NSE Clearing

Circular

DEPARTMENT: COMPLIANCE	
Download Ref No: NCL/CMPL/46450	Date: November 25, 2020
Circular Ref. No: 35/2020	

All Members

Sub: Change in File format for reporting of Trading Member-wise, Custodial Participant wise Collateral details by Clearing Members

Attention of all Clearing members is drawn towards NSE Clearing circulars NCL/CMPL/46364 dated November 17, 2020 and NCL/CMPL/46391 dated November 20, 2020 requiring upload of Trading Member-wise / Custodial Participant wise collateral details by Clearing Member in the new file format.

It is hereby informed that the change in the file format for reporting as informed in the above stated NCL circulars is currently kept in abeyance.

Members may continue to upload the Trading Member-wise/ Custodial Participant wise Collateral details by Clearing Members in terms of the format prescribed in NCL circular NCL/CMPL/43201 dated January 10, 2020 . However, members will now be able to upload financial ledger balance instead of Cash balance.

This reporting is applicable to Clearing Members who are clearing for other Trading members /Custodial Participants.

The file format is reproduced in Annexure 1 for reference.

For and on behalf of
NSE Clearing Limited

Dhawal Shah
Head-Compliance

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Annexure 1

File Nomenclature: <CMPAN>_Collat_details_ddmmyyyy.nn

Where

CMPAN is the reporting Clearing member's PAN (10 digit)

ddmmyyyy is end of reporting week i.e. Saturday in ddmmyyyy format

nn = incremental batch no

File format: CSV

File description:

Sr.No.	Particulars	Length	Remarks
1	Clearing Member ID (Assigned by CC)	Char (6)	Alphanumeric Clearing Member ID
2	Clearing Member PAN	Char (10)	Alphanumeric Clearing Member PAN
3	Date	DATE	Date of holding the collateral. Expected sample value: DD-MM-YYYY
4	Trading Member code/ Custodian Participant code	Char (12)	Trading Member Code/ CP Code of respective Clearing Member-
5	Trading Member/Custodian Participant name	Char (100)	Alphanumeric Trading Member/ CP Name
6	Trading Member/Custodian Participant PAN	Char (10)	Alphanumeric Trading Member/ CP PAN
7	Account Type	Char (1)	Value 'P' for Collateral provided towards Proprietary Positions Value 'C' for Collateral provided towards Client Positions
8	Segment Indicator	Char (2)	Values shall be CM, FO, CD, CO, SB and DT

Sr.No.	Particulars	Length	Remarks
9	Ledger Balance	Number (20)	Value in Rs.
10	Bank Guarantee (BG)	Number (20)	Value in Rs.
11	Fixed deposit receipt (FDR)	Number (20)	Value in Rs.
12	Government Securities (Total value of G-Sec)	Number (20)	Value of G-Sec in Rs. Numeric form Decimals shall be allowed up to 3 digits
13	Equity shares (Total Value)	Number (20)	Value of Equity Shares in Rs. Numeric form Decimals shall be allowed up to 3 digits
14	Mutual Fund (Total value of MF)	Number (20)	Value of Mutual Funds in Rs. Numeric form Decimals shall be allowed up to 3 digits
15	Corporate Bonds (CB)	Number (20)	Value of Corporate Bonds in Rs. Numeric form Decimals shall be allowed up to 3 digits
16	Others	Number (20)	Value in Rs. Numeric form Decimals shall be allowed up to 3 digits
17	Filler 1		Blank
18	Filler 2		Blank
19	Filler 3		Blank
20	Action	Char (1)	Expected sample value: 'A', 'D', 'U' (Refer Point below)

The format shall incorporate an additional column “Action” indicating any revision in the report submitted. “A” will be default option available in the column while making the submission. In case of any revision, Members shall only upload the file with incremental data and shall mention ‘D’ for deleted records and ‘U’ for updated records in the said additional column