

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Aarti Surfactants Limited	
2.	Scrip Code/Name of Scrip/Class of Security: Equity Shares	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending : Shareholding pattern is of dated 26.06.2020
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment : NA
	Shareholding pattern is filed pursuant to the checklist of trading approval documents. Shareholding pattern is of dated 26.06.2020	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

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Aarti Surfactants Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of sharehold ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlyin g Depositor y Receipts	Total nos. shares held (VI) = (IV)+(V)+ (VI)	Sharehold ing % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Understandi ng convertibl e securities (including sequenc es)	Sharehold ing, as a % assuming full conversio n of convertibl e securities (XII) (XIII)	Number of Shares pledged or otherwise encumbered	Number of equity shares held in demateria lised form		
								No of Voting Rights			Total as a % of (A+B+C)						
								Class eg: X	Class eg: Y	Total							
																(X)	(XII)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)		
1	Indian																
(a)	Individuals / Hindu Undivided Family		15	2254038	0	0	2254038	29.7191	2254038	0	2254038	29.7191	0	29.7191	0	0.0000	2251589
	Jaya Chandrakant Gogri	-	1	399449	0	0	399449	5.2667	399449	0	399449	5.2667	0	5.2667	0	0.0000	399449
	Rashesh Chandrakant Gogri	-	1	383438	0	0	383438	5.0556	383438	0	383438	5.0556	0	5.0556	0	0.0000	383438
	Mirik Rajendra Gogri	-	1	350182	0	0	350182	4.6171	350182	0	350182	4.6171	0	4.6171	0	0.0000	350182
	Renil Rajendra Gogri	-	1	342973	0	0	342973	4.5220	342973	0	342973	4.5220	0	4.5220	0	0.0000	342973
	Hetal Gogri Gala	-	1	261553	0	0	261553	3.4485	261553	0	261553	3.4485	0	3.4485	0	0.0000	259104
	Rajendra Vallabhaji Gogri	-	1	155489	0	0	155489	2.0501	155489	0	155489	2.0501	0	2.0501	0	0.0000	155489
	Chandrakant Vallabhaji Gogri	-	1	85302	0	0	85302	1.1247	85302	0	85302	1.1247	0	1.1247	0	0.0000	85302
	Manisha Rashesh Gogri	-	1	55000	0	0	55000	0.7252	55000	0	55000	0.7252	0	0.7252	0	0.0000	55000
	Aarnav Rashesh Gogri	-	1	54999	0	0	54999	0.7252	54999	0	54999	0.7252	0	0.7252	0	0.0000	54999
	Aashay Rashesh Gogri	-	1	54999	0	0	54999	0.7252	54999	0	54999	0.7252	0	0.7252	0	0.0000	54999
	Arti Rajendra Gogri	-	1	53425	0	0	53425	0.7044	53425	0	53425	0.7044	0	0.7044	0	0.0000	53425
	Rajendra Vallabhaji Gogri (Huf)	-	1	30827	0	0	30827	0.4064	30827	0	30827	0.4064	0	0.4064	0	0.0000	30827
	Indira Madan Dedhia	-	1	17380	0	0	17380	0.2292	17380	0	17380	0.2292	0	0.2292	0	0.0000	17380
	Late Dhanvanti Vallabhaji Gogri	-	1	8984	0	0	8984	0.1185	8984	0	8984	0.1185	0	0.1185	0	0.0000	8984
	Pooja Renil Gogri	-	1	38	0	0	38	0.0005	38	0	38	0.0005	0	0.0005	0	0.0000	38
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		9	1077501	0	0	1077501	14.2067	1077501	0	1077501	14.2067	0	14.2067	0	0.0000	766501
	Promoter Trust		3	561000	0	0	561000	7.3967	561000	0	561000	7.3967	0	7.3967	0	0.0000	250000
	Labdhi Business Trust	-	1	250000	0	0	250000	3.2962	250000	0	250000	3.2962	0	3.2962	0	0.0000	250000
	Tulip Family Trust	-	1	159000	0	0	159000	2.0964	159000	0	159000	2.0964	0	2.0964	0	0.0000	0
	Orchid Family Trust	-	1	152000	0	0	152000	2.0041	152000	0	152000	2.0041	0	2.0041	0	0.0000	0
	Bodies Corporate		6	516501	0	0	516501	6.8100	516501	0	516501	6.8100	0	6.8100	0	0.0000	516501
	Anushakti Enterprise Private Limited	-	1	249250	0	0	249250	3.2863	249250	0	249250	3.2863	0	3.2863	0	0.0000	249250
	Safechem Enterprises Private Limited	-	1	146300	0	0	146300	1.9289	146300	0	146300	1.9289	0	1.9289	0	0.0000	146300
	Alchemie Financial Services Limited	-	1	67300	0	0	67300	0.8873	67300	0	67300	0.8873	0	0.8873	0	0.0000	67300
	Alchemie Finserv Pvt. Ltd.	-	1	26410	0	0	26410	0.3482	26410	0	26410	0.3482	0	0.3482	0	0.0000	26410
	Gogri Finserv Pvt. Ltd.	-	1	26410	0	0	26410	0.3482	26410	0	26410	0.3482	0	0.3482	0	0.0000	26410
	Dilesh Roadlines Pvt Ltd	-	1	831	0	0	831	0.0110	831	0	831	0.0110	0	0.0110	0	0.0000	831
	Sub Total (A)(1)		24	3331539	0	0	3331539	43.9258	3331539	0	3331539	43.9258	0	43.9258	0	0.0000	3018090

