

National Stock Exchange of India Limited**DEPARTMENT : LISTING**

Download Ref. No.: NSE/CML/43087	Date : January 01, 2020
Circular Ref. No.: 0005/2020	

To All Members,

Sub: Listing of further issues of AU Small Finance Bank Limited and Security and Intelligence Services (India) Limited

In pursuance of Regulation 3.1.1 of the National Stock Exchange (Capital Market) Trading Regulations Part A, it is hereby notified that the list of securities further admitted to dealings on the National Stock Exchange (Capital Market segment) with effect from January 02, 2020 the designated security codes thereof shall be as specified in Annexure.

In pursuance of Regulation 2.5.5 of the National Stock Exchange (Capital Market) Trading Regulations Part A, it is hereby notified that the respective lot sizes in respect of securities above shall be as specified in Annexure.

For the purpose of trading on the system, the security shall be identified only by its designated codes and trading in such securities shall be in such lot sizes as specified in Annexure.

This circular shall be effective from January 02, 2020.

For and on behalf of
National Stock Exchange of India Limited

Rajendra Bhosale
Manager

Toll Free Number
1800 266 0058

ANNEXURE

1. AU Small Finance Bank Limited

Symbol	AUBANK
Name of the Company	AU Small Finance Bank Limited
Series	EQ
ISIN*	INE949L01017
Face Value (In Rs.)	10
Paid-up Value (In Rs.)	10
Issue Price (In Rs.)	692.77
Security Description	Equity shares of Rs.10/- each allotted on preferential basis pursuant to conversion of warrants
Date of Allotment	13-Dec-2019
No. of Securities	10104364
Distinctive Number Range	293510410 to 303614773
Market Lot	1
Pari Passu	Yes
Lock-in Expiry Date	02-Jan-2021

2. Security and Intelligence Services (India) Limited

Symbol	SIS
Name of the Company	Security and Intelligence Services (India) Limited
Series	EQ
ISIN*	INE285J01010
Face Value (In Rs.)	10
Paid-up Value (In Rs.)	10

Security Description	Equity shares of Rs. 10/- each allotted under ESOP.
Date of Allotment	23-Dec-2019
No. of Securities	550
Distinctive Number Range	73418603 to 73419152
Market Lot	1
Pari Passu	Yes
Lock-in details	Not Applicable.

* Currently equity shares allotted in dematerialized mode have been credited under the temporary ISIN (IN8*****) in accordance with the SEBI circular no. CIR/MRD/DP/21/2012 dated August 02, 2012 and CIR/MRD/DP/24/2012 dated September 11, 2012 regarding activation of ISIN in case of additional issue of shares / securities.