other than gilt mutual funds and GSE for Government Securities
4. Non-Applicable fields should be blank or as specified in table above
5. The return files giving the status of the acceptance/rejection of the release request will be available through the collateral interface for members (CIM) at the following path Collateral Release > Download Return File. The file naming convention for the same will be F\_RTR\_Member Code\_DDMMYYYY\_NN.csv.