NATIONAL SECURITIES CLEARING CORPORATION LIMITED

DEPARTMENT : CAPITAL MARKET SEGMENT	
Download No: NSCCL/CMPT/38272	Date: July 09, 2018
Circular Ref. No : 0135/2018	

All Members

Sub: Prevention of Self-Trade

This is with reference to circular no. NSE/CMTR/38036 dated June 15, 2018 regarding "Prevention of Self-Trade".

A facility has been provided to members to modify client code (CLD) on an online basis through screen as well as through file upload in NCMS application for the orders executed. In order to prevent the order modifications resulting into self-trade, Permanent Account Number (PAN) will be introduced as part of client code modification. The same will be verified to ensure modification is not resulting into self-trade. Accordingly the changed file format for client code modification is attached as **Annexure 1.**

Members shall ensure the validity of PAN at the time of modification.

Members are also requested to note that before the actual client code modification, PAN modification is compulsory in case original trade is without PAN.

Further, members will be required to download new EXE (version 4.0.9) from CONNECT2NSE homepage which shall be available with effect from August 04, 2018.

The circular shall be implemented with effect from **August 06, 2018.**

For and on behalf of
National Securities Clearing Corporation Ltd.

Ashwini Goraksha
Chief Manager

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Annexure 1

Input File Name:

XXXXX_CLDYYYYMMDD.Tnn

Wherein – XXXXX= Member code

The file format should be comma separated variables (csv). Kindly note that the member code should be appended in the file name.

Control Record Format:

Field	**Description**	**Data type**	**Length**	**Remarks**
Record Type	Header record	Number	2	Value = 10
Member Type	Member Type	CHAR	1	Value = M
Member Code	Member Code	CHAR	5	
Batch Date	Format : DDMMYYYY	CHAR	8	Should be same as that in the file name.
Batch number	The batch number of the file sent	Number	2	Should be the same as that in the file name.
Number of records	Total number of records in the file	Number	6	Should be equal to the number of detail records

Detail record Format:

Field	**Description**	**Data type**	**Length**	**Remarks**
Record Type	Detailed Record	Number	2	Value = 20
Client Code	Mandatory	CHAR	10	Unique client code. (Mandatory) Cannot start with blank space
PAN	Mandatory	CHAR	10	Mandatory length should be 10
Buy/Sell flag	Buy /Sell order	CHAR	1	Mandatory Values B– Buy order S – Sell order
Order Number	Order number	Number	16	Mandatory