

## NSE MF INVEST User Manual

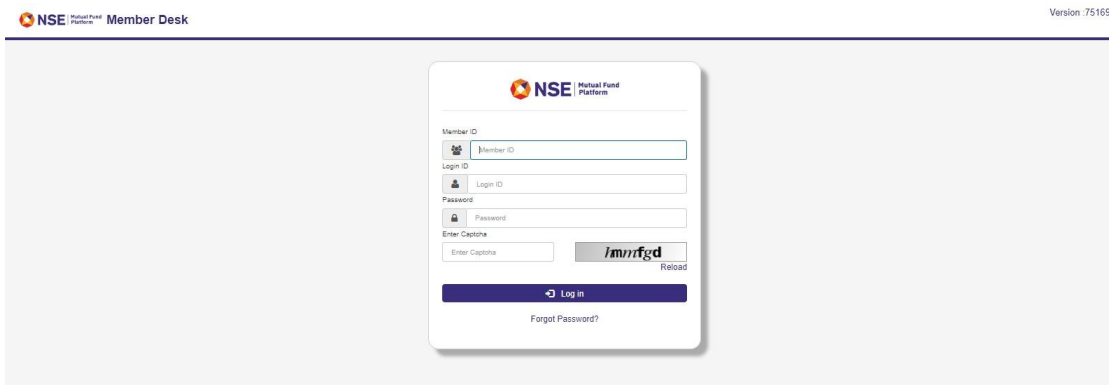
### Getting Started:

UAT URL - <https://nseinvestuat.nseindia.com/>

Prod URL - <https://www.nseinvest.com/>

Members registered with NSE can login using the Member Id, Login Id, Password and Captcha.

In the event of Forgot Password, Members can utilise Forgot Password feature on Login screen.



The screenshot displays the login interface for the NSE Mutual Fund Platform. At the top left, it says 'NSE Mutual Fund Platform Member Desk' and at the top right, 'Version :75169'. The central login form has the following fields and elements:

- Member ID:** A text input field with a 'Member ID' label and a small icon.
- Login ID:** A text input field with a 'Login ID' label and a small icon.
- Password:** A text input field with a 'Password' label and a small icon.
- Enter Captcha:** A text input field with a 'Enter Captcha' label.
- Log in:** A blue button with a right-pointing arrow and the text 'Log in'.
- Forgot Password?:** A link located below the 'Log in' button.
- Reload:** A button with the 'mrfgd' logo and the text 'Reload' next to it.

### **How to create Client/Client Master:**

It is recommended that Members should validate the KYC of the client before creating the UCC.

Members can create client manually from the below path: -

Path: Menu> Masters> Client Registration.

Member can use this menu to Add / Edit /View the list of clients registered on the platform by them. Member to give a Unique Client Code (UCC) to the clients with maximum 10 alphanumeric characters.

Members can create the clients manually by clicking on "Add" and then by filling in all the required fields in the UI.

Key details in the UCC are verified by the Exchange i.e. KYC status, Demat Account and Bank Account

In case there is a failure in bank validation, then the member needs to upload the Bank proof of the client and if in case there is a failure in Demat validation, the CML copy is required. Settlement of Orders will be affected in case of failure in all the above cases.

Members are advised to provide the email id and mobile no registered with the RTAs while creating the UCC.

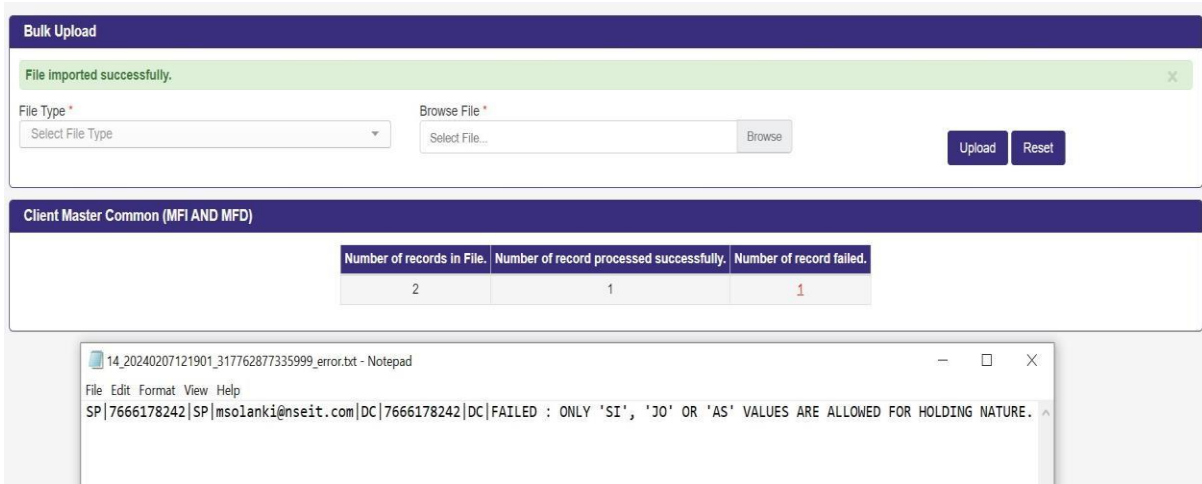
Alternatively, Members can create UCCs from the below path using Bulk Upload.

Path: Utilities > Bulk Upload

File Type: Client Master Common (MFI AND MFD) New

Members can refer this screen to create a client or Client Master in the system. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference.

After uploading file, Member can see how many records processed successfully and how many are failed. Member can also download the file pertaining to the failed records.



### **FATCA Registration:**

Members can update clients FATCA data manually from the below path.

Path: Menu> Masters> FATCA Registration

**FATCA Registration**

Client Code/Name  
Please enter value for search.

Holder/ PAN  
select Holder/Pan

**Primary FATCA Details**

PAN \*  
PAN

Individual / Non-Individual \*  
Select Individual / Non-Individual

Tax Status \*  
Select Tax Status

Name \*  
Name

Date of Birth / Incorporation  
DD-MM-YYYY

Father Name  
Father Name

Spouse Name  
Spouse Name

Data Source \*  
Select Data Source

Address Type \*  
Select Address Type

Place of Birth \*  
Place of Birth

Country of Birth \*  
Select Country of Birth

Log Name \*  
Log Name

**TIN Details**

| Sr No | Residence Country        | Tax Payer Identification Number / Other Equivalent Number | Identification Document Type        |
|-------|--------------------------|---|-------------------------------------|
| 1 *   | Select Residence Country | Tax Payer Identification Number / Other Equivalent Number | Select Identification Document Type |
| 2     | Select Residence Country | Tax Payer Identification Number / Other Equivalent Number | Select Identification Document Type |
| 3     | Select Residence Country | Tax Payer Identification Number / Other Equivalent Number | Select Identification Document Type |
| 4     | Select Residence Country | Tax Payer Identification Number / Other Equivalent Number | Select Identification Document Type |

**Additional Details**

Members can share the FATCA & UBO data with the exchange through UI by filling in all the required details.

Additionally, Member can share the FATCA & UBO data to the exchange through bulk upload.

Path: Utilities>> Bulk Upload>>FATCA Individual/ FATCA COMMON

For non-individual clients, along with FATCA & UBO data shared through UI or bulk upload, the upload of FATCA Image – UBO/NPO as applicable is mandatory. FATCA UBO/NPO image file size not to exceed 3MB.

Member can upload the FATCA/UBO Declaration image in their Login

Path: Utilities>> FATCA Image Upload

## **Client EKYC registration**

Member can check the KYC status of his client using the “KYC Check” option in the Client Registration page. He can get the KYC registered for his clients who are not KYC Compliant from the path below.

Path: Menu> Masters> Client EKYC registration

Investor receives email and on clicking on the link , he will be redirected to the Cams page for Ekyc registration where he needs to upload the required documents to process the same.

## **Client consent through eLog/AOF Process:**

Both **Digital** and **Physical** onboarding of the client is available on the NSE MF Invest platform. **Digital** onboarding is kept default.

Once the Non-demat Client is created by the Member and FATCA details are entered, Email/SMS shall be triggered to the registered Email/Mobile no. in Client Master for authentication to all the holders in the UCC with a copy to the member.

Note: The UCC authentication link is valid for 10 working days.

In **digital** onboarding, the client must authenticate the UCC with an OTP which he/she receives on the registered email and mobile no.

In **physical** onboarding, the Member must take the clients signature on the Account Opening Form (**AOF**) and upload the same on the NSE MF Invest platform.

For non-individual clients, upload of Account Opening Form is mandatory along with the list of documents required by the RTAs.

UCC Activation on NSE Mutual Fund Platform

noreply@nmf2.nse.co.in  
To

Non-Confidential

Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

**UCC Activation on NSE Mutual Fund Platform**

Dear NON DEMAT32,  
Greetings from NSE.  
Welcome to NSE Invest.  
The details of your registration on NSE Invest platform are given below:  
Unique Client Code. (UCC) : NDI32  
Trading Member Name :  
Member SEBI RegNo : N/A  
Authorisation Link : <https://nseinvestuat.nseindia.com/mf/a?1552e0a5>  
Please click the above link to view your complete details. Looking forward to your active participation on NSE Invest platform.  
Disclaimer for Minor UCC:  
As per the SEBI circular on June 15, 2023, investments for minor clients are allowed through the parent/guardian's bank account too, but redemption payout to be processed in minor bank account only. Thus, the minor UCCs will be activated even with the bank account of the parent/guardian and the subscriptions will be reported based on the selection of existing bank accounts in the UCC records. However, the UCC holder will be responsible to update the minor bank account details in the existing folios in the RTA records, before initiating any redemption requests on the platform.  
Thanks and Regards,  
National Stock Exchange of India Limited.

Client will have to open the link and verify the details mentioned in the UCC and authenticate the same by One Time Password (OTP) triggered to the registered Email/Mobile No. in the UCC. Once the client completes authentication, eLog of authentication shall be captured.

The UCC authentication mail/SMS (eLog) is sent to all the holders in the UCC. It is mandatory for all the holders in the UCC to authenticate.

This eLog generated will supersede AOF document and Member will not be required to upload the AOF document for the Client Master. Once the client consent via eLog authentication process is completed by all the holders in Client Master, Member will be allowed to place order in non-Demat mode provided all other validations are fulfilled by the Client. For Demat mode customers, uploading AOF and FATCA registration will be

required only if they wish to transact in non-demat mode. Also, UCC authentication email will not be sent in such cases.

In case client has not received eLog mail, an option has been provided in member login to resend the authentication link to the client for authentication.

Path: Reports >> Client Authorization Report>> Resend

Note: UCC authentication email will trigger only after the successful upload of FATCA File.

**Account Opening Form (AOF) Download:**

Member needs to upload the AOF of the clients who do not have access to their mail and mobile no to authenticate the client master data through eLog. Members can download the prefilled AOF and take the signature of the client on the same and upload it on NSE MF Invest Platform.

Path: Utilities>> AOF Download

**AOF Download**

Client Code/Name \*  
Please enter value for search. ▼

Download Reset

**AOF Image Upload:**

Path: Utilities>> AOF Image Upload.

Image Upload Convention: Only .tiff, .tif, .jpeg and .pdf files are allowed with a size limit of 2.4MB.

In case of individual investors intending for Physical on-boarding and non-individual's investors, AOF to be uploaded with the documents required for that category as a single file.

**AOF Image Upload**

Client Code/Name \*  
Please enter value for search. ▼

Select Image \*  
Select File Browse

Import Reset

Image Upload Convention: Only .tiff, .tif, .jpg, .jpeg and .pdf files are allowed

## Client Authorization Report

Member can view the authorization mode and authorization status, KYC verification status, Demat account status, Bank account status of the client in this report. Member can resend the Client authorization link if the client hasn't received the same.

Path: Reports>> Client Authorization report.

**Non Demat Client Authorization Report**

Member Code Search By

NONE ▼

OR

From Date \* To Date \* Authorization Status

01-01-2025 20-01-2025

View
Export To Excel
Reset

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**Non Demat Client Authorization Report**

Non demat client Authorization report – Upload between 23-01-2025 To 07-02-2025

UCC - NDI44 Authorization Detail

50 entries per page

| Sr No. | Holder Name | Fatca Exists | ADF Exists | Elog Exists | Holder Type | Email Id | Mobile No | Auth Status | Auth Remarks | Auth Date           | Auth IP        |
|--------|-------------|--------------|------------|-------------|-------------|----------|-----------|-------------|--------------|---------------------|----------------|
| 1      | NON DEMAT44 | Yes          | No         | Yes         | F           |          |           | SUCCESS     |              | 05/02/2025 10:15:28 | 121.241.30.250 |

## Client KYC Status Report

Menu: Reports> Client KYC Status Report

Members can view the status of PAN verification as per KRA of their clients in this report.

