

<b>Heading:-</b> Caution for Investors	
<b>Source:</b> Various Publications	<b>Date:</b> - 13 January, 2023

## PTI

NSE cautions investors against trading tips given by unregistered investment advisers

<https://www.ptinews.com/subscription/story.aspx?newsId=13903620&data=Search%20News>

New Delhi, Jan 13 (PTI) The National Stock Exchange (NSE) on Friday asked investors not to trade in the securities markets based on the trading tips provided by unregistered investment advisers and portfolio managers.

The advisory comes after the NSE found that individuals Swaraj Takale, Sameer Narvekar and Neha Narvekar associated with an entity named 'Trade with Jazz' were collecting funds from the public by providing securities market tips and investment plans assuring guaranteed returns on the investment made.

Also, NSE noted that an individual -- Bhavika Patel -- was offering guaranteed returns to investors through investment schemes.

The bourse pointed out that these persons are not registered either as a member or authorized persons of any registered member of the NSE.

Cautioning investors, NSE asked investors not to trade in the securities markets based on the tips or recommendations provided by unregistered investment advisers or portfolio managers. Investors should not get lured by such trading tips and stock-specific recommendations.

"The investors are also cautioned and advised not to subscribe to any such scheme/ product offered by any persons/entity offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law," NSE said in a statement.

For any kind of disputes relating to such prohibited schemes, mechanisms such as benefits of investor protection under the exchange's jurisdiction, exchange dispute resolution mechanism and investor grievance redressal mechanism administered by the exchange, would not be available to investors, it added.

## Times of India

<https://timesofindia.indiatimes.com/business/india-business/nse-cautions-brokers-investors-against-unsolicited-messages/articleshow/61725743.cms>

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## The Economic Times

<https://economictimes.indiatimes.com/markets/stocks/news/nse-cautions-investors-against-trading-tips-given-by-unregistered-investment-advisers/articleshow/96972633.cms>

**Headline:** NSE cautions investors against trading tips given by unregistered investment advisers

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Also, NSE noted that an individual -- Bhavika Patel -- was offering guaranteed returns to investors through investment schemes.

The bourse pointed out that these persons are not registered either as a member or authorized persons of any registered member of the NSE.

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"The investors are also cautioned and advised not to subscribe to any such scheme/ product offered by any persons/entity offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law," NSE said in a statement.

For any kind of disputes relating to such prohibited schemes, mechanisms such as benefits of investor protection under the exchange's jurisdiction, exchange dispute resolution mechanism and investor grievance redressal mechanism administered by the exchange, would not be available to investors, it added.

## Moneycontrol

<https://www.moneycontrol.com/news/business/markets/nse-issues-advisory-against-alleged-fraudster-read-on-9862191.html>

**Headline:** NSE issues advisory against alleged fraudster. Read on

The National Stock Exchange has issued an advisory cautioning investors that a person operating with the name of 'Bhavika Patel' with mobile number 8866569092 is offering to handle trading accounts of investors by asking investors to share their user ID and password and is guaranteeing assured returns.

"The investors are cautioned and advised not to subscribe to any such scheme/product offered by any person offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law. Further, investors are advised not to share their trading credentials such as user id and password with anyone. It may also be noted that the said person is not registered either as a member or authorized person of any registered member of the National Stock Exchange of India Limited." the exchange said in a press release.

Participation in such prohibited schemes is at investors' own risk, cost and consequences as such schemes are neither approved nor endorsed by the Exchange. The investors may note that for any kind of disputes relating to such prohibited schemes recourses like investor grievance redressal or Exchange dispute resolution will not be available to investors.

**The Financial Express**

**Headline:** NSE cautions investors against trading tips

## **NSE cautions investors against trading tips**

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**PTI**

## NSE's warning on unregistered advisers

**Press Trust of India**

New Delhi

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The advisory comes after the NSE found that individuals Swaraj Takale, Sameer Narvekar and Neha Narvekar associated with an entity named 'Trade with Jazz' were collecting funds from the public by providing securities market tips and investment plans assuring guaranteed returns on the investment made.

Also, NSE noted that an individual – Bhavika Patel – was offering guaranteed returns to investors through investment schemes.

