

Format of Holding of Specified securities

1. Name of Listed Entity: **NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **NEWGEN/ EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)

a. if under 31(1)(b) then indicate the report for quarter ending

b. if under 31(1)(c) then indicate date of allotment/extinguishment

Post IPO

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		✓
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		✓
c	Whether the Listed Entity has any shares against which depository receipts are issued?		✓
d	Whether the Listed Entity has any shares in locked-in?	✓	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		✓

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

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Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	4	45928938	0	0	45928938	66.34	45928938	0	45928938	66.34	0	66.34	45928938	100.00	0	0.00	45928938
(B)	Public	100145	21761282	0	0	21761282	31.43	21761282	0	21761282	31.43	0	31.43	7323421	33.65	NA	NA	21622832
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	1	1545481	0	0	1545481	2.23	1545481	0	1545481	2.23	0	2.23	0	0.00	NA	NA	1545481
	Total:	100150	69235701	0	0	69235701	100.00	69235701	0	69235701	100.00	0	100.00	53252359	76.91	0	0.00	69097251

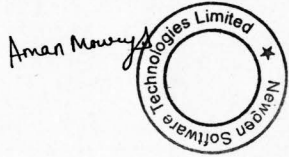


Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total	(IX)	(X)	(XI)	(XII)		(XIII)	(XIV)	
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	4	45928938	0	0	45928938	66.34	45928938	0	45928938	66.34	0	66.34	45928938	100.00	0	0.00	45928938
	T S VARADARAJAN	1	15009306	0	0	15009306	21.68	15009306	0	15009306	21.68	0	21.68	15009306	100.00	0	0.00	15009306
	USHA VARADARAJAN	1	4528320	0	0	4528320	6.54	4528320	0	4528320	6.54	0	6.54	4528320	100.00	0	0.00	4528320
	DIWAKAR NIGAM	1	18422406	0	0	18422406	26.61	18422406	0	18422406	26.61	0	26.61	18422406	100.00	0	0.00	18422406
	PRIYADARSHINI NIGAM	1	7968906	0	0	7968906	11.51	7968906	0	7968906	11.51	0	11.51	7968906	100.00	0	0.00	7968906
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(1)	4	45928938	0	0	45928938	66.34	45928938	0	45928938	66.34	0	66.34	45928938	100.00	0	0.00	45928938
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individual	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)	4	45928938	0	0	45928938	66.34	45928938	0	45928938	66.34	0	66.34	45928938	100.00	0	0.00	45928938

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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)		No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y	Total							
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Institutions																
(a)	Mutual Funds	3	2845676	0	0	2845676	4.11	2845676	0	2845676	4.11	0	4.11	2122617	74.59	NA	2845676
	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C	1	930030	0	0	930030	1.34	930030	0	930030	1.34	0	1.34	612257	65.83	NA	930030
	HDFC TRUSTEE COMPANY LIMITED - HDFC CAPITAL BUI	1	1425755	0	0	1425755	2.06	1425755	0	1425755	2.06	0	2.06	1020469	71.57	NA	1425755
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(c)	Alternate Investment Funds	2	579622	0	0	579622	0.84	579622	0	579622	0.84	0	0.84	415898	71.75	NA	579622
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Foreign Portfolio Investors	8	3737178	0	0	3737178	5.40	3737178	0	3737178	5.40	0	5.40	1844566	49.36	NA	3737178
	GOLDMAN SACHS INDIA LIMITED	1	1374538	0	0	1374538	1.99	1374538	0	1374538	1.99	0	1.99	1020469	74.24	NA	1374538
	MALABAR INDIA FUND LIMITED	1	808432	0	0	808432	1.17	808432	0	808432	1.17	0	1.17	415898	51.45	NA	808432
(f)	Financial Institutions/Banks	1	246199	0	0	246199	0.36	246199	0	246199	0.36	0	0.36	0	0.00	NA	246199
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(i)	Any Other																
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	0	0.00	NA	60
	Sub Total (B)(1)	15	7408735	0	0	7408735	10.70	7408735	0	7408735	10.70	0	10.70	4383081	59.16	NA	7408735
(2)	Central Government/State Government(s)/President of	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(3)	Non-Institutions																
(a)	I.Individual shareholders holding nominal share capital U	94309	7638718	0	0	7638718	11.03	7638718	0	7638718	11.03	0	11.03	352577	4.62	NA	7581868
	II.Individual shareholders holding nominal share capital	68	3614573	0	0	3614573	5.22	3614573	0	3614573	5.22	0	5.22	1477400	40.87	NA	3554573
(b)	NBFCs Registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Any Other																
	TRUSTS	1	184649	0	0	184649	0.27	184649	0	184649	0.27	0	0.27	0	0.00	NA	184649
	NON RESIDENT INDIANS	122	38780	0	0	38780	0.06	38780	0	38780	0.06	0	0.06	21600	55.70	NA	17180
	NON RESIDENT INDIAN NON REPATRIABLE	4	381000	0	0	381000	0.55	381000	0	381000	0.55	0	0.55	272400	71.50	NA	381000
	BODIES CORPORATES	19	1987413	0	0	1987413	2.87	1987413	0	1987413	2.87	0	2.87	816363	41.08	NA	1987413
	IL & FS TRUST COMPANY LIMITED - FOREFRONT ALTERN	1	1013324	0	0	1013324	1.46	1013324	0	1013324	1.46	0	1.46	816363	80.56	NA	1013324
	H U F	5607	507414	0	0	507414	0.73	507414	0	507414	0.73	0	0.73	0	0.00	NA	507414
	Sub Total (B)(3)	100130	14352547	0	0	14352547	20.73	14352547	0	14352547	20.73	0	20.73	2940340	20.49		14214097
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	100145	21761282	0	0	21761282	31.43	21761282	0	21761282	31.43	0	31.43	7323421	33.65		21622832

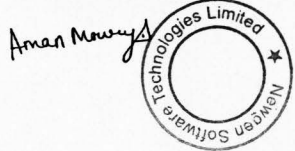


Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y	Total							
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Emplo	1	1545481	0	0	1545481	2.23	1545481	0	1545481	2.23	0	0	0.00	NA	NA	1545481
	AMARENDRA KISHORE SHARAN	1	1545481	0	0	1545481	2.23	1545481	0	1545481	2.23	0	0	0.00	NA	NA	1545481
	Total Non-Promoter-Non Public Shareholding (C) = (C)	1	1545481	0	0	1545481	2.23	1545481	0	1545481	2.23	0	0	0.00			1545481

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Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,

No of Shareholders

No of shares

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