**Client Kyc Status Report**

Client Code/Name OR

NDI1 - NON DEMAT1 Pan No

Date Type \* From Date \* To Date \* Please Enter Pan No.

SELECT DATE TYPE 23-01-2025 23-01-2025 Pan No should be First 5 alphabetic + Next 4 numerical + Last alphabetic.

View
Export To Excel
Reset

---

**Client Kyc Status Report Detail**

50 entries per page

| MEMBER ID | CLIENT_CODE | CLIENT_PAN | HOLDING_TYPE | APP_STATUS     | APP_NAME | APP_PAN_DOB | APP_KYC_MODE | APP_IPV_FLAG | APP_HOLD_DEACTIVE_BKMS | APP_UPDT_STATUS | APP_KRA_TYPE | ERROR_CODE | ERROR_MSG |
|-----------|-------------|------------|--------------|----------------|----------|-------------|--------------|--------------|------------------------|-----------------|--------------|------------|-----------|
| 99943     | NDI1        | AAAPA1234A | F            | 01 - Submitted | MONICA   |             | 0            | N - No       |                        |                 | NSE          |            |           |

Showing 1 to 1 of 1 entry

Close

## Cancelled Cheque Upload

Menu: Utilities > Client Cancel Cheque Upload

If bank verification fails, member must upload cancelled cheque or any bank proof image in .png, .jpg, .jpeg with max. size of 2MB through their login. Once cancelled cheque copy is uploaded, the same will be validated by NSE Ops team and if appropriate, the respective bank account will be activated for transactions.

**Client Cancel Cheque Upload**

Upload View

Client Code/Name  
Please enter value for search.

OR  
First Applicant PAN  
First Applicant PAN **Maximum 10 PAN are allowed with comma(,) separated.**

OR  
Cheque Status \*  
All

Date Type \*  
UCC Created Date

From Date \*  
10-01-2025

To Date \*  
10-01-2025

View Reset

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**Client Detail Report having UCC Created Date between 10-01-2025 To:10-01-2025**

| Uploaded File(s)    | CLIENT CODE | FIRST APPLICANT NAME | FIRST APPLICANT PAN | Bank Sr. No | Bank Name | Bank Account No. | Account Type | IFSC Code | MICR | DEFAULT BANK FLAG | Branch Name | STATUS | STATUS SOURCE | REMARKS | CHEQUE STATUS | UCC CREATED AT | UCC MODIFIED AT | BANK CREATED BY | BANK CREATED AT | BANK MODIFIED BY | BA MOD AT |
|---------------------|-------------|----------------------|---------------------|-------------|-----------|------------------|--------------|-----------|------|-------------------|-------------|--------|---------------|---------|---------------|----------------|-----------------|-----------------|-----------------|------------------|-----------|
| No record(s) found. |             |                      |                     |             |           |                  |              |           |      |                   |             |        |               |         |               |                |                 |                 |                 |                  |           |

Cancel

### **Additional Bank Details Upload**

Menu: Utilities > Bulk Upload

File Type: Client Bank Upload

Member can upload additional/delete bank details against a Client/UCC. Both .csv and .txt file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample file provided on screen for their reference.

Once uploaded, Member can view and export the details of the banks added and the status of the bank in the client registration report (Masters> Client registration).

**Bulk Upload**

File Type \*  
CLIENT BANK UPLOAD

Browse File \*  
Select File... Browse

Upload Reset

**Only .csv or .txt file allowed with pipe(|) separated values. Kindly import file without header.**

- CLIENT\_BANK\_UPLOAD.csv
- CLIENT\_BANK\_UPLOAD.txt

```
Client Code (UCC)|Account Type|Account No|MICR No|IFSC Code|Action|Default Bank Flag
10031|Add|CB|ACCIN0000018|700002021|SBIN0005943|Y
10031|Del|CB|ACCIN0000018|SBIN0005943|
```

### **FATCA Report**

Member can view and export the list of clients whose FATCA is uploaded and the RTA status of the same.

Path: Reports >> FATCA Report

**FATCA Report**

Search By PAN NO. / PEAKIN NO.

You can enter Comma (,) separated multiple values.

OR

From Date 01-01-2025 To Date 10-01-2025

View Export To Excel Reset

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**Fatca Report - From 01-01-2025 To 10-01-2025**

50 entries per page

| SNO | PAN_NO     | PEAKIN | INV_NAME | DOB | FILE_NAME | SP_NAME | TAX_STATUS | DATA_SRC | ADDR_TYPE | PO_BRI_IN | CO_BRI_IN | TAX_RES1 | TPR1       | ID1_TYPE | TAX_RES2 | TPR2 | ID2_TYPE | TAX_RES3 | TPR3 | ID3_TYPE | TAX_RES4 | TPR4 | EXL_TYPE | SRCF_WGALT | CORP_SERVIS | NC_SLAR | NET_WORTH | INV_DATE | PEP_FLAG | OCCL_CODE | OCCL_TYPE | EXEMP_C |
|-----|------------|--------|----------|-----|-----------|---------|------------|----------|-----------|-----------|-----------|----------|------------|----------|----------|------|----------|----------|------|----------|----------|------|----------|------------|-------------|---------|-----------|----------|----------|-----------|-----------|---------|
| 1   | ANRPP1234Y | NON    |          |     |           |         | 01         | P        | 1         | MUMBAI    | IN        | IN       | ANRPP1234Y | C        |          |      |          |          |      |          |          |      |          | 01         |             | 33      | 0         | N        |          | 02        | S         |         |
| 2   | ANRPP1234Y | NON    |          |     |           |         | 01         | P        | 1         | MUMBAI    | IN        | IN       | ANRPP1234Y | C        |          |      |          |          |      |          |          |      |          | 01         |             | 33      | 0         | N        |          | 02        | S         |         |
| 3   | ANRPP1234Y | NON    |          |     |           |         | 01         | P        | 1         | MUMBAI    | IN        | IN       | ANRPP1234Y | C        |          |      |          |          |      |          |          |      |          | 01         |             | 33      | 0         | N        |          | 02        | S         |         |
| 4   | ALRPP1234Y | NON    |          |     |           |         | 01         | P        | 1         | MUMBAI    | IN        | IN       | ALRPP1234Y | C        |          |      |          |          |      |          |          |      |          | 01         |             | 33      | 0         | N        |          | 02        | S         |         |
| 5   | ALRPP1234Y | NON    |          |     |           |         | 01         | P        | 1         | MUMBAI    | IN        | IN       | ALRPP1234Y | C        |          |      |          |          |      |          |          |      |          | 01         |             | 33      | 0         | N        |          | 02        | S         |         |
| 6   | ANRPP1234Y | NON    |          |     |           |         | 01         | P        | 1         | MUMBAI    | IN        | IN       | ANRPP1234Y | C        |          |      |          |          |      |          |          |      |          | 01         |             | 33      | 0         | N        |          | 02        | S         |         |

## **AOF Image Upload report**

Members can check the status of the AOF uploaded in the report available.

Path: Reports>>AOF Image Upload report

**AOF Image Upload**

Client Code/Name \*  
Please enter value for search.

Select Image \*  
Select File Browse

Image Upload Convention: Only .tiff, .tif, .jpg, .jpeg and .pdf files are allowed

Import Reset

Member can start placing transactions post the client completes the onboarding process.

**Please refer to the grid below for requirements of client onboarding.**

|                | Client Type | UCC<br>Creation | FATCA | AOF | Client<br>Authorization |
|----------------|-------------|-----------------|-------|-----|-------------------------|
| Individual     | Demat       | ✓               | ⊗*    | ⊗*  | ⊗                       |
|                | Non Demat   | ✓               | ✓     | ✓** | ✓**                     |
|                |             |                 |       |     |                         |
| Non-Individual | Demat       | ✓               | ⊗*    | ⊗*  | ⊗                       |
|                | Non Demat   | ✓               | ✓     | ✓   | ⊗***                    |

\* For Demat mode customers, uploading AOF and FATCA will be required only if they wish to transact in non-demat mode.

\*\* Either AOF or Client Authorization (eLog) will be required

\*\*\*Non-Individual clients do not receive the UCC Authorization links. Uploading of AOF with the documents applicable is mandatory for non-individuals.

## **Transactions**

### **How to place Fresh/Additional Purchase transaction**

Member can place fresh/additional transaction of their clients through UI from the Path below.

Path: Menu> Quick Order.

Member can manually place Fresh/additional Transaction by entering the client code and all the required details in the UI.

**Quick Order**

Member Code: Client Code/Name \*  
Please enter value for search.

**Order Details**

Order Type \* PURCHASE  
Scheme code/Isin Enter Scheme code/Isin  
Transaction Mode \* Select Transaction Mode  
AMC Name \* Select AMC Name  
Settlement Type Select Settlement Type  
Scheme Type Select Scheme Type  
Scheme Option Select Scheme Option  
Scheme Name \* Select Scheme Name  
Transaction Type FRESH  
Folio Number Please Enter/Select Folio No.

Place Order Reset

Member can select the mode of payment and the bank account through which payment will be made as preferred by the client in the Order entry page itself.

Amount \* 10000  
Quantity  
Approx Quantity 1000.000  
All Units N

Subbroker Code 987654  
Subbroker ARN Code ARN - 99943  
DPC Y

EUIN Declaration Y  
EUIN \* E526341  
KYC Declaration Y  
Min Redemption

Bank Account No.  
Select Bank Account No.

MANDATE  
UPI  
NEFT/RTGS  
NET BANKING  
CHEQUE  
Select Payment Mode

Total order amount 10000

Add More

Place Order Reset

Additionally, Member can upload the Bulk File in the path below to place fresh/additional transactions.

Path: Utilities > Bulk Upload

File Type: Order Entry

Member can place Purchase orders in the system. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference.

For Purchase:

**Bulk Upload**

File Type \* ORDER ENTRY  
Browse File \* Select File... Browse

Upload Reset

only .csv, .txt and incremental(.001,.002,.003...) file allowed with pipe(|) separated values. Kindly import file without header.

- Order\_Entry.csv
- Order\_Entry.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

**Bulk Upload**

File imported successfully.

File Type \*

Browse File \*

**Order Entry Summary**

| Number of records in File. | Number of record processed successfully. | Number of record failed. |
|----------------------------|--|--------------------------|
| 1                          | 1  | 0                        |

Carting Facility for up to 10 orders in UI is also available.

After entering data on UI/uploading order file successfully for transactions, investor will receive an Email on their registered mail ID and SMS on their registered mobile no for authorisation. The authorization mail/ SMS goes to all the holders in the UCC/Client Master with a copy to the Member.

**Transaction Submission Notification**

Dear NON DEMAT27,

Greetings from NSE Invest.

We are glad to inform you that your distributor/advisor \_\_\_\_\_ has electronically submitted purchase application through NSE Invest on your behalf for the transactions.

Kindly click the below link to review the above transaction/s and complete the transaction processing. In case of any discrepancies, please contact your distributor/advisor.  
[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text your browser  
<https://nseinvestuat.nseindia.com/mf/a78339aaa9>

Kindly note that the transaction is valid till \_\_\_\_\_ subject to realization of two- factor authentication if applicable and payment processing. NAV applicability will be subject to transfer of funds to respective AMC account. Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor \_\_\_\_\_ or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
 National Stock Exchange of India Limited.

Investor needs to click on the "View & Authorize link" and then select the orders he wants to authenticate. He then will have to select the mode of authorization.

**Purchase Order Authorization / Payment for NON DEMAT35 (NDI35)**

**Pending to Authorize with OTP**

|                          |                     |               |   |           |           |                     |                       |                       |                       |
|--------------------------|---------------------|---------------|---|-----------|-----------|---------------------|-----------------------|-----------------------|-----------------------|
| <input type="checkbox"/> | Order Request Date  | Order No.     | Scheme Name                               | Amount    | Folio No. | Active Till         | 1st Holder 2FA Status | 2nd Holder 2FA Status | 3rd Holder 2FA Status |
| <input type="checkbox"/> | 20/01/2025 12:14:49 | 4502000000067 | KOTAK LIQUID FUND - REGULAR PLAN - GROWTH | 15,000.00 |           | 24/01/2025 23:59:59 | PENDING               |                       |                       |
| <input type="checkbox"/> | 20/01/2025 11:45:43 | 4502000000066 | KOTAK LIQUID FUND - REGULAR PLAN - GROWTH | 16,000.00 |           | 24/01/2025 23:59:59 | PENDING               |                       |                       |

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode \*
 

Select Authorization Mode

Select Authorization Mode

**Payment Pending Order Summary**

Both  
 Email  
 Mobile

ord(s) found.

