

## NSE Clearing Limited

### Circular

#### DEPARTMENT: COMPLIANCE

Download Ref No: NCL/CMPL/65256

Date: November 27, 2024

Circular Ref. No: 42/2024

To All Clearing Members (Clearing for other Stock-Broker and/or Custodial Participants Code)

### **Sub: Reminder for Submission of Half Yearly Internal Audit Report by Clearing Members for the half year ended September 30, 2024**

This is with reference to NCL Circular no. NCL/CMPL/64520 dated October 11, 2024, requiring Clearing Members to carry out and complete the Internal Audit for the half-year ended September 30, 2024.

In view of the above, Clearing Members are advised to submit the Internal Audit Report on or before November 30, 2024 to the email ID “internalaudit@nscl.co.in” with the subject line as “Internal Audit Report- Name of the Clearing Member (Clearing Member Code) – September 2024”, in the prescribed format and comply with the regulatory requirements to avoid any penalties/disciplinary actions for late/non-submission of the Internal Audit Report as prescribed by the NCL Circular No. NCL/CMPL/64520 dated October 11, 2024.

Members are advised to take note of the above and comply.

**For and on behalf of  
NSE Clearing Limited**

Jinal Shah  
Associate Vice President

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