

Holding of Specified Securities

Annexure - I

1. Name of Listed Entity:	VASA RETAIL AND OVERSEAS LTD		
2. Scrip Code/Name of Scrip/Class of Security			
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a. If under 31(1)(b) then indicate the report for Quarter ending	01-02-2018		
b. If under 31(1)(c) then indicate date of allotment/extinguishment			
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	-	-
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	-
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	-
4	Whether the Listed Entity has any shares in locked-in?	Yes*	-
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	-

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Table 1- SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES																		
Category (I)	Category of shareholder (II)	No. s of Share holders (III)	No. of Shares paid up equity Share held (IV)	No. of Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (A)	As a % of total shares held (B)	No. (A)		As a % of total shares held (B)
								Class Equity X	Class Others Y	Total Z								
(A)	Promoter & Promoter Group	3	4192510	0	0	4192510	69.962	4192510	0	69.9620	0	69.9620	4192510	69.9620	0	0.0000	4192510	
(B)	Public	338	1800040	0	0	1800040	30.038	1800040	0	30.0380	0	30.0380	200040	3.3382	NA	NA	1600000	
(C)	Non Promoter Non Public	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0	1.0000	NA	NA	0	
	Total	338	5992550	0	0	5992550	5992550	0	5992550	100.0000	0	100.0000	4392550	74.3002			5792510	



Table II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
Category & Name of the shareholders (i)	No of Share holders (ii)	No of fully paid up equity Shares held (iv)	Partly paid-up equity Share held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (iv)+(v)+(vi) (vii)=(V)+(VI) (VII)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities(as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					Total as a % of (A+B+C)	No. (ii)	As a % of total shares held (ii)	No. (iii)		As a % of total shares held (iii)
							Class Equity (a)	Class Others (b)	Total								
1 Indian																	
(a) Individuals/Hindu undivided Family																	
HARDIK BHUPENDRA VASA	1	1963630	0	0	1963630	32.7679	1963630	0	1963630	32.7679	0	32.7679	1963630	32.7679	0	0.0000	1963630
KAJAL HARDIK VASA	1	1050800	0	0	1050800	17.5351	1050800	0	1050800	17.5351	0	17.5351	1050800	17.5351	0	0.0000	1050800
Total	2	3014430	0	0	3014430	50.3030	3014430	0	3014430	50.3030	0	50.3030	3014430	50.3030	0	0.0000	3014430
(b) Central Government/State Government(s)																	
Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions/Banks																	
Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other(DIRECTORS RELATIVES)																	
ARUNA BHUPENDRA VASA	1	1178080	0	0	1178080	19.6591	1178080	0	1178080	19.6591	0	19.6591	1178080	19.6591	0	0.0000	1178080
Total	1	1178080	0	0	1178080	19.6591	1178080	0	1178080	19.6591	0	19.6591	1178080	19.6591	0	0.0000	1178080
Sub-Total (A)(1)	3	4192510	0	0	4192510	69.9620	4192510	0	4192510	69.9620	0	69.9620	4192510	69.9620	0	0.0000	4192510
2 Foreign																	
(a) Individuals(Non-Resident Individuals/Foreign Individuals)																	
Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Government																	
Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions																	
Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor																	
Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub-Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	3	4192510	0	0	4192510	69.9620	4192510	0	4192510	69.9620	0	69.9620	4192510	69.9620	0	0.0000	4192510
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	
Note:																	
(1) PAN would not be displayed on website of Stock Exchange(s).																	
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																	



Table III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER																	
Category & Name of the shareholders (I)	No. of Share holders (II)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1987 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights					No. (a)	As a % of total shares held (b)	No. (not applicable) (c)	As a % of total shares held (d)		
							Class Equity Y	Class Others Z	Total								
1 Institutions																	
(a) Mutual Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
(c) Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
(e) Foreign Portfolio Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
(f) Financial Institutions/Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
(g) Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
SUB TOTAL (B)(1)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
2 Central / State government(s)																	
(a) Central Government/ State Government(s)/President of India	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
SUB TOTAL (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	
3 Non-institutions																	
(a) Individuals - I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	330	1496040	0	0	1496040	24.9650	1496040	0	1496040	24.9650	0	24.9650	40	0.0007	NA	1496000	
(b) INDIVIDUAL - II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	200000	0	0	200000	3.3375	200000	0	200000	3.3375	0	3.3375	200000	3.3375	NA	0	
(c) UT/SAY PRASAD KUMAR SHIRIVASTAV	1	200000	0	0	200000	3.3375	200000	0	200000	3.3375	0	3.3375	200000	3.3375	NA	0	
(d) NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	
(e) Employee Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	
(f) Overseas Depositories (holding DIs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	0	
(g) Any Other(BODIES CORPORATE)	4	104000	0	0	104000	1.7355	104000	0	104000	1.7355	0	1.7355	0	0.0000	NA	104000	
(h) HEM/PLEASE PRIVATE LIMITED	1	88000	0	0	88000	1.4685	88000	0	88000	1.4685	0	1.4685	0	0.0000	NA	88000	
SUB TOTAL (B)(3)	335	1800040	0	0	1800040	30.8380	1800040	0	1800040	30.8380	0	30.8380	200040	3.3382	NA	1600000	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	335	1800040	0	0	1800040	30.8380	1800040	0	1800040	30.8380	0	30.8380	200040	3.3382	NA	1600000	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0																	
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																	
(1) PAN would not be displayed on website of Stock Exchange(s).																	
(2) The above format needs to be disclosed along with the name of following persons: Certification/Institution holding securities: 1% of total securities held																	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %): 0
 Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
 Note:
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to be disclosed along with the name of following persons:
 Institutions/Non Institutions holding more than 1% of total number of shares.



Table IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER - NON PUBLIC SHAREHOLDER																	
Category & Name of the shareholders (I)	No. of Share holders (III)	No. of fully paid up equity Share held (IV)	Partly paid-up equity Share held (V)	No.s of shares underlying Depository Receipts (VI)	Total no. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (Not applicable) (c)	As a % of total shares held (Not applicable) (d)		
							Class Equity x	Class Others y							Total		
1 Custodian/DR Holder																	
		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non Promoter- Non Public Shareholding (C)=(I)+(C2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Note																	
(1) PAN would not be displayed on website of Stock Exchange(s).																	
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.																	
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.																	