Investor needs to select the authorization mode and generate the OTP for authorization. Investor can use resend OTP option if the OTP was not received earlier. Validity of the OTP is of 10 Minutes.

For fresh purchase, authentication from all holders is required. For Additional Purchase with Anyone or Survivor or Joint holders & Redemptions, first holder authentication is sufficient.

First holder needs to select the orders for which he wants to make the payment and select the payment mode. If the member had selected the payment mode while placing the transaction, then the same mode pops up and the client can simply proceed to make the payment.

Purchase Order Authorization / Payment for NON DEMAT35 (ND35)

Distributor Name: \_\_\_\_\_ Distributor Contact No: \_\_\_\_\_ Distributor Email: \_\_\_\_\_

Pending to Authorize with OTP

No record(s) found.

Payment Pending Order Summary

| Order Request Date  | Order No.    | Folio No. | Sip Reg No | Active Till         |
|---------------------|--------------|-----------|------------|---------------------|
| 20/01/2025 12:14:49 | 450200000067 |           |            | 24/01/2025 23:59:59 |
| 20/01/2025 11:45:43 | 450200000066 |           |            | 24/01/2025 23:59:59 |

Select Payment Mode

- MANDATE
- UPI
- NEFT/RTGS
- NET BANKING
- CHEQUE

Select Payment Mode

Note:

- All the transactions will be processed only after receipt of fund confirmation from the respective bank.
- Please do not process payment from multiple tab.

Proceed Reset

Net Payable Amount: 31,000.00

Post successful payment, purchase order confirmation page comes on screen.

Purchase Order Authorization / Payment for NON DEMAT35 (ND35)

Distributor Name: \_\_\_\_\_ Distributor Contact No: \_\_\_\_\_ Distributor Email: \_\_\_\_\_

Purchase Order Confirmation

| Request Date        | Order No.    | Scheme Name                               | Transaction Mode | Folio No. | Order Remark                 | Amount    | SIP Reg. No |
|---------------------|--------------|---|------------------|-----------|------------------------------|-----------|-------------|
| 20/01/2025 12:14:49 | 450200000067 | KOTAK LIQUID FUND - REGULAR PLAN - GROWTH | Non-Demat        |           | PAYMENT CONFIRMATION PENDING | 15,000.00 |             |
| 20/01/2025 11:45:43 | 450200000066 | KOTAK LIQUID FUND - REGULAR PLAN - GROWTH | Non-Demat        |           | PAYMENT CONFIRMATION PENDING | 16,000.00 |             |


Total Collection Amount: 31,000.00

Payment Mode: NEFT/RTGS

Your payment request is accepted successfully as per above details.

Post successful payment by the client, he gets a confirmation mail with a copy to the member and SMS for the same.

## Transaction Authorization Confirmation

 noreply@nmf2.nse.co.in  
To Sarita Nair (MFR)

Non-Confidential

 Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

 Reply  Reply All  Forward  

Mon 20-01-2025 14:01

### Transaction Authorization Confirmation

Dear NON DEMAT35,

Greetings from NSE Invest.

Order no 45020000068 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE INVEST.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

In case you need any clarification, please contact your Distributor 99943 - SARITA or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

Please note: Transaction will not get processed if the payment is done by the Investor from an unverified/invalid bank account.

Demat redemption orders will not be accepted if the demat account is not active.

### **Client Cheque Deposit Slip Download**

Any member whose clients wants to make the payment through cheque for the transaction, then the client needs to select the payment mode as "Cheque" in the payment mail he receives post order authentication. Member can download the prefilled Cheque deposit slip from his login.

Path: Menu > Utilities > Client Cheque Deposit Slip Download

Client Cheque Deposit Slip Download

|   |   |   |
|---|---|---|
| Client Code/Name<br><input type="text" value="Please enter value for search."/> | From Date*<br><input type="text" value="07-02-2025"/> | To Date*<br><input type="text" value="07-02-2025"/> |
| OR<br>Order No.<br><input type="text"/>   | Cheque No.<br><input type="text"/>                    |   |
| <input type="button" value="View"/> <input type="button" value="Reset"/>        |   |   |

Cheque Deposit Slip Report – Cheque Date between 07-02-2025 To: 07-02-2025

| Download Slip                     | Cheque Date | Client Code | Cheque No. | Amount | Status  | Bank Name | A/c No.    | IFSC        |
|-----------------------------------|-------------|-------------|------------|--------|---------|-----------|------------|-------------|
| <input type="checkbox"/> Download | 07/02/2025  | NDI4        | 123456     | 15000  | PENDING | HDFC BANK | 0879456321 | HDFC0000060 |

Additionally, the cheque details can be entered in the Order entry page too where the member selects the payment mode preferred by the client.

The cheque can be deposited at nearest HDFC Bank.

### **How to enter Redemption transaction**

Member can place redemption transaction of their clients through UI from the Path below.

Path: Menu > Quick Order.

Member can manually place redemption transaction by entering the client code and all the required details in the UI.

Quick Order

Member Code Client Code/Name \*  
ND11 - NON DEMAT1

Order Details

Order Type \* REDEEM  
Settlement Type Select Settlement Type  
Folio Number \* Please Enter/Select Folio No.  
Scheme code/Isin Enter Scheme code/Isin  
Scheme Type Select Scheme Type  
Transaction Mode \* NON DEMAT  
Scheme Option Select Scheme Option  
AMC Name \* Select AMC Name  
Scheme Name \* Select Scheme Name

Place Order Reset

Additionally, Member can upload the Bulk File in the path below to place redemption transactions.

Path: Utilities > Bulk Upload

File Type: Order Entry

. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference. The folio no in which the redemption needs to be mentioned mandatorily.

For Redemption

Bulk Upload

File Type \* ORDER ENTRY  
Browse File \* Select File... Browse  
Upload Reset

only .csv, .txt and incremental( .001, .002, .003. ) file allowed with pipe(|) separated values Kindly import file without header.

- Order\_Entry.csv
- Order\_Entry.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

Bulk Upload

File imported successfully.

File Type \* Select File Type  
Browse File \* Select File... Browse  
Upload Reset

Order Entry Summary

| Number of records in File. | Number of record processed successfully. | Number of record failed. |
|----------------------------|--|--------------------------|
| 1                          | 1  | 0                        |

For orders placed manually or through file upload, the bank account in which redemption payout is expected needs to be mentioned during order entry. For Demat redemption orders, the bank account specified in the order should be in verified state. For Non demat redemption orders, the RTA / AMC will make the redemption payout in the bank account linked to the folio as per RTA records. After placing the redemption order manually or by uploading order file successfully for redemption transaction, investor (First Holder in UCC) will receive an Email on his registered mail ID with a copy to the

member and SMS on his registered mobile no for authorization. Member must ensure that for non-demat orders, the email id and mobile number of the investor as per RTA records is updated on the UCC. Investor needs to select the orders he wants to authorize and select the mode of authorization.

Investor needs to generate the OTP and authorize the redemption. On successful authorization, the investor gets the confirmation of the order on screen.

The investor also gets the confirmation of the order on his mail with a copy to the member and SMS.

**Transaction Authorization Confirmation**

Dear NON DEMAT1,

Greetings from NSE Invest.

Order no 45021000093 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE INVEST.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

In case you need any clarification, please contact your Distributor or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

For Demat redemption orders, the member needs to provide DIS (Delivery Instruction Slip) to the respective Depositories. Member may refer the settlement calendar available under Download section to get the details of settlement number, settlement type and other relevant details required for generating the DIS.

### **How to enter Switch transaction**

Member can place Switch Order from the below path.

Path: Menu> Switch Order.

Member needs to enter all the details required in the UI to place a switch transaction.

Additionally, the member can upload the Bulk file to place switch transactions from the below path.

Path: Utilities > Bulk Upload

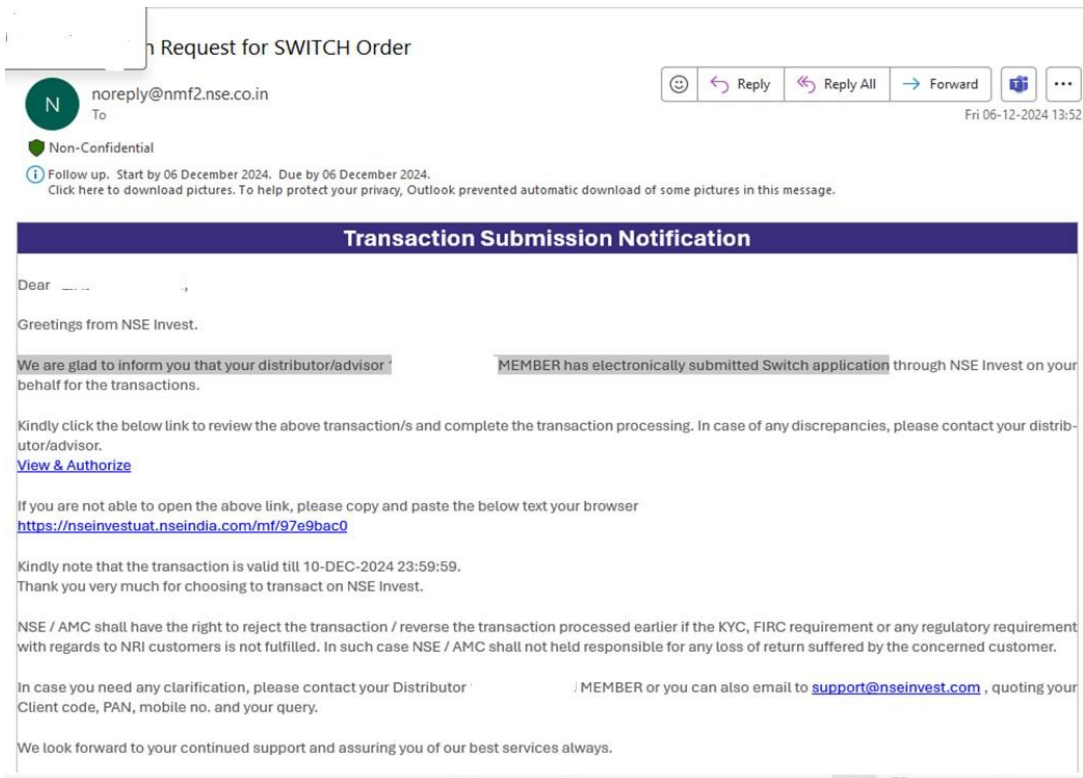
File Type: Switch Order Entry

Member can place Switch orders in the system. Both .csv and .txt file allowed with pipe (|) separated values. Member can refer sample files provided on screen for their reference. The folio no in which the switch needs to be mentioned mandatorily.

