

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity: UMA CONVERTER LIMITED			
2. Scrip Code/Name of Scrip/Class of Security			
3. Share Holding Pattern Filed under: Reg. 31(1)(a)			
a. If under 31(1)(b) then indicate the report for Quarter ending		27-12-2022	
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		
2	Whether the Listed Entity has issued any Convertible Securities ?		
3	Whether the Listed Entity has issued any Warrants ?		
4	Whether the Listed Entity has any shares against which depository receipts are issued?		
5	Whether the Listed Entity has any shares in locked-in?	Yes*	
6	Whether any shares held by promoters are pledge or otherwise encumbered?		
7	Whether company has equity shares with differential voting rights?		
8	Whether the listed entity has any significant beneficial owner?		
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.			

Table I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES																		
Category (I)	Category of shareholder (II)	No.s of Share holders (III)	No. of fully paid up equity Share held (IV)	No.s of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Votting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class Equity x	Class Others y	Total								
(A)	Promoter & Promoter Group	8	13959966	0	0	13959966	68.8555	13959966	0	13959966	68.8555	0	68.8555	13959966	100.0000	0	0.0000	13959966
(B)	Public	1043	6314320	0	0	6314320	31.1445	6314320	0	6314320	31.1445	0	31.1445	738320	11.6928	0	0	6314320
(C)	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	1051	20274286	0	0	20274286		20274286	0	20274286	100.0000	0	100.0000	14698286	72.4972			20274286

Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category & Name of the shareholders (I)	Entity Type	No of Share holders (III)	No of fully paid up equity Shares held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholdin g % calculated as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholdin g, as a % assuming full conversion of convertible securities(as a % of diluted share capital)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
								Class Equity	Class Others									Total
1 Indian																		
(a) Individuals/Hindu undivided Family																		
NIRMALA LODHA	Promoter	1	5651101	0	0	5651101	27.8732	5651101	0	5651101	27.8732	0	27.8732	5651101	100.0000	0	0.0000	5651101
SUMER RAJ LODHA	Promoter	1	4046188	0	0	4046188	19.9572	4046188	0	4046188	19.9572	0	19.9572	4046188	100.0000	0	0.0000	4046188
GANPAT BHANSALI	Promoter Group	1	10000	0	0	10000	0.0493	10000	0	10000	0.0493	0	0.0493	10000	100.0000	0	0.0000	10000
ABHISHEK SUMERRAJ LODHA	Promoter	1	2640214	0	0	2640214	13.0225	2640214	0	2640214	13.0225	0	13.0225	2640214	100.0000	0	0.0000	2640214
LILA DEVI BOTHRA	Promoter Group	1	100000	0	0	100000	0.4932	100000	0	100000	0.4932	0	0.4932	100000	100.0000	0	0.0000	100000
SHRADHA LODHA	Promoter Group	1	760000	0	0	760000	3.7486	760000	0	760000	3.7486	0	3.7486	760000	100.0000	0	0.0000	760000
Total		6	13207503	0	0	13207503	65.1441	13207503	0	13207503	65.1441	0	65.1441	13207503	100.0000	0	0.0000	13207503
(b) Central Government/State Government(s)																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions/Banks																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other(ANY OTHERS (Specify))																		
SUMERRAJ LODHA & SONS HUF	Promoter Group	1	588463	0	0	588463	2.9025	588463	0	588463	2.9025	0	2.9025	588463	100.0000	0	0.0000	588463
ABHISHEK LODHA AND SONS (HUF)	Promoter Group	1	164000	0	0	164000	0.8089	164000	0	164000	0.8089	0	0.8089	164000	100.0000	0	0.0000	164000
Total		2	752463	0	0	752463	3.7114	752463	0	752463	3.7114	0	3.7114	752463	100.0000	0	0.0000	752463
Sub-Total (A) 1		8	13959966	0	0	13959966	68.8555	13959966	0	13959966	68.8555	0	68.8555	13959966	100.0000	0	0.0000	13959966
2 Foreign																		
(a) Individuals(Non-Resident Individuals/Foreign Individuals)																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions																		
Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub-Total (A) 2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoters		8	13959966	0	0	13959966	68.8555	13959966	0	13959966	68.8555	0	68.8555	13959966	100.0000	0	0.0000	13959966
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
Note:																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The term “Encumbrance” has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
Note:
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.
(5) Sub-categorization of shareholders under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:
(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.
(ii) Shareholders who have entered into shareholder agreement with the listed entity.
(iii) Shareholders acting as persons in concert with promoters.

Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER

[illegible]

[illegible]

Annexure - B**Table VI - Statement Showing Foreign Ownership Limit**

	<i>Board approved limits</i>	<i>Board approved limits</i>
As on shareholding date		
As on the end of previous 1st quarter		
As on the end of previous 2nd quarter		
As on the end of previous 3rd quarter		
As on the end of previous 4th quarter		