

NSE Clearing Limited

Circular

DEPARTMENT: COMPLIANCE

Download Ref No: NCL/CMPL/62004

Date: May 14, 2024

Circular Ref. No: 14/2024

To All Clearing Members (Clearing for other Stock-Broker and/or Custodial Participants Code)

Sub: Reminder for Submission of Half Yearly Internal Audit Report by Clearing Members for the half year ended March 31, 2024

This is with reference to NCL Circular No. NCL/CMPL/61288 dated March 22, 2024 and NCL/CMPL/61662 dated April 22, 2024, requiring Clearing Members to carry out and complete the Internal Audit for the half-year ended March 31, 2024.

In view of the above, Clearing Members are advised to submit the Internal Audit Report on or before May 31, 2024 to the email ID “internalaudit@nscl.co.in” with the subject line as “Internal Audit Report- Name of the Clearing Member (Clearing Member Code) – March 2024”, in the prescribed format and comply with the regulatory requirements to avoid any penalties/disciplinary actions for late/non-submission of the Internal Audit Report as prescribed by the NCL Circular No. NCL/CMPL/61288 dated March 22, 2024.

Members are advised to take note of the above and comply.

**For and on behalf of
NSE Clearing Limited**

Divya Potdar
Senior Manager

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