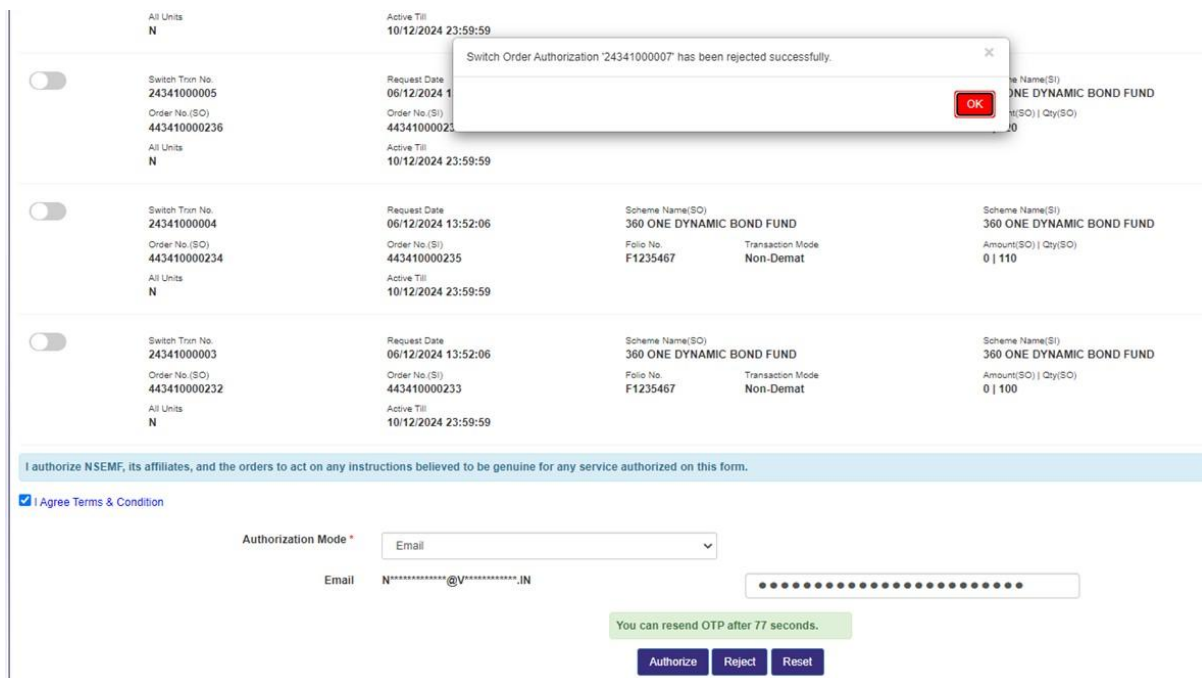
After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

| Number of records in File. | Number of record processed successfully. | Number of record failed. |
|----------------------------|--|--------------------------|
| 1                          | 1  | 0                        |

After placing the Switch order through UI or by uploading switch order file successfully, investor (Primary Holder in UCC) will receive an Email on his registered mail ID with a copy to the member and SMS on his registered mobile no for authorization.



Investor needs to click on the "View & Authorize link" and then select the orders he wants to authenticate. He then will have to select the mode of authorization.



The OTP will go to the registered mail id/mobile no or both as per the mode selected by him.

Post authentication, investor will get an order confirmation mail with a copy to the member and SMS too.


Transaction Authorization Confirmation

 noreply@nmf2.nse.co.in  
To :

 Reply  Reply All  Forward  

Fri 06-12-2024 14:0

 Non-Confidential

 Follow up. Start by 06 December 2024. Due by 06 December 2024.  
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Transaction Authorization Confirmation

Dear TEJAS NONDEMATT,

Greetings from NSE Invest.

Order no 443410000238 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE INVEST.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

In case you need any clarification, please contact your Distributor MEMBER or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

For Demat switch orders, the member needs to provide DIS (Delivery Instruction Slip) to the respective Depositories. Member may refer the settlement calendar available under Download section to get the details of settlement number, settlement type and other relevant details required for generating the DIS.

**Switch Order Report**

Path: Member Desk >>Menu>>Reports>>Switch Order Report

Member can view all switch orders present in system till date from this Menu. Member can filter switch order from this screen as per Client Code/Name, AMC Name, From Scheme Name To Scheme Name, From Date, To Date. Also, Member can Export this report in TXT or Excel format for reference.

**Switch Order Report**

Client Code/Name  OR

AMC Name  From Scheme Name  To Scheme Name  From Date  To Date

---

**Switch Orders : From 01-08-2023 To 30-08-2023**

Show 50 entries

| Transaction Date | Order No     | Member Code | Client Code | From AMC                         | To AMC                           | Transaction Type | Buy/Sell Type | Switch Out Scheme   |
|------------------|--------------|-------------|-------------|----------------------------------|----------------------------------|------------------|---------------|---|
| 21/08/2023       | 432330000011 | 99917       | UCC907      | ADITYA BIRLA SUNLIFE MUTUAL FUND | ADITYA BIRLA SUNLIFE MUTUAL FUND | DEMAT            | ADDITIONAL    | ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL |
| 21/08/2023       | 432330000009 | 99917       | UCC907      | ADITYA BIRLA SUNLIFE MUTUAL FUND | ADITYA BIRLA SUNLIFE MUTUAL FUND | DEMAT            | FRESH         | ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL |
| 09/08/2023       | 432210000007 | 99917       | UCC907      | ADITYA BIRLA SUNLIFE MUTUAL FUND | ADITYA BIRLA SUNLIFE MUTUAL FUND | DEMAT            | ADDITIONAL    | ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL |
| 09/08/2023       | 432210000005 | 99917       | UCC907      | ADITYA BIRLA SUNLIFE MUTUAL FUND | ADITYA BIRLA SUNLIFE MUTUAL FUND | DEMAT            | FRESH         | ADITYA BIRLA SUN LIFE TAX RELIEF 96 FUND (ELSS U/S 80C OF IT ACT) - REGUL |

## View Orders

Member Desk > Menu > View Orders

Member can view all orders present in system from this Menu. Also, Member can cancel orders from this menu. Orders can be any order like Purchase, Redemption, Switch, SIP, XSIP, SWP, STP.

Also, Member can filter order from this screen as per AMC, Scheme Name, Scheme Code, Client Code, Order IDs.

Member also has an option to resend the email to investor in case the investor has not received it.

| Order No. | Scheme Code | ISIN                | Scheme Name          | Client        | Client Name  | Member   | PFR       | Ad Units | Amount | Qty   | Plan Type | SIP/Plan No. | Subscribed Mode | Resend |
|-----------|-------------|---------------------|----------------------|---------------|--------------|--|-----------|----------|--------|-------|-----------|--------------|-----------------|--------|
| 1         | NEW         | 15/01/2025 11:27:56 | 45010000000000000000 | BILINDIA-LI   | INF200000000 | ADITYA BIRLA SUN LIFE LIQUID FUND - DIRECT PLAN          | NON DEMAT | 99943    | IN     | 100   | 0         | FRESH        | NON DEMAT       | Resend |
| 2         | NEW         | 15/01/2025 11:05:09 | 45010000000000000000 | 100GFDI-DP-L1 | INF179011178 | HDFC BALANCED ADVANTAGE FUND - DIRECT PLAN - IDCW PAYOUT | NON DEMAT | 99943    | IN     | 20000 | 0         | FRESH        | NON DEMAT       |        |

## Mandate Registration

Member can register mandate of his clients through UI from the Path below.

Path: Menu > XSIP > Mandate > Mandate Registration

Member needs to enter all the required details including the Mandate type (NACH MANDATE or eNACH MANDATE) and save the data entered.

Client Code/Name \*  
Please enter value for search.

Bank Name - Account No \*  
Bank Name - Account No

IFSC \*  
-

MICR No \*  
-

Account Type \*  
-

Mandate Type \*  
Mandate Type

Amount \*  
Amount

Start Date \*  
06-02-2025

End Date \*  
06-02-2065

Member Mandate Id  
Member Mandate Id

Registration Date  
06-02-2025

Save Reset

Additionally, Member can upload the Bulk File in the path below to register Mandates.

Menu: XSIP > Mandate > Mandate Bulk Upload

Note: In Nach Mandate, the limit is up to 1 Cr. Per transaction and for Emandate limit is Min 10 lakh to Max. 1 Cr (differ as per Bank, or as prescribed by NPCI from time to time)

## Mandate Registration (ACH Mandate)

Enter **Mandate type = X** For ACH mandate

Member can place Mandate Registration request in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

## Mandate Bulk Upload

Browse File \*

Select File

Browse

Import

Reset

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

- Mandate\_bulk\_Upload\_Sample.csv
- Mandate\_bulk\_Upload\_Sample.txt

After registering NACH MANDATE / NACH MANDATE, Investor will receive email communication where all details about registered Mandate will be mentioned.

The Investor will get the prefilled ACH Mandate form in the mail with a copy to the member.

### ACH Mandate Registration



noreply@nmf2.nse.co.in  
To

Non-Confidential

Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.



Dear Investor,

Greetings from NSE Invest.

We request you to please sign attached pre-printed ACH form and send the same to to enable us to take it forward for registration.

|                   |                       |
|-------------------|-----------------------|
| Client code       | : NDI1                |
| Investor Name     | : NON DEMAT1          |
| Bank Name         | : STATE BANK OF INDIA |
| Account Number    | : 0987654321          |
| Account Type      | : SB                  |
| IFSC Code         | : SBIN0000018         |
| MICR Code         | :                     |
| Branch Name       | : BALLYGUNGE          |
| ACH From Date     | : 10-Jan-2025         |
| ACH To Date       | : 31-Dec-2040         |
| Until Cancelled   | : N                   |
| ACH Amount        | : 100000.00           |
| Debit Amount Type | : Maximum Amount      |

Looking forward to your support and active participation on NSE Invest.

In case you need any clarification, please contact ! on their registered email of phone number.

## **NACH pre- filled Mandate Download**

Member desk>Menu>XSIP>Mandate>NACH PRE-Filled ACH Download

After registering Mandate Member can download the prefilled Mandate form of the registered mandate. He can then ask the customer to check the data on the mandate form and sign the same. Member can upload that form in Member desk.

Note: The signature of the client should be as per Bank Records and in case of Joint holding it should be in the same pattern as per Bank records.

**NACH Pre-filled Mandate Download**

Client Code/Name  
Please enter value for search

Mandate Registration Date ( From – To )  
10-01-2025 10-01-2025

OR

Mandate Id  
Member Mandate Id

[Download Blank Mandate](#) [View](#) [Reset](#)

---

**NACH Mandate Report From : 10-01-2025 To : 10-01-2025**

50 entries per page

| Select All               | Download PDF             | Mandate ID    | Mandate Registration Date | Client Code | Holder Name | Bank Name           | Acc No.    | MICR        | IFSC   | Amount     | Start Date | End Date                | Status |
|--------------------------|--------------------------|---------------|---------------------------|-------------|-------------|---------------------|------------|-------------|--------|------------|------------|-------------------------|--------|
| <input type="checkbox"/> | <a href="#">Download</a> | 2025011010001 | 10/01/2025 11:49:51       | ND1         | NON DEMAT1  | STATE BANK OF INDIA | 0687854321 | SBIN0000018 | 100000 | 10/01/2025 | 31/12/2040 | SCAN IMAGE NOT UPLOADED |        |

## Upload Scan Mandate –

Member desk>Menu>XSIP>Mandate> Upload Scan mandate

After downloading ACH Mandate form, Member needs to upload that form to Scan Mandate. In this menu, Member can upload file as well as can check uploaded mandate files. Member can refer the below screen to upload the mandate form files, after uploading that entry will get removed from the record.

**Upload Scan Mandate**

[Upload](#) [View](#)

Client Code/Name  
Please enter value for search

Mandate Registration From Date 10-01-2025 Mandate Registration To Date 10-01-2025

OR

Mandate ID  
Mandate ID Maximum 10 Mandate ID are allowed with comma(,) separated.

[View](#) [Reset](#)

---

**Mandate Detail Report - Registration Date between 10-01-2025 To:10-01-2025**

| Upload Scan PDF                            | Mandate ID    | Mandate Registration Date | Mandate Status          | Status Remarks | Client Code | Holder Name | Bank Name           | Acc No.    | MICR        | IFSC      | Amount     | Start Date | End Date | UPLOAD HISTORY |
|--|---------------|---------------------------|-------------------------|----------------|-------------|-------------|---------------------|------------|-------------|-----------|------------|------------|----------|----------------|
| <a href="#">Choose File</a> No file chosen | 2025011010001 | 10/01/2025 11:49:51       | SCAN IMAGE NOT UPLOADED |                | ND1         | NON DEMAT1  | STATE BANK OF INDIA | 0687854321 | SBIN0000018 | 100000.00 | 10/01/2025 | 31/12/2040 |          |                |

[Upload](#) [Reset](#) [Cancel](#)

Post upload of signed mandate form, details are sent to the Service Provider. Service Provider will check all details and provide unique UMRN no against the registered mandate. Post which Member is eligible for registering XSIP for that client.

## Mandate Registration (Emandate)

Menu: XSIP > Mandate > Mandate Bulk Upload

Member can place Mandate Registration request in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

Enter **Mandate type= E For** Emandate

**Mandate Bulk Upload**

Browse File \*

Select File [Browse](#) [Import](#) [Reset](#)

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

- Mandate\_bulk\_Upload\_Sample.csv
- Mandate\_bulk\_Upload\_Sample.txt

After registering E Mandate by uploading file successfully, investor will receive email communication with a copy to the member where investor must confirm and authorise E-mandate by using Options like Aadhar card, Net Banking, Debit Card.

## Mandate Reports

Menu: XSIP> Mandate> Mandate Detailed Report This report displays the current mandate status.

## How to register XSIP transaction

Member can register XSIP manually of his clients through UI from the Path below.

XSIPs are where the collection is done through mandates and SIPs are where the collection is not done through Mandates.

