

National Stock Exchange Of India Limited**DEPARTMENT : LISTING DEPARTMENT****Download Ref. No.: NSE/CML/42259****Date: September 30, 2019****Circular Ref. No.: 0836/2019**

To All Members,

Sub: Listing of Equity Shares of Shiv Aum Steels Limited (SME IPO)

In pursuance of Regulation 3.1.1 of the National Stock Exchange (Capital Market) Trading Regulations Part A, it is hereby notified that the list of securities further admitted to dealings on the National Stock Exchange (Capital Market segment) EMERGE SME Platform with effect from October 01, 2019 and the designated security codes thereof shall be as specified in Annexure.

In pursuance of Regulation 2.5.5 of the National Stock Exchange (Capital Market) Trading Regulations Part A, it is hereby notified that the respective lot sizes in respect of securities above shall be as specified in Annexure.

For the purpose of trading on the system, the security shall be identified only by its designated codes and trading in such securities shall be in such lot sizes as specified in Annexure.

Members are requested to note that the above security will be part of pre-open session for IPO and Other category of scrips as per SEBI circular no. CIR/MRD/DP/01/2012 & CIR/MRD/DP/02/2012 dated January 20, 2012.

Members are requested to note that the above security will be available for trading in continuous market as per Exchange Circular No. NSE/CMTR/37202 dated March 14, 2018.

This circular shall be effective from October 01, 2019.

For and on behalf of
National Stock Exchange of India Limited

Amit Phatak
Deputy Manager

Telephone No**+91-22-26598233/8240**

Annexure

Symbol	SHIVAUM
Name of the Company	Shiv Aum Steels Limited
Series	ST (Trade for Trade Surveillance Segment (TFTS) – Settlement Type ‘W’)
ISIN	INE719F01016
Face Value	Rs. 10/-
Paid-up Value	Rs. 10/-
Issue Price for the current public issue	Rs. 44/- per share
Security Description	Equity shares of Rs. 10/- each fully paid up
No. of securities	13600400
Distinctive number range	1 to 13600400
Market lot & Market Maker Minimum Quantity	3000 Equity Shares
Market Maker Maximum Permissible Spread	The Spread shall be within 10%
Type of market	Continuous Market
Pari Passu	Yes
Lock in details	As per Annexure I
Remarks	Security can move from one type of market to another by giving a notice of one month to the Exchange which will be intimated to the market.

The equity shares of Shiv Aum Steels Limited (Symbol: SHIVAUM) shall be available for trading in Series 'ST' (Trade for Trade Surveillance Segment (TFTS) – Settlement Type ‘W’) and subsequently be shifted to Series 'SM' (Normal Rolling Segment – Settlement Type ‘N’) as per SEBI circular no. CIR/MRD/DP/01/2012 & CIR/MRD/DP/02/2012 dated January 20, 2012.

Address of Registered Office of the Company:
Shiv Aum Steels Limited

515, The Summit Business Bay,
Opp. Cinemax Western Express Way,
A.K. Road Andheri (East),
Mumbai - 400093.
Tel: +91 22 26827900 /01/02/03/04

Company Secretary: Ms. Dhvani Vora

Web Site: www.shivaumsteels.com

Email id: dhvani@shivaumsteels.com

Financial Year: April 2019 - March 2020

Address of Registrar & Transfer Agents of the Company:
Skyline Financial Services Pvt. Ltd.

A/505, Dattani Plaza, Andheri Kurla Road,
Safeed Pool, Andheri East,
Mumbai - 400072.

Tel: +91 22 28511022 / 49721245

Email: mumbai@skylinerta.com

Website: www.skylinerta.com

Investor Grievance Email: grievances@skylinerta.com

Contact Person: Mr. Subhash Dhingreja

Market Maker:**Aryaman Capital Markets Limited**

60, Khatau Building, Gr. Floor,

Alkesh Dinesh Modi Marg,

Opp. P. J. Tower (BSE Bldg.),

Fort, Mumbai - 400001.

Tel: +91 – 22 – 6216 6999

Email: aryacapm@gmail.com

Website: www.afsl.co.in/Acml

Contact Person: Mr. Harshad Dhanawade

Annexure I
Shiv Aum Steels Limited

No. of Equity Shares	Distinctive Nos.		Lockin To
	From	To	
Fully Paid-up			
1135500	1	1135500	30-Sep-2020
320000	1135501	1455500	30-Sep-2022
1947150	1455501	3402650	30-Sep-2020
162900	3402651	3565550	30-Sep-2022
281350	3565551	3846900	30-Sep-2020
150100	3846901	3997000	30-Sep-2022
1003200	3997001	5000200	30-Sep-2020
1317150	5000201	6317350	30-Sep-2022
2500	6317351	6319850	30-Sep-2020
145850	6319851	6465700	30-Sep-2022
1086100	6465701	7551800	30-Sep-2020
640000	7551801	8191800	30-Sep-2022
1808600	8191801	10000400	30-Sep-2020
3600000	10000401	13600400	Free
13600400	Total		