

NSE Clearing Limited**Circular****DEPARTMENT: COMPLIANCE**

Download Ref No: NCL/CMPL/56762

Date: May 19, 2023

Circular Ref. No: 15/2023

All Clearing Members

Sub: Reporting of Bank Guarantees (BGs) created out of clients' funds

This is with reference to SEBI Circular SEBI/HO/MIRSD-PoD-1/P/CIR//2023/061 dated April 25, 2023, on Bank Guarantees (BGs) created out of clients' funds.

As mandated by SEBI in the circular, Clearing Members are required to report breakup of Bank Guarantee (BG) placed as collateral with NCL on a weekly basis. Facility to report BG details is provided in Member portal (ENIT).

Members may please note that the provisions of this circular are effective from May 22, 2023, and first submission of this data shall be for the week ended May 27, 2023 to be submitted by EOD of May 27, 2023, and for every week thereafter.

User manual to submit, view and download the Bank Guarantee details reported is enclosed as Annexure.

Members are advised to take note of the same and ensure compliance.

**For and on behalf of
NSE Clearing Limited**Hima Bindu Vakkalanka
Vice President

Telephone No	Fax No	Email id
1800 266 0050	022-26598243	membermonitoring@nsccl.co.in

NSE Clearing Limited

Annexure

Steps for submission and viewing of Bank Guarantee details on Member portal (ENIT)

1. Login using the following link

<https://inspection.nseindia.com//MemberPortal/>

Navigation: - Login on Member portal → Click on Inspection → Statement Upload → Collateral Segregation Submission → Collateral (BG) data at NCL

Last Login: 17/05/2023



My Inspection
Risk Based Supervision
Enhanced Supervision
Internal Audit
Statement Upload
Active Terminal
WFT
API
Help Documents
Contact Details
Test Digital Signature

My Inspection

Sr. No.	Year	Quarter
1	2016-17	Q4
2	2017-18	Q4
3	2017-18	Q4
4	2016-17	Q1
5	2018-19	Q3
6	2016-17	Q4
7	2018-19	Q3
8	2016-17	Q4
9	2017-18	Q4

Statement	Type	Segment	Reminder
Holding Statement Weekly			
Holding Statement Daily			
Cash & Cash Equivalent Balances			
Bank Account Balances Weekly			
Bank Account Balances Daily			
Bank Statement		FO	NA
Running Account Settlement		FO	NA
Collateral Segregation Submission		CNFOCDS	NA
Submit data		CNFOCDS	NA
Yes Bank - Client Wise Submission		CNFOCDS	NA
View Submission		CNFOCDS	NA
Monthly Regulatory Report		FOCDS	NA
View Declaration		FOCDS	NA
Collateral Shortage Submission		CDS	NA
Penalty for Non Submission		CDS	NA
Bank Book		CDS	NA
Penalty for Modification and Delayed Submission (JAT)			
Allocation Mismatch Details			

Page 1 of 1

View 1 - 9 of 9

Copyright © 2014 National Stock Exchange of India Ltd. All rights reserved.

Collateral (BG) data at NCL

Submit BG Data

View BG details

NSE Clearing Limited

2. For Submission of Collateral (BG) data

- Collateral (BG) data at NCL → Submit BG Data
- In order to edit BG data, click on the icon highlighted below

Collateral data at NSE Clearing Limited (NCL)

Member code * Member name * Month * Year * Period *

Nature of the entity * Total BG Amount as collateral * Total BG amount out of client's funds as collateral * Total BG amount out of Prop. funds as collateral *

Submission Date	Last Modification Date	Member Code	Member Name	Nature of Entity	Total BG Amount as collateral	Total BG amount out of client's funds as collateral	Total BG amount out of Prop. funds as collateral	
1								

Page 1 of 1 View 1 - 1 of 1

Copyright © 2014 National Stock Exchange of India Ltd. All rights reserved.

3. For viewing submitted data

Collateral (BG) data at NCL → View BG Details

Collateral data at NSE Clearing Limited (NCL)

Member code * Member name * Month * Year * Period *

Submission Date	Last Modification Date	Period	Member Code	Member Name	Total BG Amount as collateral	Total BG amount out of client's funds as collateral	Total BG amount out of Prop. funds as collateral
May 16, 2023 1:26:50 PM	May 17, 2023 9:14:38 PM	Week ended 20 MAY 2023	XXX	XXXXX	XXXXXXXXXX	XXXXXX	XXXXXX

Page 1 of 1 View 1 - 1 of 1

Copyright © 2014 National Stock Exchange of India Ltd. All rights reserved.