

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

General information about company	
Scrip Code	505255
Name of the company	GMM Pfaudler Limited
Class of Security	Equity Shares
Type of Report	Quarterly
Quarter ended	31-12-2017
Date of allotment/ extinguishment (in case of Capital Restructuring selected/ Listing date	N/A
Shareholding pattern filed under	Reg. 31(1)(b)



GMM Pfaudler Limited

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Declaration		
Sr. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	

1. All the fields in this table are mandatory.
2. Select (Yes/No) from drop down depending upon the applicability of shares of the company. For e.g. if you will select (No) then it will hide corresponding column from all the respective sheets



GMM Pfändler Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	No. Of Warrants	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights	Class eg: X	Class eg: Y	Total (A+B+C)				No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XI)(a)	(XII) = (VII)+(X) As a % of (A+B+C2)	(XIII)		(XIV)		(XV)
(A)	Promoter & Promoter Group	12	10963125	0	0	10963125	75.0000	0	10963125	0	75.0000	0	0.0000	75.0000	0	0.0000	0	0.0000	10963125
(B)	Public	8239	3654375	0	0	3654375	25.0000	0	3654375	0	25.0000	0	0.0000	25.0000	0	0.0000	NA	NA	3328160
(C)	Non Promoter - Non Public				0	0	0.0000	0	0	0	0.0000	0	0.0000	0.0000		0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0.0000	0	0.0000	NA	NA	0
	Total	8251	14617500	0	0	14617500	100.0000	0	14617500	0	100.0000	0	100.0000	100.0000	0	0.0000	0	0.0000	14291285





GMM Pfaudler Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Party paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	No. Of Warrants	No. Of Shares Underlying Outstanding convertible securities and Warrants	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise	Number of equity shares held in dematerialised form	
							No of Voting Rights							No. (a)	As a % of total Shares held(b)			
							Class eg: X	Class eg: y	Total									
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XII)(a)	(XII) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)	
1	Indian																	
(a)	Individuals / Hindu Undivided Family	8	1301045	0	0	1301045	8.9006	1301045	0	1301045	8.9006	0		8.9006	0	0.0000	0	1301045
	(i) Urmil Ashok Patel	1	625000	0	0	625000	4.2757	625000	0	625000	4.2757	0		4.2757	0	0.0000	0	625000
	(ii) A. J. Patel	1	277235	0	0	277235	1.8966	277235	0	277235	1.8966	0		1.8966	0	0.0000	0	277235
	(iii) Tarak Ashok Patel	1	173960	0	0	173960	1.1901	173960	0	173960	1.1901	0		1.1901	0	0.0000	0	173960
	(iv) Jijara A. Patel	1	166995	0	0	166995	1.1424	166995	0	166995	1.1424	0		1.1424	0	0.0000	0	166995
	(v) Panna Shailendra Patel	1	33750	0	0	33750	0.2309	33750	0	33750	0.2309	0		0.2309	0	0.0000	0	33750
	(vi) Pragna Satish Patel	1	16160	0	0	16160	0.1106	16160	0	16160	0.1106	0		0.1106	0	0.0000	0	16160
	(vii) Ashok Jethabhai Patel	1	6745	0	0	6745	0.0461	6745	0	6745	0.0461	0		0.0461	0	0.0000	0	6745
	(viii) Palomita Shailendra Patel	1	1200	0	0	1200	0.0082	1200	0	1200	0.0082	0		0.0082	0	0.0000	0	1200
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
(d)	Any Other (Specify)	4	9662080	0	0	9662080	66.0994	9662080	0	9662080	66.0994	0		66.0994	0	0.0000	0	9662080
[I]	Promoter Trust	1	253125	0	0	253125	1.7317	253125	0	253125	1.7317	0		1.7317	0	0.0000	0	253125
	(i) A. J. Patel Charitable Trust	1	253125	0	0	253125	1.7317	253125	0	253125	1.7317	0		1.7317	0	0.0000	0	253125
[II]	Bodies Corporate	3	9408955	0	0	9408955	64.3677	9408955	0	9408955	64.3677	0		64.3677	0	0.0000	0	9408955
	(i) Pfaudler Inc	1	7372475	0	0	7372475	50.4360	7372475	0	7372475	50.4360	0		50.4360	0	0.0000	0	7372475
	(ii) Millars Machinery Company Pvt Ltd.	1	1625595	0	0	1625595	11.1209	1625595	0	1625595	11.1209	0		11.1209	0	0.0000	0	1625595
	(iii) Uttrak Enterprises Pvt Ltd	1	410885	0	0	410885	2.8109	410885	0	410885	2.8109	0		2.8109	0	0.0000	0	410885
	Sub Total (A)(1)	12	10963125	0	0	10963125	75.0000	10963125	0	10963125	75.0000	0		75.0000	0	0.0000	0	10963125
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	0	0
	Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)	12	10963125	0	0	10963125	75.0000	10963125	0	10963125	75.0000	0		75.0000	0	0.0000	0	10963125

