

DEPARTMENT: COMPLIANCE

Download Ref No: NCL/CMPL/49287

Date: August 13, 2021

Circular Ref. No:24/2021

All Members

Sub: Strengthening oversight on Clearing Members

NSE Clearing Ltd. in consultation with other Clearing Corporations and SEBI has come out with certain norms for strengthening of oversight on the Clearing Members. The same is enclosed as Annexure 1.

Members are advised to take note of the same and put in place systems and procedures so as to ensure adherence to the compliance requirements.

This circular is applicable for Clearing Members who are clearing for other Trading Members and Custodial Participants.

For and on behalf of
NSE Clearing Limited

Jinal Shah
Chief Manager

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