Path: Menu>XSIP>Mandate> Mandate Registration

Member needs to enter all the required details and save the data entered.

Additionally, Member can upload the bulk file in the below path to register XSIPs.

Menu: XSIP > XSIP Bulk Upload

File Type: XSIP Registration

Member can register XSIP orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

Path: XSIP>>Bulk Upload – XSIP Registration

**XSIP Bulk Upload**

File Type: XSIP REGISTRATION

Browse File \*  
Select File

🔔 only .csv, .txt file allowed with pipe(|) separated values. 🔔 Kindly import file without header.

- XSIP\_bulk\_Upload\_Sample.csv
- XSIP\_bulk\_Upload\_Sample.txt

After registering the XSIP in UI or by uploading XSIP order file successfully investor will receive email communication with a copy to the member for authorisation of placed XSIP order. Cart Facility for up to 10 XSIP orders in UI is available.

If investor fails to authorise using link from email communication, the link will expire after 48 hours, and the XSIP registration will be cancelled.

Here investor must authorise XSIP registration by clicking on **View & Authorise link** present in the mail communication.

Authorization Request for XSIP Registration

 noreply@nmf2.nse.co.in  
To: ...

 Non-Confidential

🔔 If there are problems with how this message is displayed, click here to view it in a web browser.  
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Mr./Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Thank you for Investing through.

We are happy to inform you that '...' has submitted XSIP Registration request on your behalf, the details of which are provided below:

| XSIP Registration Details |   |
|---------------------------|---|
| <b>XSIP Reg No.</b>       | 202501101000003                                 |
| <b>Transaction Type</b>   | Fresh Purchase                                  |
| <b>Scheme Name</b>        | ADITYA BIRLA SUN LIFE LIQUID FUND - DIRECT PLAN |
| <b>Amount</b>             | 500.00  |
| <b>XSIP From Date</b>     | 14-Feb-2025                                     |
| <b>XSIP To Date</b>       | 13-Jan-2026                                     |
| <b>ACH From Date</b>      | 23-Dec-2024                                     |
| <b>ACH To Date</b>        | 31-Dec-2060                                     |
| <b>Debit Amount Type</b>  | Fixed Amount                                    |
| <b>Total Installment</b>  | 12  |
| <b>Frequency</b>          | MONTHLY   |

Please click the link below to approve or reject the XSIP registration.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser:

<https://nseinvestuat.nseindia.com/mf/a?fddbb620>

After clicking on link, client will be redirected to page where he can authorise or reject XSIP Order. The authorization link goes to all the holders in the UCC. The XSIP transaction goes for further processing post authentication.

**XSIP Registration Authorization for NON DEMAT1 (ND1)**

Distributor Name

Distributor Contact No.

Distributor Email

**XSIP Registration Confirmation for NON DEMAT1 (ND1)**

|                                  |                                    |  |                          |                               |                             |
|----------------------------------|------------------------------------|--|--------------------------|-------------------------------|-----------------------------|
| XSIP Reg No.<br>202501101000003  | Transaction Type<br>Fresh Purchase | Scheme Name<br>ADITYA BIRLA SUN LIFE LIQUID FUND - DIRECT PLAN | Amount<br>500            | XSIP From Date<br>14-Feb-2025 | XSIP To Date<br>13-Jan-2026 |
| ACH From Date<br>23-Dec-2024     | ACH To Date<br>31-Dec-2060         | Debit Amount Type<br>Fixed Amount                              | Total Installments<br>12 | Frequency<br>MONTHLY          |                             |
| 1st Holder 2FA Status<br>SUCCESS |                                    |  |                          |                               |                             |

Thank you for completing authorization. Transaction will be processed as per above mention.

Post successful authentication, investor (First holder in UCC) gets mail with a copy to the member to make the payment for the XSIP Order..

**Transaction Submission Notification**

Dear NON DEMAT1,

Greetings from NSE Invest.

We are glad to inform you that your distributor/advisor   has electronically submitted purchase application through NSE Invest on your behalf for the transactions.

Kindly click the below link to review the above transaction/s and complete the transaction processing. In case of any discrepancies, please contact your distributor/advisor.  
[View & Make Payment](#)

If you are not able to open the above link, please copy and paste the below text your browser  
<https://nseinvestuat.nseindia.com/mf/a9249b321a>

Kindly note that the transaction is valid till 14-Jan-2025 23:59:59 subject to realization of two-factor authentication if applicable and payment processing. NAV applicability will be subject to transfer of funds to respective AMC account. Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor 99943 - SARITA or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

Post successful payment, a confirmation mail with a copy to the member is sent by Exchange to the investor..

Systematic Transaction Authorization Confirmation

noreply@nmf2.nse.co.in

To  

Non-Confidential

[Click here to download pictures.](#) To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Reply
 Reply All
 Forward

Fri 10-01-2025 11:14

**Systematic Transaction Authorization Confirmation**

Mr. /Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Thank you for Investing through  .

Systematic Reg no 202501101000003 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Disclaimer:NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.

Thanks and Regards,  
National Stock Exchange of India Limited.

The registered SIPs can be viewed by the member in the Registration report available.

### **How to Cancel XSIP transaction**

Member can cancel SIP/XSIP manually of his clients through UI from the Path below.

Menu: XSIP > XSIP Cancellation

Member can cancel a SIP/XSIP of his client using this utility.

### XSIP Cancellation

Search By  
XSIP REGISTRATION NUMBER   
Maximum 50 comma(,) separated values allowed.

OR

From Date  To Date  AMC Name  Frequency Type

Scheme Name

Member can search the running SIP/XSIP and can cancel the same if it's active. Member needs to provide reason for cancelling the SIP by selecting the options provided.

### XSIP Cancellation Report

50 entries per page

| Sr No | Select All                          | Reason  | Remark | Daily CXL Date | XSIP Regn Number | Client Code | Client Name        | Internal Ref No | XSIP Reg. Date | Amc Name                                   |
|-------|-------------------------------------|---|--------|----------------|------------------|-------------|--------------------|-----------------|----------------|--|
| 1     | <input checked="" type="checkbox"/> | <input type="text" value="07 - Goal Achieved"/> |        |                | 202507101000007  | TESTT01     | BULBUL BULBUL BHAN |                 | 10/07/2025     | ANGEL ONE ASSET MANAGEMENT COMPANY LIMITED |

Showing 1 to 1 of 1 entry

Additionally, Member can upload the bulk file in the below path to cancel XSIPs.

Menu: XSIP > XSIP Bulk Upload File

Type: XSIP Cancellation

Member can upload file to cancel XSIP registration in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

### XSIP Bulk Upload

File Type

Browse File

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

- XSIP\_bulk\_Cancellation\_Sample.csv
- XSIP\_bulk\_Cancellation\_Sample.txt

**XSIP Bulk Upload**

Records Processed Successfully.

File Type: XSIP REGISTRATION

Browse File \*  
Select File

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

- XSIP\_bulk\_Upload\_Sample.csv
- XSIP\_bulk\_Upload\_Sample.txt

**XSIP Bulk Cancellation Summary**

| Number of records in File | Number of record processed successfully | Number of record failed |
|---------------------------|---|-------------------------|
| 1                         | 1                                       | 0                       |

After Successfully uploading file for XSIP cancellation, investor will receive email with a copy to the member, where investor must authorise that cancellation request which is initiated by investor by clicking on link given in mail communication.

**Transaction Cancellation Notification**

Mr. /Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Kindly click the below link to authorize the cancelled transaction/s. In case of any discrepancies, please contact your distributor/advisor.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser  
<https://nseinvestuat.nseindia.com/mfa?ae7f9997>

Kindly note that the transaction is valid till 22-Jan-2025 subject to realization of the cancellation.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

Investor to click on the link and select the XSIP Registration which he wants to cancel and then select the mode of authorization and generate the OTP.

**Pending to Authorize with OTP**

| XSIP Exchange Order No. | Transaction Type | Registered Date | Symbol/Series | Amount |
|-------------------------|------------------|-----------------|---------------|--------|
| 202501211000004         | Fresh Purchase   | 21-Jan-2025     | BSL15GA-LQ    | 500    |

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode \*

Email S\*\*\*\*\*@N\*\*\*\*\*.IN

You can resend OTP after 106 seconds.

**XSIP Cancellation Authorization for NON DEMAT1 (NDI1)**

Distributor Name  Distributor Contact No.  Distributor Email

---

**XSIP Cancellation Confirmation for NON DEMAT1 (NDI2)**

|  |                                    |                                |                             |               |
|--|------------------------------------|--------------------------------|-----------------------------|---------------|
| XSIP Exchange Order No.<br>202501211000004 | Transaction Type<br>Fresh Purchase | Registered Date<br>21-JAN-2025 | Symbol/Series<br>BSE15GA.LQ | Amount<br>500 |
|--|------------------------------------|--------------------------------|-----------------------------|---------------|

**Thank you for completing authorization. Transaction will be processed as per above mention.**

Post successful authentication, the investor gets mail with a copy to the member and SMS with the Systematic Transaction Cancellation Confirmation.

**Systematic Transaction Cancellation Confirmation**

Dear NON DEMAT1,

Greetings from NSE Invest.

Cancelation request for Systematic Reg no 202501211000004 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE Invest.

NSE /AMC shall have the right to reject the transaction /reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

## **XSIP TOPUP**

Members can do a Top up on an existing active XSIP/SIP registration. MENU:

XSIP> XSIP Top up

**SIP/XSIP Registration Report**

50 entries per page

| Client Code                  | Reg. No.        | Reg. Type | AMC Name                                   | Scheme Name                                   | Amount    | Frequency | Start Date | End Date   | Is Top Up | Active Top Up Found |
|------------------------------|-----------------|-----------|--|---|-----------|-----------|------------|------------|-----------|---------------------|
| TESTT01 - BULBUL BULBUL BHAN | 202507111000014 | XSIP      | ANGEL ONE ASSET MANAGEMENT COMPANY LIMITED | ANGEL ONE FIFTY 50 INDEX FUND - DIRECT GROWTH | 156000.00 | MONTHLY   | 18-07-2025 | 17-11-2033 | N         | N                   |

Showing 1 to 1 of 1 entry

Additionally, Top-up can be done through file upload.

Menu: Utilities>Bulk Upload> SIP Modification

The file can be uploaded in txt or csv file with pipe separated values

**Bulk Upload**

File Type \*  Modification Type \*  Browse File \*

**Only .csv or .txt file allowed with pipe(|) separated values. Kindly import file without header.**

- Sip\_Topup\_Registration.csv
- Sip\_Topup\_Registration.txt

After Successfully registering XSIP Top up, investor will receive email with a copy to the member, where investor must authorise by clicking on the link given in mail communication.

## Topup SIP Registration Notification

Mr. /Ms / M/s. TEJASEM MANDATE,

Greetings from NSE Invest.

Thank you for Investing through TEST NJ MEMBER

We are happy to inform you that 12346 - TEST NJ MEMBER has submitted Topup SIP Registration request on your behalf, the details of which are provided below:

| Topup SIP Registration Details |  |
|--------------------------------|--|
| Topup SIP Reg No.              | 10255  |
| Principal SIP Reg No           | 202507101000017  |
| Scheme Name                    | AXIS AAA BOND PLUS SDL ETF - 2026 MATURITY FOF REGULAR IDCW REINVESTMENT |
| Principial Amount              | 10000.00   |
| Topup Amount                   | 9000.00  |
| From Date                      | 14-Sep-2025  |
| To Date                        | 14-Aug-2028  |
| Topup Freq                     | ANNUALLY   |

Please click the link to view your SIP registration.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser  
<https://nseinvestuat.nseindia.com/mf/a?484c1acf>

Investor to click on the link and click to authorize the transaction.