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GMM Pfaudler Limited
Table III – Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	No. Of Warrants	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants	Shareholding % assuming full conversion of convertible securities as a percentage of diluted share	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							No of Voting Rights							No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
							Class eg: X	Class eg: y	Total									
(i)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XII)(a)	(XII) = (VII)+(X) As a % of (A+B+C2)	(XIII)		(XIV)	
1	Institutions																	
	(a) Mutual Fund	0	0	0	0	0	0.0000	0	0	0	0	0	0.0000	0	0	0	0	0
	(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0	0	0.0000	0	0	0	0	0
	(c) Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0	0	0.0000	0	0	0	0	0
	(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0	0	0.0000	0	0	0	0	0
	(e) Foreign Portfolio Investor	1	1983	0	0	1983	0.0136	1983	0	1983	0.0136	0	0.0136	0	0	0	0	1983
	(f) Financial Institutions / Banks	1	225	0	0	225	0.0015	225	0	225	0.0015	0	0.0015	0	0	0	0	225
	(g) Insurance Companies	1	54448	0	0	54448	0.3725	54448	0	54448	0.3725	0	0.3725	0	0	0	0	54448
	(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	(i) Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
2	Sub Total (B)(1)	3	56656	0	0	56656	0.3876	56656	0	56656	0.3876	0	0.3876	0	0	0	0	56656
	Central Government/ State Government(s)/ President of India																	
	Central Government / State Government(s)	1	37982	0	0	37982	0.2598	37982	0	37982	0.2598	0	0.2598	0	0	0	0	37982
	Sub Total (B)(2)	1	37982	0	0	37982	0.2598	37982	0	37982	0.2598	0	0.2598	0	0	0	0	37982
	Non-Institutions																	
	(a) Individuals		0	0														
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7459	2546204	0	0	2546204	17.4189	2546204	0	2546204	17.4189	0	17.4189	0	0	0	0	2338974
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	118125	0	0	118125	0.8081	118125	0	118125	0.8081	0	0.8081	0	0	0	0	0
	(b) NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	(c) Employee Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
3	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	(d) Any Other (Specify)	775	895408	0	0	895408	6.1256	895408	0	895408	6.1256	0	6.1256	0	0	0	0	894548
	(e) Trusts	2	20732	0	0	20732	0.1418	20732	0	20732	0.1418	0	0.1418	0	0	0	0	20732
	(f) Hindu Undivided Family	325	127233	0	0	127233	0.8704	127233	0	127233	0.8704	0	0.8704	0	0	0	0	127233
	(g) Non Resident Indians (Non Repat)	65	37323	0	0	37323	0.2553	37323	0	37323	0.2553	0	0.2553	0	0	0	0	36538
	(h) Non Resident Indians (Repat)	130	113121	0	0	113121	0.7739	113121	0	113121	0.7739	0	0.7739	0	0	0	0	113121
	(i) Clearing Member	100	28934	0	0	28934	0.1979	28934	0	28934	0.1979	0	0.1979	0	0	0	0	28934
	(j) Bodies Corporate	163	568065	0	0	568065	3.8862	568065	0	568065	3.8862	0	3.8862	0	0	0	0	567990
	(k) Chandler & Price (I) Pvt Ltd	1	351555	0	0	351555	2.4050	351555	0	351555	2.4050	0	2.4050	0	0	0	0	351555
		Sub Total (B)(3)	8235	3559737	0	0	3559737	24.3526	3559737	0	3559737	24.3526	0	24.3526	0	0	0	0
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		8239	3654375	0	0	3654375	25.0000	3654375	0	3654375	25.0000	0	25.0000	0	0	0	0	3328160

Notes:

(1) PAN would not be displayed on website of Stock Exchange(s).

GMM Pfaudler Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	No. Of Warrants	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
								No of Voting Rights							No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XII)(a)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIII)	(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	NA	NA	0
Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0		0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available



Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group

Details of Shares which remain unclaimed for Promoter & Promoter Group				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
NIL				



Annexure to Table III- A- Details of the shareholders acting as persons in Concert for Public

Details of the shareholders acting as persons in Concert for Public			
Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
		NIL	



Annexure to Table III- B Details of Shares which remain unclaimed for Public

Details of Shares which remain unclaimed for Public				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
NIL				

