

Format of Holding of Specified securities

POST ISSUE
28.10.2020

1. Name of Listed Entity: EQUITAS SMALL FINANCE BANK LIMITED
2. Scrip Code/Name of Scrip/Class of Security: EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?	Yes	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:



Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class: Equity Shares	Class: N.A.	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)				(X)	(XI)	(XII)		(XIII)		(X'IV)
(A)	Promoter & Promoter Group	6	933943363	0	0	933943363	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00	933943363
(B)	Public	119254	204306723	0	0	204306723	17.95	204306723	0	204306723	17.95	0	17.95	89785510	43.95	NA	NA	204306723
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	119260	1138250086	0	0	1138250086	100.00	1138250086	0	1138250086	100.00	0	100.00	1023728873	89.94	0	0.00	1138250086



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

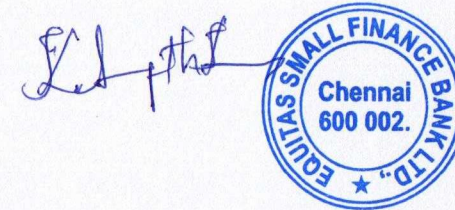
Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class: Equity Shares	Class: N.A.	Total							
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Indian																
(a)	Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	Central Government/State Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(d)	Any Other	6	933943363	0	0	933943363	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00
	EQUITAS HOLDINGS LIMITED	1	933943349	0	0	933943349	82.05	933943349	0	933943349	82.05	0	82.05	933943349	100.00	0	0.00
	Bhaskar Srinivasan*	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	10	100.00	0	0.00
	John Alex*	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	Sridharan Nanuiyer*	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	Raghavan H.K.N *	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	Arcot Sravanakumar*	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	1	100.00	0	0.00
	Sub-Total (A)(1)	6	933943363	0	0	933943363	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00
(2)	Foreign																
	Individuals (Non-Resident)																
(a)	Individuals/Foreign Individuals	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	933943363	0	0	933943363	82.05	933943363	0	933943363	82.05	0	82.05	933943363	100.00	0	0.00

*10 Equity Shares are held by Bhaskar Srinivasan and one Equity Share each is held by Sridharan Nanuiyer, Arcot Sravanakumar, John Alex and Raghavan H.K.N as the nominees on behalf of EHL, which is the beneficial owner of such Equity Shares



Table III - Statement showing shareholding pattern of the Public shareholder

Category y	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Sharehol ding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class: Equity Shares	Class: N.A.	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Institutions																	
(a)	Mutual Funds	6	34971355	0	0	34971355	3.07	34971355	0	34971355	3.07	0	3.07	21514500	61.52	NA	NA	34971355
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	10	52690450	0	0	52690450	4.63	52690450	0	52690450	4.63	0	4.63	51245889	97.26	NA	NA	52690450
	IIFL SPECIAL OPPORTUNITIES FUND - SE	1	12738648	0	0	12738648	1.12	12738648	0	12738648	1.12	0	1.12	12738648	100.00	NA	NA	12738648
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	7	14815956	0	0	14815956	1.30	14815956	0	14815956	1.30	0	1.30	6116671	41.28	NA	NA	14815956
(f)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	Qualified Institutional Buyer	4	30335892	0	0	30335892	2.67	30335892	0	30335892	2.67	0	2.67	10908450	35.96	NA	NA	30335892



	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LIMITED	1	14548412	0	0	14548412	1.28	14548412	0	14548412	1.28	0	1.28	3787650	26.03	NA	NA	14548412
	Sub Total (B)(1)	27	132813653	0	0	132813653	11.67	132813653	0	132813653	11.67	0	11.67	89785510	67.60	NA	NA	132813653
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs	118361	68400109	0	0	68400109	6.01	68400109	0	68400109	6.01	0	6.01	0	0.00	NA	NA	68400109
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	26	2247750	0	0	2247750	0.20	2247750	0	2247750	0.20	0	0.20	0	0.00	NA	NA	2247750
(b)	NBFCs Registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	NON RESIDENT INDIANS	839	838911	0	0	838911	0.07	838911	0	838911	0.07	0	0.07	0	0.00	NA	NA	838911
	BODIES CORPORATES	1	6300	0	0	6300	0.00	6300	0	6300	0.00	0	0.00	0	0.00	NA	NA	6300
	Sub Total (B)(3)	119227	71493070	0	0	71493070	6.28	71493070	0	71493070	6.28	0	6.28	0	0.00			71493070
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	119254	204306723	0	0	204306723	17.95	204306723	0	204306723	17.95	0	17.95	89785510	43.95			204306723

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Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders	No of shares
-	-

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Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class: Equity Shares	Class: N.A.	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit Regulations 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0



Table V - Statement showing details of Significant Beneficial Owners (SBOs)

Sr. No	Details of the SBO (I)			Details of the registered owner (II)						Details of holding/ exercise of right of the SBO in the								Date of creation / acquisition of significant beneficial interest# (IV)
	Name	Nationality		Name				PAN/ Passport No. in case of a foreign n	Nation ality	Whether by virtue of:								
										Shares	Voting Rights	Rights on distributable dividend or any other distribution		Exercise of control		Exercise of significant influence		
1	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