## XSIP TOPUP REGISTRATION REPORT

Once an XSIP Top Up is registered, details related to the top up of the XSIP order can be viewed in the XSIP Top Up Registration Report

MENU: XSIP> XSIP TOPUP Registration Report

**XSIP Top Up Registration Report**

Search By

OR

From Date \*  To Date \*  AMC Name  Scheme Name

---

**Top Up Registration Report**

50 entries per page

| Member Code | Client Code | Client Name         | Reg. No. | Scheme Code | Scheme Name   | SIP/XSIP Amount | Start Date  | End Date    | Top Up Amount | Date Of Activation | Entry By | Principle SIP REG No | Pr |
|-------------|-------------|---------------------|----------|-------------|---|-----------------|-------------|-------------|---------------|--------------------|----------|----------------------|----|
| 12346       | 120525007   | TEJAS THREE NOMINEE | 10210    | EHDP        | AXIS AGGRESSIVE HYBRID FUND - REGULAR MONTHLY IDCW PAYOUT | 8500.00         | 17-Sep-2025 | 17-Aug-2028 | 500.00        | 04-Jul-2025        | ADMIN    | 202506051000019      |    |
| 12346       | TEJAM001    | TEJASEM MANDATE     | 10228    | IOGP        | AXIS CREDIT RISK FUND - REGULAR PLAN GROWTH               | 7000.00         | 03-Aug-2025 | 03-Jul-2027 | 8000.00       | 22-Jul-2025        | ADMIN    | 202506031000001      | 85 |

## XSIP TOPUP REGISTRATION TRIGGER REPORT

XSIP Top Up trigger report displays all the top-up details like Child sip registration no, top-up start date, end date, top-up amount etc.

Menu: XSIP> XSIP TOPUP Registration Trigger Report

**XSIP TOP UP Registration Trigger Report**

Search By

OR

From Date \*  To Date \*  AMC Name

Scheme Name  Top up Status

---

**TOP UP Registration Trigger Report**

50 entries per page

| Member Code | Client Code | Client Name       | Parent SIP/XSIP Reg. No. | Scheme Code | Scheme Name   | SIP/XSIP Amount | Start Date | End Date   | Top Up Amount | Date Of Activation | Child SIP Reg |
|-------------|-------------|-------------------|--------------------------|-------------|---|-----------------|------------|------------|---------------|--------------------|---------------|
| 12346       | TENDNI501   | TEJAS TRUST PATIL | 202504021000011          | AXABD1-GR   | AXIS AAA BOND PLUS SDL ETF - 2026 MATURITY FOF DIRECT IDCW REINVESTMENT | 2000.00         | 18/07/2025 | 17/07/2026 | 5000.00       | 18/06/2025         | 2025          |
| 12346       | TEJAM001    | TEJASEM MANDATE   | 202504071000021          | BJAFDG-GR   | BAJAJ FINSERV ARBITRAGE FUND DIRECT PLAN                                | 15000.00        | 22/07/2025 | 21/07/2026 | 3000.00       | 22/06/2025         | 2025          |

## XSIP PAUSE

Menu: XSIP> Pause/Resume XSIP

To pause/resume an XSIP, member needs to search the same with the XSIP registration number.

### Pause

**XSIP Pause/Resume**

XSIP Registration No :

---

**SIP/XSIP Registration Details**

|  |   |                            |                                  |
|--|---|----------------------------|----------------------------------|
| SIP Registration No<br>202507161000015           | SIP Type<br>XSIP  | SIP Frequency<br>MONTHLY   | DP Transaction Mode<br>NON DEMAT |
| Installment Amount<br>200000.00                  | SIP Start Date<br>16-08-2025  | SIP End Date<br>15-11-2033 | SIP Status<br>ACTIVE             |
| Client Code/Name<br>TESTT01 - BULBUL BULBUL BHAN | Scheme Code/Name<br>AONFIDG-GR-L1 - ANGEL ONE NIFTY 50 INDEX FUND - DIRECT GROWTH |                            |                                  |

---

**Pause/Resume XSIP**

Next 2 installments will pause  
Note: if Next installment's Debit request send to bank then that installment is triggered

Effective Date:  Remark:

### Resume

**XSIP Pause/Resume**

XSIP Registration No :

---

**SIP/XSIP Registration Details**

|  |  |                            |                                  |
|--|--|----------------------------|----------------------------------|
| SIP Registration No<br>202507111000018           | SIP Type<br>XSIP   | SIP Frequency<br>MONTHLY   | DP Transaction Mode<br>NON DEMAT |
| Installment Amount<br>21000.00                   | SIP Start Date<br>11-08-2025   | SIP End Date<br>07-08-2065 | SIP Status<br>PAUSE              |
| Client Code/Name<br>TESTT01 - BULBUL BULBUL BHAN | Scheme Code/Name<br>AONFIDG-GR - ANGEL ONE NIFTY 50 INDEX FUND - DIRECT GROWTH |                            |                                  |

---

**Pause/Resume XSIP**

Note: if Next installment's Debit request date is passed then That installment triggered as Invalid

Effective Date:  Remark:

---

**XSIP Change Logs**

| Sr No. | Request Name | Message | Pause/Resume date | Pause/Resume Installment no | Request Date        |
|--------|--------------|---------|-------------------|-----------------------------|---------------------|
| 1      | XSIP Pause   |         | 15-07-2025        | 2,3                         | 16-07-2025 16:09:59 |

After successfully registering XSIP pause, investor will receive email with a copy to the member, where he must authorise by clicking on the link given in mail communication to generate an OTP.

**XSIP Pause Authorization for ANKUSH V (NDI65)**

Distributor Name: 99943-SARITA | Distributor Contact No: 9769243465 | Distributor Email: SARITAN@NSE.CO.IN

**Pending to Authorize with OTP**

|  |                                 |  |                               |                          |                          |
|--|---------------------------------|--|-------------------------------|--------------------------|--------------------------|
| XSIP Reg Date<br>14/07/2025 15:04:47                           | XSIP Reg No.<br>202507141000036 | Scheme Name<br>CANARA ROBECO BALANCED ADVANTAGE FUND - REGULAR PLAN - GROWTH | Transaction Mode<br>Non Demat | Folio No.                | Amount<br>1000.00        |
| Start Date<br>18/08/2025<br>Active Till<br>07/08/2025 23:59:59 | Frequency<br>MONTHLY            | Installments<br>480  | Mandate Id<br>2025071410001   | Pause Date<br>06/08/2025 | Installments Paused<br>2 |

**Authorization Mode \*** Email

Email: S\*\*\*\*\*@N\*\*\*\*\*.IN

OTP sent successfully.  
You can resend OTP after 117 seconds.

Client must enter the OTP received on his email/SMS or both as per the selection he did to authenticate the pause action.

**XSIP Pause Authorization for ANKUSH V (NDI65)**

Distributor Name: 99943-SARITA | Distributor Contact No: 9769243465 | Distributor Email: SARITAN@NSE.CO.IN

**XSIP Pause Confirmation for ANKUSH V (NDI65)**

|                                      |                                 |  |                               |                          |                          |
|--------------------------------------|---------------------------------|--|-------------------------------|--------------------------|--------------------------|
| XSIP Reg Date<br>14/07/2025 15:04:47 | XSIP Reg No.<br>202507141000036 | Scheme Name<br>CANARA ROBECO BALANCED ADVANTAGE FUND - REGULAR PLAN - GROWTH | Transaction Mode<br>Non Demat | Folio No.                | Amount<br>1000.00        |
| Start Date<br>18/08/2025             | Frequency<br>MONTHLY            | Installments<br>480  | Mandate Id<br>2025071410001   | Pause Date<br>06/08/2025 | Installments Paused<br>2 |

Thank you for completing authorization.

### PAUSE XSIP REPORT

XSIP orders once paused can be seen in the Pause XSIP Report

**PAUSE XSIP Report**

PAUSE XSIP Report : 01-07-2025 To 25-07-2025

50 entries per page

| Status | Member Code | Client Code | Client Name        | XSIP Regn Number | XSIP Regn Date | AMC Name                                   | RTA Scheme Code | Scheme Name                                   | Frequency Type | Start Date  | End Date    | Pause Start |
|--------|-------------|-------------|--------------------|------------------|----------------|--|-----------------|---|----------------|-------------|-------------|-------------|
| PAUSE  | 99915       | TESTT01     | BULBUL BULBUL BHAN | 202507241000117  | 24 JUL 2025    | ANGEL ONE ASSET MANAGEMENT COMPANY LIMITED | NFIDG           | ANGEL ONE NIFTY 50 INDEX FUND - DIRECT GROWTH | MONTHLY        | 24 AUG 2025 | 23 NOV 2033 | 25 JUL 2025 |

Showing 1 to 1 of 1 entry

### XSIP STEPUP

Member can register Step up at the time of registration of either SIP/XSIP by providing Start date, End date, step up frequency which is semi-annual or annual and step up amount.

Menu: SIP/XSIP > SIP/XSIP Registration

**XSIP Registration**

SIP Registration Date: 29-07-2025

Client Code Name: TESTT01 - BULBUL BULBUL BHAN

Transaction Mode: NON DEMAT

DP Transaction Mode: NON DEMAT

AMC: BANK OF INDIA MUTUAL FUND

Settlement Type: Select Settlement Category

Scheme Plan: Select Scheme Option

Scheme Option: Select Scheme Option

Scheme Name: BAAFDA-DR-L1 - BANK OF INDIA ARBITRAGE FUND DIRECT PLAN - AN...

ISIN: INF761K01EJ0

RTA Scheme Code: AFDAR

Folio Number: Enter Folio Number

Frequency Type: MONTHLY

Generate First Order Today:

Mandate Id: 2025070810013 - HDFC BANK - APPROVED

Bank Account No: XXXXXX7890

Mandate Expiry Date: 08-07-2065

Mandate Limit: 200000

---

**Scheme Details**

Scheme Name: BANK OF INDIA ARBITRAGE FUND DIRECT PLAN - ANNUAL IDCW

Min. Installment Amount: 200000.00

Max. Installment Amount: 99999999.00

Installment Amount Multiplier: 100.00

Min. Num Of Installment: 6

Max. Num Of Installment: 9999

SIP Dates / Dates allowed: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27,28

---

Installment Amount: 200000.00

Start Date: DD-MM-YYYY

End Date: DD-MM-YYYY

Up to Mandate End Date:

Number of installment:

SubBroker Code: 1234567

Subbroker ARN Code: ARN - 12345678

EUN Declaration: Y

EUIN: EP10052

DPC: Y

Remark:

Primary Holder Mobile:

Primary Holder Email:

PO Bank Reference No:

Step-up Required?  Yes  No

Step-up Start Date: 29-07-2025

Step-up End Date: DD-MM-YYYY

Step-up Frequency: Select Step Up Frequency

Step-up Amount:

XSIP Registration with Step up needs to be authenticated by the client. Client needs to click on the link received on Email with a copy to the member /SMS and generate OTP for authentication.

**Step-up Registration Report**

Menu: Step up > Step up Registration Report

Members can view the Step up registered in Step up Registration report. We must enter SIP/XSIP Registration number to view the details of step-up registration like start date, end date, step up amount, etc.

**Stepup Registration Report**

Search By: SIP/XSIP REG No: 202507291000023

Maximum 50 comma(,) separated values allowed.

OR

From Date: 29-07-2025 To Date: 29-07-2025

SIP Type: Select SIP Type

AMC Name: Select AMC Name

Frequency: Select Frequency

Scheme Name: Select Scheme Name

Stepup Status: Select StepUp Status

View Export To Text Export To Excel Reset

---

**Stepup Registration Report for entered SIP/XSIP Registration Number(s)**

| Name         | RTA Scheme Code | Scheme Code | Scheme Name   | Frequency Type | Start Date  | End Date    | Stepup Start/Effective Date | Stepup Enddate | Stepup Frequency | Stepup Amount | Entry By |
|--------------|-----------------|-------------|---|----------------|-------------|-------------|-----------------------------|----------------|------------------|---------------|----------|
| IGMT COMPANY | EHDP            | AXEHDP-DR   | AXIS AGGRESSIVE HYBRID FUND - REGULAR MONTHLY IDCW REINVESTMENT | MONTHLY        | 27 AUG 2025 | 29 AUG 2040 | 27 SEP 2025                 | 26 AUG 2040    | SEMI-ANNUAL      | 600           | ADMIN    |

**SIP/XSIP Mandate Shifting**

Member can convert a SIP to XSIP and vice versa using this utility. Also, Mandate can be changed in the running SIP. Bulk upload facility for the same is also available in the Utilities option.

Menu: XSIP> SIP/XSIP Mandate Shifting.