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Aarti Surfactants Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlyin- g Depositor y Receipts	Total nos. shares held	Sharehold- ing % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlyin- g Outstanding securities (including securities convertible into securities) (XII)	Sharehold- ing, as a % assuming full conversion of convertible securities (XIII)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in demateria- lised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Institutions																	
(a)	Mutual Fund	20	1288834	0	0	1288834	16.9931	1288834	0	1288834	16.9931	0	16.9931	0	0.0000	NA	NA	1288834
	Hdfc Trustee Company Ltd	1	713681	0	0	713681	9.4098	713681	0	713681	9.4098	0	9.4098	0	0.0000	NA	NA	713681
	Dsp Small Cap Fund	1	137939	0	0	137939	1.8187	137939	0	137939	1.8187	0	1.8187	0	0.0000	NA	NA	137939
	L And T Mutual Fund Trustee Ltd	1	134320	0	0	134320	1.7710	134320	0	134320	1.7710	0	1.7710	0	0.0000	NA	NA	134320
	Sundaram Mutual Fund	1	78670	0	0	78670	1.0373	78670	0	78670	1.0373	0	1.0373	0	0.0000	NA	NA	78670
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds	14	76938	0	0	76938	1.0144	76938	0	76938	1.0144	0	1.0144	0	0.0000	NA	NA	76938
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor	120	679080	0	0	679080	8.9536	679080	0	679080	8.9536	0	8.9536	0	0.0000	NA	NA	679080
(f)	Financial Institutions / Banks	1	2	0	0	2	0.0000	2	0	2	0.0000	0	0.0000	0	0.0000	NA	NA	2
(g)	Insurance Companies	7	73485	0	0	73485	0.9689	73485	0	73485	0.9689	0	0.9689	0	0.0000	NA	NA	73485
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)	162	2118339	0	0	2118339	27.9299	2118339	0	2118339	27.9299	0	27.9299	0	0.0000	NA	NA	2118339
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																	
(a)	Individuals		0	0												NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	26612	1415854	0	0	1415854	18.6678	1415854	0	1415854	18.6678	0	18.6678	0	0.0000	NA	NA	1331803
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	476158	0	0	476158	6.2781	476158	0	476158	6.2781	0	6.2781	0	0.0000	NA	NA	476158
(b)	Tarla Kishorekumar Shah	2	4	0	0	4	0.0001	4	0	4	0.0001	0	0.0001	0	0.0000	NA	NA	4
	NBFCs registered with RBI	5	883	0	0	883	0.0116	883	0	883	0.0116	0	0.0116	0	0.0000	NA	NA	883
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	2258	228628	0	0	228628	3.0144	228628	0	228628	3.0144	0	3.0144	0	0.0000	NA	NA	228362
(e)	Any Other (Specify)	1	29914	0	0	29914	0.3944	29914	0	29914	0.3944	0	0.3944	0	0.0000	NA	NA	29914
	IEPF	7	4814	0	0	4814	0.0635	4814	0	4814	0.0635	0	0.0635	0	0.0000	NA	NA	4813
	Trusts	695	48055	0	0	48055	0.6336	48055	0	48055	0.6336	0	0.6336	0	0.0000	NA	NA	48044
	Hindu Undivided Family																	

	Non Resident Indians (Non Repat)	318	45814	0	0	45814	0.6040	45814	0	45814	0.6040	0	0.6040	0	0.0000	NA	NA	45754
	Non Resident Indians (Repat)	607	15424	0	0	15424	0.2034	15424	0	15424	0.2034	0	0.2034	0	0.0000	NA	NA	15416
	Clearing Member	79	5458	0	0	5458	0.0720	5458	0	5458	0.0720	0	0.0720	0	0.0000	NA	NA	5458
	Bodies Corporate	551	79149	0	0	79149	1.0436	79149	0	79149	1.0436	0	1.0436	0	0.0000	NA	NA	78963
	Sub Total (B)(3)	28887	2121527	0	0	2121527	27.9720	2121527	0	2121527	27.9720	0	27.9720	0	0.0000	NA	NA	2037210
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	29049	4239866	0	0	4239866	55.9019	4239866	0	4239866	55.9019	0	55.9019	0	0.0000	NA	NA	4155549

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares
NA	NA

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
133	11367

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																			
	Category & Name of the shareholders	PAN	Nos. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlyin- g Depositor y Receipts	Total nos. shares held	Sharehold- ing % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlyin- g Outstanding convertible securities (including securities)	Sharehold- ing % assuming full conversion of convertible securities (XI)=(VII)+(X) As a % of	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in demateria- lised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: Y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	NA	NA	0	

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of share;

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

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Statement showing details of significant beneficial owners									
Sr.No	Details of the significant beneficial owner			Details of the registered owner			Particulars of the shares in which significant beneficial interest is held by the beneficial owner		Date of creation / acquisition of significant beneficial interest
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	
Not Applicable									

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