

Format of Holding of Specified securities

1.	Name of Listed Entity: COX & KINGS FINANCIAL SERVICE LIMITED		
2.	Scrip Code/Name of Scrip/Class of Security: COXK,, EQUITY SHARES		
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)		
	a. if under 31(1)(b) then indicate the report for quarter ending 13/11/2018		
	b. if under 31(1)(c) then indicate date of allotment/extinguishment		
4.	Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:		
	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	YES	
e	Whether any shares held by promoters are pledge or otherwise encumbered?	YES	
	*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.		
5	The tabular format for disclosure of holding of specified securities is as follows:		



Table I - Summary Statement holding of specified securities as on March 31, 2019

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total			No.	As a %	No.	As a %	
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	9	43251194		0	43251194	59.37	43251194	0	43251194	59.37	0	59.37	16416667	37.96	18206139	42.09	43251194
(B)	Public	28116	29603260		0	29603260	40.63	29603260	0	29603260	40.63	0	40.63	0	0.00	NA	NA	29603141
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	28125	72854454	0	0	72854454	100.00	72854454	0	72854454	100.00	0	100.00	16416667	22.53	18206139	24.99	72854335



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C)	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total			No.	As a %	No.	As a % of	
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total		(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	2	1971334	0	0	1971334	2.71	1971334	0	1971334	2.71	0	2.71	0	0.00	1948993	98.87	1971334
	ELISABETH KERKAR	1	424800	0	0	424800	0.58	424800	0	424800	0.58	0	0.58	0	0.00	424800	100.00	424800
	URRSHILA KERKAR	1	1546534	0	0	1546534	2.12	1546534	0	1546534	2.12	0	2.12	0	0.00	1524193	98.56	1546534
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	4	33369504	0	0	33369504	45.80	33369504	0	33369504	45.80	0	45.80	16416667	49.20	15373146	46.07	33369504
	COX AND KINGS LIMITED	1	14000000	0	0	14000000	19.22	14000000	0	14000000	19.22	0	19.22	14000000*	100.00	0	0.00	14000000
	LIZ TRADERS AND AGENTS PRIVATE LIMITED	1	5803900	0	0	5803900	7.97	5803900	0	5803900	7.97	0	7.97	0	0.00	4666006	80.39	5803900
	SNEH SADAN TRADERS AND AGENTS LTD	1	11148937	0	0	11148937	15.30	11148937	0	11148937	15.30	0	15.30	0	0.00	10707123	96.04	11148937
	STANDFORD TRADING PRIVATE LIMITED	1	2416667	0	0	2416667	3.32	2416667	0	2416667	3.32	0	3.32	2416667	100.00	17	0.00	2416667
	Sub-Total (A)(1)	6	35340838	0	0	35340838	48.51	35340838	0	35340838	48.51	0	48.51	16416667	46.45	17322139	49.01	35340838
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	2	1794836	0	0	1794836	2.46	1794836	0	1794836	2.46	0	2.46	0	0.00	884000	49.25	1794836
	ANTHONY BRUTON MEYRICK GOOD	1	879944	0	0	879944	1.21	879944	0	879944	1.21	0	1.21	0	0.00	0	0.00	879944
	AJAY AJIT PETER KERKAR	1	914892	0	0	914892	1.26	914892	0	914892	1.26	0	1.26	0	0.00	884000	96.62	914892
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	1	6115520	0	0	6115520	8.39	6115520	0	6115520	8.39	0	8.39	0	0.00	0	0.00	6115520
	KUBBER INVESTMENTS (MAURITIUS) PVT LTD	1	6115520	0	0	6115520	8.39	6115520	0	6115520	8.39	0	8.39	0	0.00	0	0.00	6115520
	Sub-Total (A)(2)	3	7910356	0	0	7910356	10.86	7910356	0	7910356	10.86	0	10.86	0	0.00	884000	11.18	7910356
	Total Shareholding of Promoter and Promoter Group (A)=(A)	9	43251194	0	0	43251194	59.37	43251194	0	43251194	59.37	0	59.37	16416667	37.96	18206139	42.09	43251194



Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C 2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warra	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total		No.	As a %	No.	As a % of	
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total							
								(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Institutions																
(a)	Mutual Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Foreign Portfolio Investors	99	23541117	0	0	23541117	32.31	23541117	0	23541117	32.31	0	32.31	0	0.00	NA	23541117
	ABU DHABI INVESTMENT AUTHORITY - BEHAV	1	892271	0	0	892271	1.22	892271	0	892271	1.22	0	1.22	0	0.00	NA	892271
	THE BOARD OF REGENTS OF THE UNIVERSITY	1	919624	0	0	919624	1.26	919624	0	919624	1.26	0	1.26	0	0.00	NA	919624
	SMALLCAP WORLD FUND, INC	2	2500000	0	0	2500000	3.43	2500000	0	2500000	3.43	0	3.43	0	0.00	NA	2500000
	LETKO BROSEAU EMERGING MARKETS EQUI	1	1078347	0	0	1078347	1.48	1078347	0	1078347	1.48	0	1.48	0	0.00	NA	1078347
	NATIONAL WESTMINSTER BANK PLC AS TRUS	1	1267959	0	0	1267959	1.74	1267959	0	1267959	1.74	0	1.74	0	0.00	NA	1267959
	PREMIER INVESTMENT FUND LIMITED	1	1286907	0	0	1286907	1.77	1286907	0	1286907	1.77	0	1.77	0	0.00	NA	1286907
	NEW HORIZON OPPORTUNITIES MASTER FUN	1	2583333	0	0	2583333	3.55	2583333	0	2583333	3.55	0	3.55	0	0.00	NA	2583333
	VARANIUM INDIA OPPORTUNITY LTD	1	824349	0	0	824349	1.13	824349	0	824349	1.13	0	1.13	0	0.00	NA	824349
	KARST PEAK VERMILION PARTNERS MASTER F	1	1202067	0	0	1202067	1.65	1202067	0	1202067	1.65	0	1.65	0	0.00	NA	1202067
	KARST PEAK ASIA MASTER FUND	1	2083067	0	0	2083067	2.86	2083067	0	2083067	2.86	0	2.86	0	0.00	NA	2083067
	AL MEHWAR COMMERCIAL INVESTMENTS LL	1	843333	0	0	843333	1.16	843333	0	843333	1.16	0	1.16	0	0.00	NA	843333
	SSG INVESTMENT HOLDING INDIA I LIMITED	1	1018105	0	0	1018105	1.40	1018105	0	1018105	1.40	0	1.40	0	0.00	NA	1018105
(f)	Financial Institutions/Banks	3	7824	0	0	7824	0.01	7824	0	7824	0.01	0	0.01	0	0.00	NA	7824
(g)	Insurance Companies	1	619905	0	0	619905	0.85	619905	0	619905	0.85	0	0.85	0	0.00	NA	619905
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(i)	Any Other																
	Sub Total (B)(1)	103	24168846	0	0	24168846	33.17	24168846	0	24168846	33.17	0	33.17	0	0.00	NA	24168846
(2)	Central Government/State Government(s)/Pr	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(3)	Non-Institutions																
(a)	i. Individual shareholders holding nominal sha	26954	2166268		0	2166268	2.97	2166268	0	2166268	2.97	0	2.97	0	0.00	NA	2163846
	ii. Individual shareholders holding nominal sha	14	806298		0	806298	1.11	806298	0	806298	1.11	0	1.11	0	0.00	NA	806298
(b)	NBFCs Registered with RBI	6	25746	0	0	25746	0.04	25746	0	25746	0.04	0	0.04	0	0.00	NA	25746
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancir	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Any Other																
	TRUSTS	4	2456	0	0	2456	0.00	2456	0	2456	0.00	0	0.00	0	0.00	NA	2456
	ALTERNATIVE INVESTMENT FUND	1	121038	0	0	121038	0.17	121038	0	121038	0.17	0	0.17	0	0.00	NA	121038
	NON RESIDENT INDIANS	452	71214	0	0	71214	0.10	71214	0	71214	0.10	0	0.10	0	0.00	NA	71214
	CLEARING MEMBERS	33	6393	0	0	6393	0.01	6393	0	6393	0.01	0	0.01	0	0.00	NA	6381
	NON RESIDENT INDIAN NON REPATRIABLE	218	105647	0	0	105647	0.15	105647	0	105647	0.15	0	0.15	0	0.00	NA	105647
	BODIES CORPORATES	330	2128869	0	0	2128869	2.92	2128869	0	2128869	2.92	0	2.92	0	0.00	NA	2128869
	I E P F	1	485	0	0	485	0.00	485	0	485	0.00	0	0.00	0	0.00	NA	485
	Sub Total (B)(3)	28013	5434414	0	0	5434414	7.46	5434414	0	5434414	7.46	0	7.46	0	0.00	NA	5431980
	Total Public Shareholding (B) = (B)(1)+(B)(2)+	28116	29603260	0	0	29603260	40.63	29603260	0	29603260	40.63	0	40.63	0	0.00	NA	29600826



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C 2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			