**SIP/XSIP Mandate Shifting**

SIP/XSIP Registration No :

---

**SIP/XSIP Registration Details**

|  |  |                          |                                  |
|--|--|--------------------------|----------------------------------|
| SIP Registration No<br>202507111000012         | SIP Type<br>XSIP   | Start Date<br>18-07-2025 | DP Transaction Mode<br>Non Demat |
| Installment Amount<br>66000.00                 | SIP End Date<br>14-07-2065   | SIP Status<br>ACTIVE     | SIP Frequency<br>WEEKLY          |
| Current Mandate Id<br>2025070810013            |  |                          |                                  |
| Client Code/Name<br>TESTT01-BULBUL BULBUL BHAN | Scheme Code/Name<br>AONFIDG-GR - ANGEL ONE NIFTY 50 INDEX FUND - DIRECT GROWTH |                          |                                  |

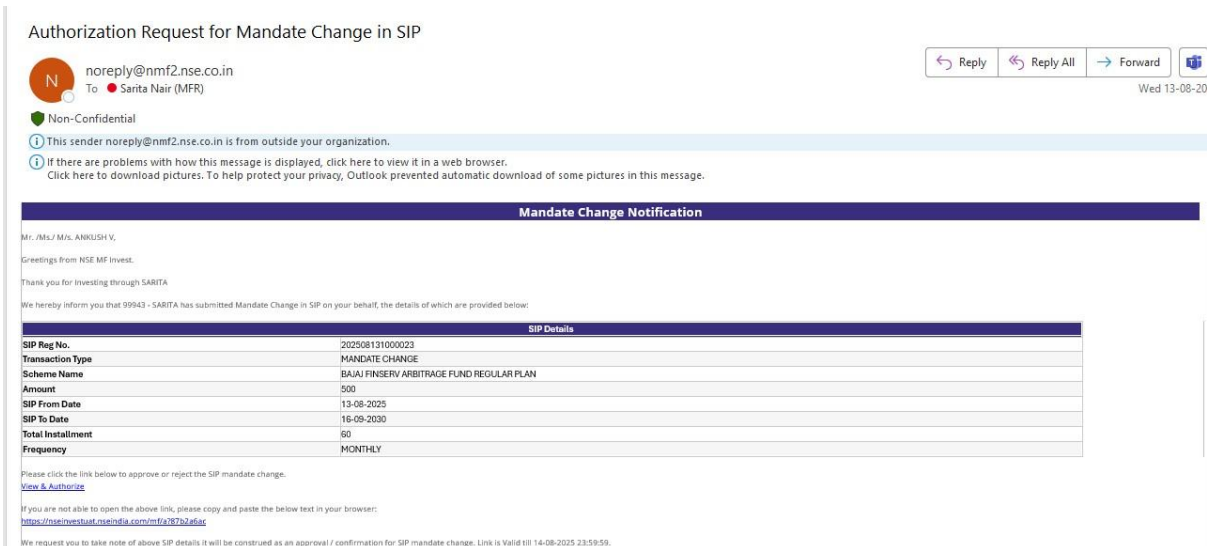
---

**Mandate Details**

| Select                | Mandate Id              | Mandate Bank Name | Mandate Bank Account No | Mandate Amount | Mandate End Date |
|-----------------------|-------------------------|-------------------|-------------------------|----------------|------------------|
| <input type="radio"/> | 2025072210012           | HDFC BANK         | xxxx7890                | 400000.00      | 22-07-2065       |
| <input type="radio"/> | Change from XSIP to SIP |                   |                         |                |                  |

Remark :

Investor needs to authenticate by clicking on the link he receives on his registered email with a copy to the member /sms to confirm the mandate shifting.



He needs to click on the link and generate OTP to authenticate the same.

**Mandate Shift Authorization for ANKUSH V (INDIGS)**

Distributor Name: 5943-SARITA | Distributor Contact No: 9769243465 | Distributor Email: SARITAN@NSE.CO.IN

---

**Pending to Authorize with OTP**

|                            |                                |  |                               |                                    |                                    |                          |
|----------------------------|--------------------------------|--|-------------------------------|------------------------------------|------------------------------------|--------------------------|
| XSP Reg Date<br>13/08/2025 | XSP Reg No.<br>202508131000023 | Scheme Name<br>BAJAJ FINSERV ARBITRAGE FUND REGULAR PLAN | Transaction Mode<br>Non-Demat | Folio No.                          | Amount<br>500                      | Start Date<br>17/08/2025 |
| Frequency<br>MONTHLY       | Installments<br>60             | New Mandate Id<br>2025071410001                          | Bank Name<br>HDFC BANK        | Bank Account No<br>125632541841500 | Active Till<br>14/08/2025 23:59:59 |                          |

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode \*

Email: S\*\*\*\*\*@\*\*\*\*\*.IN

You can resend OTP after 100 seconds.

**Mandate Shift Authorization for ANKUSH V (ND165)**

Distributor Name: 9543-SARITA | Distributor Contact No.: 9769243465 | Distributor Email: SARITAN@NSE.CO.IN

---

**Mandate Shift Confirmation for ANKUSH V (ND165)**

|                             |                                 |  |                               |                                    |               |                          |
|-----------------------------|---------------------------------|--|-------------------------------|------------------------------------|---------------|--------------------------|
| XSIP Reg Date<br>13/08/2025 | XSIP Reg No.<br>202508131000023 | Scheme Name<br>BAJAJ FINSERV ARBITRAGE FUND REGULAR PLAN | Transaction Mode<br>Non-Demat | Folio No.                          | Amount<br>500 | Start Date<br>17/09/2025 |
| Frequency<br>MONTHLY        | Installments<br>60              | New Mandate Id<br>2925071410001                          | Bank Name<br>HDFC BANK        | Bank Account No<br>125632541841500 |               |                          |

Thank you for completing authorization.

## How to modify a registered XSIP:

Member can modify the XSIP from the below path. The XSIP can be modified only after 3 instalments are reported to RTA.

Path: Menu>XSIP>XSIP Detail Change

Member needs to search the XSIP by entering the XSIP Registration number and then enter the details he wishes to modify.

**XSIP Detail Change**

XSIP Registration No. \*  
202605181000007

[View](#) [Reset](#)

---

**XSIP Basic Detail**

|                                |  |                                    |
|--------------------------------|--|------------------------------------|
| Client Code<br>2FA2            | Client Name<br>2FA2  | Depository<br>Non Demat            |
| AMC<br>AXIS MUTUAL FUND        | Scheme Name<br>AXIS AGGRESSIVE HYBRID FUND - REGULAR GROWTH GROWTH | Settlement Category<br>T2          |
| Min. Installment Amount<br>100 | Max. Installment Amount<br>999999999                               | Frequency Type<br>MONTHLY          |
|                                |  | Multiplier Installment Amount<br>1 |

---

**XSIP Changeable Detail**

|                            |  |                               |                                  |
|----------------------------|--|-------------------------------|----------------------------------|
| Primary Holder Mobile<br>- | Primary Holder Email<br>SARITANAIR81@GMAIL.COM | Transaction Mode<br>Non Demat | DP Transaction Mode<br>Non Demat |
| SubBroker Code<br>987854   | Sub Broker ARN Code<br>ARN-99943               | EUIN<br>E528341               | Folio Number<br>9042828486       |
| Installment Amount<br>200  | Remarks<br>-                                   |                               |                                  |

[Modify](#)

Additionally, the member can upload the bulk file in the below path to modify the XSIP. Menu: XSIP > XSIP Bulk Upload

File Type: XSIP Detail Change

**XSIP Bulk Upload**

File Type: XSIP DETAIL CHANGE | Browse File: Select File | [Browse](#) | [Import](#) | [Reset](#)

only .txt file allowed with pipe(|) separated values. Kindly import file without header.

- xsip\_details\_change\_sample.txt






After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

After registering the modification request from UI or by uploading file successfully, investor will receive an Email on his registered mail ID with a copy to the member and SMS on his registered mobile no for authorization.

Product Type – XSIP Detail Change


## Authorization Request for Systemetic Modification

 noreply@nse.co.in  
To:  4usarita@gmail.com  
Cc:  Sarita Nair (MFR);  4usarita@gmail.com

  Reply  Reply All  Forward 

Thu 11-06-2

 Non-Confidential

 If there are problems with how this message is displayed, click here to view it in a web browser.

### Authorization Request for Systemetic Modification

Mr. Ms. / Mrs. ANKUSH V,

Greetings from NSE Invest.

We refer to your request received for the modification in the existing systematic registration.

#### XSIP Modification Detail

|                     |                 |
|---------------------|-----------------|
| <b>XSIP Reg No.</b> | 202605271000060 |
| <b>Mobile</b>       | 8655438370      |

Please click the link below to approve or reject the XSIP modification.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser

<https://nseinvestor.nseindia.com/mfa?66bc544>

In case you need any clarification, please contact your Distributor 99943 - 99943 or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

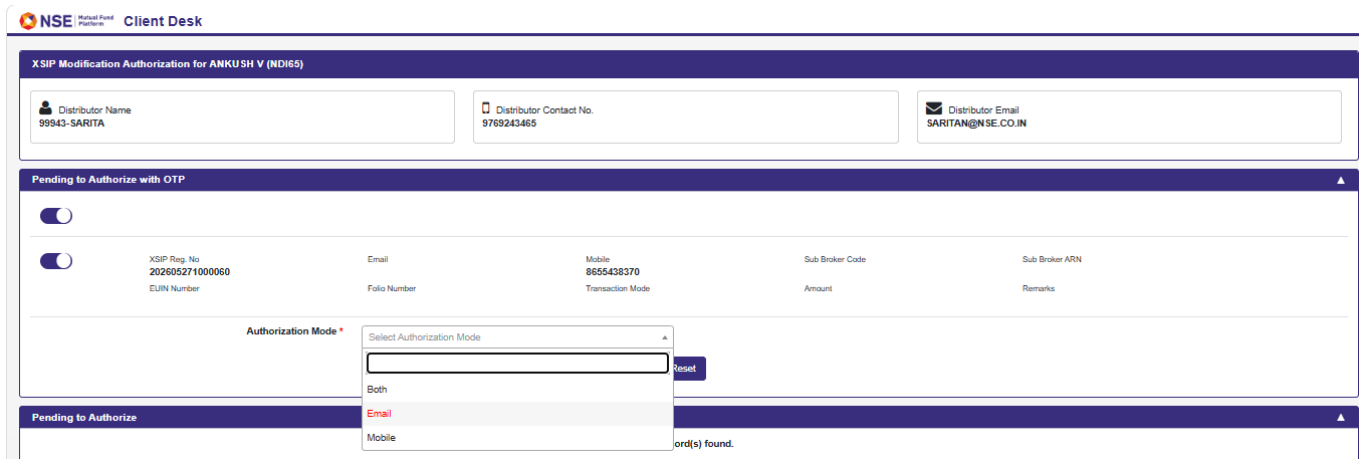
We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

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The data would be provided to the clients on an "as is" and "where-is" basis, without any warranty. The Exchange shall not be liable for any delay or any other interruption which may occur in providing the data due to any reason including network (Internet) reasons or snags in the system, break down of the system or any other equipment, server breakdown, maintenance shutdown, breakdown of communication services or inability of the Exchange to send the data. In no event shall the Exchange be liable for any damages, including without limitation direct or indirect, special, incidental, or consequential damages, losses or expenses arising in connection with the data provided by the Exchange through this facility.

Investor needs to click on the "View & Authorize link" and then selects the mode of authorization to generate the OTP for authorization.



He can resend the OTP if he didn't receive the same earlier. Investor gets the confirmation mail of XSIP Modification.

## Systemetic Modification Authorization Confirmation

 noreply@nse.co.in  
To:  4usarita@gmail.com  
Cc:  Sarita Nair (MFR);  4usarita@gmail.com

  Reply  Reply All  Forward  ...

Thu 11-06-2026 09:1

 Non-Confidential

### Systemetic Modification Authorization Confirmation

Dear ANKUSH V,

Greetings from NSE Invest.

Modification request for Systemetic Reg no 202605271000060 placed on NSE MF INVEST platform has been authenticated successfully and submitted for further processing.

Thanks and Regards,  
National Stock Exchange of India Limited.

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The data would be provided to the clients on an "as is" and "where-is" basis, without any warranty. The Exchange shall not be liable for any delay or any other interruption which may occur in providing the data due to any reason including network (Internet) reasons or snags in the system, break down of the system or any other equipment, server breakdown, maintenance shutdown, breakdown of communication services or inability of the Exchange to send the data. In no event shall the Exchange be liable for any damages, including without limitation direct or indirect, special, incidental, or consequential damages, losses or expenses arising in connection with the data provided by the Exchange through this facility.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully amended and issued from time to time by the mutual funds.