## **Latestly**

<https://www.latestly.com/agency-news/latest-news-nse-cautions-investors-against-trading-tips-given-by-unregistered-investment-advisers-4709626.html>

**Headline:** Latest News | NSE Cautions Investors Against Trading Tips Given by Unregistered Investment Advisers

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The advisory comes after the NSE found that individuals Swaraj Takale, Sameer Narvekar and Neha Narvekar associated with an entity named 'Trade with Jazz' were collecting funds from the public by providing securities market tips and investment plans assuring guaranteed returns on the investment made.

Also, NSE noted that an individual -- Bhavika Patel -- was offering guaranteed returns to investors through investment schemes.

The bourse pointed out that these persons are not registered either as a member or authorized persons of any registered member of the NSE.

Cautioning investors, NSE asked investors not to trade in the securities markets based on the tips or recommendations provided by unregistered investment advisers or portfolio managers.

Investors should not get lured by such trading tips and stock-specific recommendations.

"The investors are also cautioned and advised not to subscribe to any such scheme/ product offered by any persons/entity offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law," NSE said in a statement.

For any kind of disputes relating to such prohibited schemes, mechanisms such as benefits of investor protection under the exchange's jurisdiction, exchange dispute resolution mechanism and investor grievance redressal mechanism administered by the exchange, would not be available to investors, it added.

## The Bengaluru Live

<https://thebengalurulive.com/nse-cautions-investors-against-trading-tips-given-by-unregistered-investment-advisers/>

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Also, NSE noted that an individual -- Bhavika Patel -- was offering guaranteed returns to investors through investment schemes.

The bourse pointed out that these persons are not registered either as a member or authorized persons of any registered member of the NSE.

Cautioning investors, NSE asked investors not to trade in the securities markets based on the tips or recommendations provided by unregistered investment advisers or portfolio managers.

Investors should not get lured by such trading tips and stock-specific recommendations.

"The investors are also cautioned and advised not to subscribe to any such scheme/ product offered by any persons/entity offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law," NSE said in a statement.

For any kind of disputes relating to such prohibited schemes, mechanisms such as benefits of investor protection under the exchange's jurisdiction, exchange dispute resolution mechanism and investor grievance redressal mechanism administered by the exchange, would not be available to investors, it added.

## Pehal News

<https://www.google.com/amp/s/www.pehalnews.in/nse-cautions-investors-against-trading-tips-given-by-unregistered-investment-advisers/2749456/%3famp>

**Headline:** NSE cautions investors against trading tips given by unregistered investment advisers

The National Stock Exchange (NSE) on Friday requested buyers to not commerce within the securities markets primarily based on the buying and selling suggestions supplied by unregistered funding advisers and portfolio managers. The advisory comes after the NSE discovered that people Swaraj Takale, Sameer Narvekar and Neha Narvekar related to an entity named 'Commerce with Jazz' had been accumulating funds from the general public by offering securities market suggestions and funding plans assuring assured returns on the funding made.

Additionally, NSE famous that a person — Bhavika Patel — was providing assured returns to buyers by funding schemes.

The bourse identified that these individuals are usually not registered both as a member or approved individuals of any registered member of the NSE.

Cautioning buyers, NSE requested buyers to not commerce within the securities markets primarily based on the guidelines or suggestions supplied by unregistered funding advisers or portfolio managers. Traders shouldn't get lured by such buying and selling suggestions and stock-specific suggestions.

"The buyers are additionally cautioned and suggested to not subscribe to any such scheme/ product provided by any individuals/entity providing indicative/assured/assured returns within the stock market as the identical is prohibited by legislation," NSE stated in an announcement.

For any form of disputes regarding such prohibited schemes, mechanisms akin to advantages of investor safety underneath the trade's jurisdiction, trade dispute decision mechanism and investor grievance redressal mechanism administered by the trade, wouldn't be obtainable to buyers, it added.

## News Drum

<https://www.fortuneindia.com/enterprise/nse-cautions-investors-about-3-people-associated-with-trade-with-jazz/111150>

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The bourse pointed out that these persons are not registered either as a member or authorized persons of any registered member of the NSE.

Cautioning investors, NSE asked investors not to trade in the securities markets based on the tips or recommendations provided by unregistered investment advisers or portfolio managers. Investors should not get lured by such trading tips and stock-specific recommendations.

"The investors are also cautioned and advised not to subscribe to any such scheme/ product offered by any persons/entity offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law," NSE said in a statement.

For any kind of disputes relating to such prohibited schemes, mechanisms such as benefits of investor protection under the exchange's jurisdiction, exchange dispute resolution mechanism and investor grievance redressal mechanism administered by the exchange, would not be available to investors, it added.