NSE Clearing Limited
(Formerly known as National Securities Clearing Corporation Limited)

Department: COMPLIANCE	
Download Ref No: NCL/CMPL/43201	Date: January 10, 2020
Circular Ref. No: 02/2020	

All Members/Custodians/PCM,

Sub: Change in File format for reporting of Trading Member-wise, client-wise Collateral details by Clearing Members.

Attention of all Clearing members is drawn towards NSE circulars Ref No: NSE/INSP/39393 dated November 13, 2018, NSE/INSP/39855 dated January 03, 2019, NSE/INSP/40743 dated April 12, 2019, NCL/COMP/41068 dated May 20, 2019 and NCL/COMP/41500 dated July 03, 2019 on weekly upload of Holding statements.

Clearing Members are hereby informed that the file format for Trading Member-wise details of Cash and Cash equivalent collateral is being revised and is enclosed as Annexure-1.

The data shall be uploaded, in a single file, for all calendar days of the week, except Sunday, separately for each of the aforesaid reporting, on or before the next four trading days of subsequent week.

Clearing Members are, hereby, advised to make necessary developments at their end to generate the required data in the specified format. The format has been finalized in consultation with other Clearing Corporations.

All other requirements as stated in the earlier circulars shall continue to prevail.

The provisions of this circular shall be applicable for reporting date starting from February 10, 2020.

For and on behalf of

NSE Clearing Limited

Jinal Shah

Senior Manager

Annexure 1

File Nomenclature: <CMPAN>_Collat_details_ddmmyyyy.nn

Where

CMPAN is the reporting Clearing member’s PAN (10 digit)

ddmmyyyy is end of reporting week i.e. Saturday in ddmmyyyy format

nn = incremental batch no

File format: CSV

Clearing Member ID (Assigned by CC)	Clearing Member PAN	Date	Trading Member Code/CP Code	Trading member name/CP name	Trading member PAN/CP PAN	Account Type	Segment Indicator	Cash	Bank Guarantee (BG)

Fixed deposit receipt (FDR)	Government Securities (GSEC)	Equity Shares	Mutual Funds (MF)	Corporate Bonds (CB)	Others	Filler 1	Filler 2	Filler 3	Action

File description:

Sr no.	Field Name	Length	Description
1	Clearing Member ID (Assigned by CC)	Char (6)	Alpha Numeric Clearing Member ID
2	Clearing Member PAN	Char (10)	Alpha-numeric clearing member PAN
3	Date	DATE	Date of holding the collaterals Expected sample value : DD-MM-YYYY
4	Trading Member Code/CP Code	Char (12)	Member/CP Code of respective member
5	Trading member name/CP name	Char (100)	Alpha Numeric Client Name
6	Trading member PAN/CP PAN	Char (10)	Alpha-numeric trading member PAN
7	Account Type	Char (1)	Value “P” for Collateral provided towards Proprietary positions Value “C” for Collateral provided towards Client positions

Sr no.	Field Name	Length	Description
8	Segment Indicator	Char (2)	Values shall be CM, FO, CD, CO, SB and DT
9	Cash	Number (20)	Value in Rs.
10	Bank Guarantee (BG)	Number (20)	Value in Rs.
11	Fixed deposit receipt (FDR)	Number (20)	Value in Rs.
12	Government Securities (GSEC)	Number (20)	Value of GSEC in Rs. Numeric form Decimals shall be allowed up to 3 digits
13	Equity Shares	Number (20)	Value of Equity Shares in Rs. Numeric form Decimals shall be allowed up to 3 digits
14	Mutual Funds (MF)	Number (20)	Value of Mutual Funds in Rs. Numeric form Decimals shall be allowed up to 3 digits
15	Corporate Bonds (CB)	Number (20)	Value of Corporate Bonds in Rs. Numeric form Decimals shall be allowed up to 3 digits
16	Others	Number (20)	Value in Rs. Numeric form Decimals shall be allowed up to 3 digits
17	Filler 1		Blank
18	Filler 2		Blank
19	Filler 3		Blank
20	Action	Char (1)	Expected sample value: A D U

The format shall incorporate an additional column "Action" indicating any revision in the report submitted. "A" will be default option available in the column while making the submission. In case of any revision, Members shall only upload the file with incremental data and shall mention 'D' for deleted records and 'U' for updated records in the said additional column.