

- 1 Name of Listed Entity : **U Y FINCORP LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : BSE : 530579, CSE: 10017059
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : As on **27/09/2024**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
6	Whether company has equity shares with differential voting rights?		NO
7	Whether the listed entity has any significant beneficial owner?	YES	

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	No. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv) + (v) + (vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii) + (x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class eq. x	Class eq. y	Total			As a % of total Shares held (a)	As a % of total Shares held (b)	No. (c)	As a % of total Shares held (d)	
(A)	Promoter & Promoter Group	6	135783683	0	0	135783683	71.376	135783683	0	135783683	0	71.376	0	0.000	0	0.000	135783683
(B)	Public	15831	54454646	0	0	54454646	28.624	54454646	0	54454646	0	28.624	0	0.000	0	0.000	54454646
(C)	Public																
(C1)	Shares underlying DRs																
(C2)	Shares held by Employee Trusts																
	TOTAL	15837	190238329	0	0	190238329	100.000	190238329	0	190238329	0	100.000	0	0.000	0	0.000	190112910



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

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Category & Name of shareholders (i)	Entity type i.e. promoter (ii)	No. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Restricted (vi)	Total no. shares held (vii) = (iv)+(v)+(vi)	Shareholding % of the Promoter, as a % of A+B+C2: (viii)	Number of Voting Rights held in each class of securities (ix)			Shareholding as a % of the Promoter, as a % of A+B+C2: (x)	No. of Shares underlying Depository Receipts (including Warrants) (xi)	Shareholding as a % of the Promoter, as a % of A+B+C2: (xii)	Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held by demutualized form (xiv)	
OR promoter group entity (except promoter)								No. of Voting Rights						Total as a % of Total Voting Rights	No. of Shares Held (xv)		As a % of Total Shares Held (xvi)
								Class x	Class y	Total							
1)	Indian Individual/Hindu Undivided Family	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
a)	PROMOTER GROUP	4	85298555	0	0	85298555	44.838	85298555	0	85298555	44.838	0	44.838	0	0.000	85298555	
	DEEPAK KOTHARI	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.015	0	0.000	28000	
	PROMOTER GROUP	0	37430914	0	0	37430914	19.676	37430914	0	37430914	19.676	0	19.676	0	0.000	37430914	
	DEEPAK KOTHARI	0	3689002	0	0	3689002	1.939	3689002	0	3689002	1.939	0	1.939	0	0.000	3689002	
	PROMOTER GROUP	0	44151639	0	0	44151639	23.209	44151639	0	44151639	23.209	0	23.209	0	0.000	44151639	
b)	Government/State	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
	Financial Institutions/Banks	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
c)	Any Other	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
d)	Bodies Corporate	2	50484128	0	0	50484128	26.537	50484128	0	50484128	26.537	0	26.537	0	0.000	50484128	
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	19.474	0	0.000	37046208	
	UTI INDUSTRIES PRIVATE LTD	0	13437920	0	0	13437920	7.064	13437920	0	13437920	7.064	0	7.064	0	0.000	13437920	
	Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
	Clearing Members	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
	Sub-Total (A)(1)	6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	71.376	0	0.000	135783683	
2)	Foreign Important year- Resident	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
	Individual/Foreign (Individuals)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
a)	Government Institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
b)	Foreign Portfolio Investor	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
c)	Any Other OCI/ OCBs	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
d)	QFI - Individual	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
e)	QFI - Corporate	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
	Sub-Total (A)(2)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	
	Total shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	135783683	0	0	135783683	71.376	135783683	0	135783683	71.376	0	71.376	0	0.000	135783683	





Table III - Statement showing shareholding pattern of the Public shareholder

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Category & Name of shareholders (a)	PAN (b)	No. of shareholders (BB)	No. of fully paid-up equity shares held (BV)	No. of equity shares underlying Depository Receipt (BV)	Total no. shares held (BV) = (BV) + (BV)(4)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (BV)	Number of Voting Rights held in each class of securities (C)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (C4)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (BV+C3 as a % of (A+B+C))	Number of Locked in shares (C5)		Number of Shares pledged or otherwise encumbered (C6)	Number of equity shares held in dematerialized form (C7)	Sub-categorization of shares (C8)			
							No. of Voting Rights					No. (a)	As a % of Total Shares held (b)			No. (a)	As a % of Total Shares held (b)	Shareholding (No. of shares) under	Sub-category Sub-category (b)
							Class x	Class y	Total										
(1) Institutions (Domestic)		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
(a) Mutual Funds		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
(b) Venture Capital Funds		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Alternate Investment																			
(c) Funds		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Financial																			
(d) Institutions/Banks		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Financial Institutions		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Banks		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
(e) Insurance Companies		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Prudent																			
(f) Fund/Pension Funds		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Asset Reconstruction																			
(g) Companies		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Sovereign Wealth																			
(h) Funds		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
NBFCs registered with																			
(i) RBI		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Other Financial																			
(j) Institutions		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Any Other		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
(k) Sub-Total (B)(1)		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
(2) Institutions (Foreign)		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Foreign Direct																			
(a) Investment		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Foreign Venture Capital																			
(b) Investors		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Sovereign Wealth																			
(c) Funds		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Foreign Portfolio																			
(d) Investors		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Category I		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Category II		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Overseas Depositors																			
(e) (Holding		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
(f) (DRs)(balancing figure)		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Any Other		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
QFI Corporate																			
FI - CORPORATE -																			
CAT-III		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Sub-Total (B)(2)		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Central																			
Government/State																			
(3) Government/State Government (c)		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Central																			
Government/President																			
(a) of India		1	4000	0	4000	0.002	4000	0	4000	0	0.002	0	0.000	0	0.000	4000			
State		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				
Government/Governor																			
Companies or Bodies																			
Corporate where																			
Central/State																			
Government is a																			
Promoter		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0			
(c) Sub-Total (B)(3)		1	4000	0	4000	0.002	4000	0	4000	0	0.002	0	0.000	0	0.000	4000			
(4) Non-Institutions		0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0				



Table V - Statement showing shareholding details of significant beneficial owner

Details of the significant beneficial owner (I)				Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (III) Whether by virtue of:					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Deepak Kothari		Indian	Deepak Kothari (HUF)		Indian	19.69			No	No	30-01-2017



Table VI - Statement showing foreign ownership limits

Particulars	Approved Limits(%)	Limits utilized (%)
As on shareholding date	100	0.19
As on the end of previous 1st quarter	100	0.09
As on the end of previous 2nd quarter	100	0.09
As on the end of previous 3rd quarter	100	0.11
As on the end of previous 4th quarter	100	0.14