In case the client didn't receive the authentication link, member can resend the same using the Menu > Utilities > Resend Communication

### **Various XSIP Reports**

Menu: XSIP >>

- **XSIP Reg. & Can. Report (Registration & Cancellation)** = This report gives data of XSIP registered till date and XSIP Cancelled till date. Member can also Export this report in Excel and TXT format for their reference. Resend option of the XSIP authorization mail is available.
- **XSIP Instalment Due Report** = This report fetches the data of XSIP instalment due for future dates based on From Date and To Date
- **XSIP Matured Report** = This report fetches the data of all those XSIP which are matured as on today only. Future date is not allowed here.
- **XSIP Missed Instalment Report** = This report fetches data of all those XSIP and whose instalment are not triggered/missed due to some business change. This report is fetched as on today only. Future date is not allowed here.

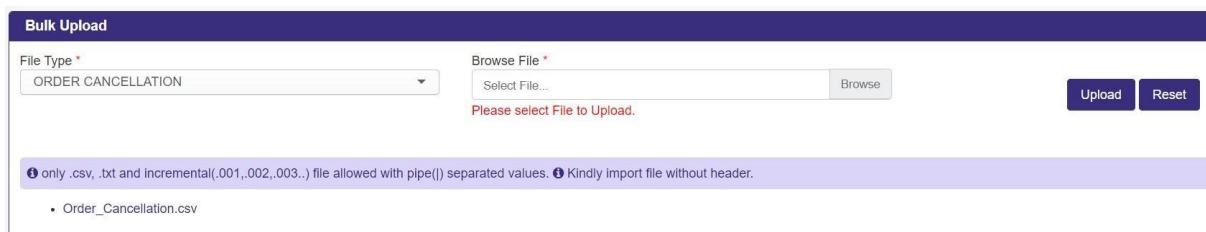
### **Order Cancellation**

Menu: Utilities > Bulk Upload

File Type: Order Cancellation

Member can cancel orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

Note: Cancellation of Orders cannot be done if the payment/authorisation of the same is initiated.



The screenshot shows a web interface titled "Bulk Upload". It features a "File Type" dropdown menu set to "ORDER CANCELLATION". To the right is a "Browse File" section with a "Select File..." input field and a "Browse" button. Below this is a red error message: "Please select File to Upload." At the bottom right are "Upload" and "Reset" buttons. A light blue informational banner contains the text: "only .csv, .txt and incremental(.001,.002,.003..) file allowed with pipe(|) separated values. Kindly import file without header." Below the banner, a file named "Order\_Cancellation.csv" is listed.

Post successful upload of the Order cancellation file, investor will receive Email communication on registered mail id notifying the cancellation of Order.

### Transaction Cancellation Notification

 noreply@nmf2.nse.co.in  
To Tejas Mahajan

Non-Confidential

If there are problems with how this message is displayed, click here to view it in a web browser.  
Click here to download pictures. To help protect your privacy, Outlook prevented automatic download of some pictures in this message.

Reply Reply All Forward

Mon 06-05-2024 16:32

#### Transaction Cancellation Notification

Mr./Ms./ M/s. TEJAS IDFC AC TEST TEST,

Greetings from NSE Invest.

Kindly note that the below transaction/s entered on your behalf by your distributor/advisor 12346 - TEST NI MEMBER has been cancelled. In case of any discrepancies, please contact your distributor/advisor.

| Transaction Details |   |
|---------------------|---|
| Exchange Order No.  | 441270000309  |
| Fund                | ADITYA BIRLA SUNLIFE MUTUAL FUND  |
| Transaction Type    | Purchase  |
| Folio               | 9966223312359840  |
| Scheme Name         | ADITYA BIRLA SUN LIFE TAX RELIEF '96 FUND- (ELSS U/S 80C OF IT ACT) - IDCW-REGULAR PLAN |
| Divident Option     | GROWTH  |
| Trxns Amount        | 55000   |
| Status              | CANCELED  |

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor 12346 - TEST NI MEMBER or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

## How to register SWP

Member can register SWP from the below path.

Path: Menu> SWP> SWP Registration

Member needs to enter all the details required in the UI to register SWP.

#### SWP Registration

|   |   |  |  |
|---|---|--|--|
| SWP Registration Date<br>07-02-2025                   | Client Code/Name *<br><input type="text" value="Please enter value for search."/> |  |  |
| AMC *<br><input type="text" value="Select AMC Name"/> | Scheme Type<br><input type="text" value="Select Scheme Type"/>                    | Scheme Option<br><input type="text" value="Select Scheme Option"/>         | Scheme Name *<br><input type="text" value="Select Scheme Name"/>       |
| ISIN  | RTA Scheme Code   | Folio Number<br><input type="text" value="Please Enter/Select Folio No."/> | Frequency Type *<br><input type="text" value="Select Frequency Type"/> |

Generate First Order Today

Additionally, the member can upload the bulk file in the below path to register the SWP.

Menu: SWP > SWP Bulk Upload

File Type: SWP Registration

Member can place SWP orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

#### SWP Bulk Upload

|  |   |  |
|--|---|--|
| File Type *<br><input type="text" value="SWP REGISTRATION"/> | Browse File *<br><input type="text" value="Select File"/> <input type="button" value="Browse"/> | <input type="button" value="Import"/> <input type="button" value="Reset"/> |
|--|---|--|

**i** only .csv, .txt file allowed with pipe(|) separated values. **i** Kindly import file without header.

- swp\_registration.csv
- swp\_registration.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

**SWP Bulk Upload**

Records Processed Successfully.

File Type \*  
SWP REGISTRATION

Browse File \*  
Select File

Browse Import Reset

only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.

- swp\_registration.csv
- swp\_registration.txt

**SWP Bulk Upload Summary**

| Number of records in File | New Registration Imported | Records are not processed |
|---------------------------|---------------------------|---------------------------|
| 1                         | 1                         | 0                         |

After registering the SWP from UI or by uploading SWP order file successfully, investor (first holder in UCC) will receive an Email on his registered mail ID with a copy to the member and SMS on his registered mobile no for authorization. Cart Facility for up to 10 SWP orders in UI is available.

**SWP Registration Details**

|                    |   |
|--------------------|---|
| Reg No.            | 202501211000006   |
| Reg Date           | 21-Jan-2025   |
| Scheme Name        | MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH |
| Installment Amount | 5000.00   |
| Redemption Qty     | 0   |
| Frequency          | MONTHLY   |
| Start Date         | 21-Feb-2025   |
| End Date           | 20-Jan-2030   |
| Total Installments | 60  |
| Trans Mode         | NON DEMAT   |
| Depository         | -   |
| Folio No           | 654321  |

Please click the link below to approve or reject the SWP registration.  
[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser  
<https://nseinvestat.nseindia.com/mfa/61aaa0e5>

We request you to take note of above SWP details and it will be construed as an approval / confirmation for SWP registration. Link is Valid till 22-JAN-2025 23:59:59

Investor needs to click on the “View & Authorize link” and then select the orders he wants to authenticate. He then will have to select the mode of authorization.

**SWP Registration Authorization for NON DEMAT1 (NDI2)**

Distributor Name  
 Distributor Contact No.  
 Distributor Email

**Pending to Authorize with OTP**

|                                     |   |                |  |               |                          |                      |                    |                        |                                    |
|-------------------------------------|---|----------------|--|---------------|--------------------------|----------------------|--------------------|------------------------|------------------------------------|
| SWP Registration Date<br>21/01/2025 | SWP Registration No.<br>202501211000006 | Amount<br>5000 | Scheme Name<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH | Quantity<br>0 | Start Date<br>21/02/2025 | Frequency<br>MONTHLY | Installments<br>60 | First Order Today<br>Y | Active Till<br>22/01/2025 23:59:59 |
|-------------------------------------|---|----------------|--|---------------|--------------------------|----------------------|--------------------|------------------------|------------------------------------|

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode \*  
 Select Authorization Mode  
 Both  
 Email  
 Mobile

Investor needs to select the authorization mode and generate the OTP for authorization. He can resend the OTP if he didn't receive the same earlier. Investor gets the confirmation mail of SWP Registration.

| SWP Registration Confirmation for NON DEMAT1 (IND2) |   |   |                |               |                          |                      |                    |                        |
|---|---|---|----------------|---------------|--------------------------|----------------------|--------------------|------------------------|
| SWP Registration Date<br>21/01/2025                 | SWP Registration No.<br>202501211000007 | Scheme Name<br>MOTILAL O SWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH | Amount<br>5000 | Quantity<br>0 | Start Date<br>21/02/2025 | Frequency<br>MONTHLY | Installments<br>60 | First Order Today<br>Y |
| Transaction Mode<br>Non-Demat                       | Folio No.<br>654321                     |   |                |               |                          |                      |                    |                        |
| SWP Registration Date<br>21/01/2025                 | SWP Registration No.<br>202501211000006 | Scheme Name<br>MOTILAL O SWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH | Amount<br>5000 | Quantity<br>0 | Start Date<br>21/02/2025 | Frequency<br>MONTHLY | Installments<br>60 | First Order Today<br>Y |
| Transaction Mode<br>Non-Demat                       | Folio No.<br>654321                     |   |                |               |                          |                      |                    |                        |

Thank you for completing authorization. Transaction will be processed as per above mention.

### Systematic Transaction Authorization Confirmation

Mr./Ms./ M/s. NON DEMAT1,  
 Greetings from NSE Invest.  
 Thank you for investing through .  
 Systematic Reg no 202501211000006 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.  
 Disclaimer:NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.  
 Thanks and Regards,  
 National Stock Exchange of India Limited.

## How to Cancel SWP transaction

Member can cancel SWP manually of his clients through UI from the Path below. Menu: SWP> SWP Cancellation

| SWP Cancellation Report |                                     |                  |        |                 |                              |                 |               |                         |                                       |
|-------------------------|-------------------------------------|------------------|--------|-----------------|------------------------------|-----------------|---------------|-------------------------|---------------------------------------|
| 50 entries per page     |                                     |                  |        |                 |                              |                 |               |                         |                                       |
| Sr No                   | Select All                          | Reason           | Remark | SWP Regn Number | Client Name                  | Internal Ref No | SWP Reg. Date | Amc Name                | Scheme Name                           |
| 1                       | <input checked="" type="checkbox"/> | 07-Goal Achieved |        | 202507111000009 | TESTT01 - BULBUL BULBUL BHAN | -               | 11/07/2025    | AXIS ASSET MGMT COMPANY | AFD1-DP - AXIS FOCUSED FUND DIRECT ID |

Showing 1 to 1 of 1 entry

[Bulk Cancel SWP](#)

Additionally, Member can upload the bulk file in the below path to cancel SWPs.

### Menu: SWP > SWP Bulk Upload

File Type: SWP Cancellation

Member can cancel SWP orders in the system. Only .txt file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample file provided on screen for their reference.

#### SWP Bulk Upload

File Type \* Browse File \*

SWP CANCELLATION Select File

📘 only .txt file allowed with pipe(|) separated values. 📘 Kindly import file without header.

- SWP\_bulk\_Cancel\_Sample.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

**SWP Bulk Upload**

File Type \* Browse File \*

SWP REGISTRATION Select File

SWP REGISTRATION

**only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.**

- swp\_registration.csv
- swp\_registration.txt


---

**SWP Bulk Cancellation Summary**

| Number of records in File | Number of record processed successfully | Number of records failed |
|---------------------------|---|--------------------------|
| 1                         | 1                                       | 0                        |

Post successful upload of the cancellation file, investor gets an authorization mail with a copy to the member for the same.

Authorization Request for SWP Cancellation

 noreply@nmf2.nse.co.in  
To: \*

Tue 21-01-2025 16:4

**Transaction Cancellation Notification**

Mr. /Ms./ M/s. NON DEMAT1,  
Greetings from NSE Invest.

Kindly click the below link to authorize the cancelled transaction/s. In case of any discrepancies, please contact your distributor/advisor.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser  
<https://nseinvestuat.nseindia.com/mf/a?049d71a>

Kindly note that the transaction is valid till 22-Jan-2025 subject to realization of the cancellation.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

Investor will have to click on View and authorize to authorize the cancellation of the SWP Order.

**SWP Cancellation Authorization for NON DEMAT1 (INDI2)**

Distributor Name

**Pending to Authorize with OTP**

|                          |   |  |  |  |
|--------------------------|---|--|--|--|
| <input type="checkbox"/> | Cancellation Request Date<br>21/01/2025 16:39:54<br>Transaction Mode<br>Non-Demat | SWP Registration Date<br>21/01/2025<br>Folio No.<br>654321 | SWP Registration No.<br>202001211000006<br>Amount<br>5000<br>Quantity<br>0 | Scheme Name<br>MUTUAL FUND BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Start Date<br>21/02/2025<br>Frequency<br>MONTHLY<br>Installments<br>60<br>Active Till<br>22/01/2025 23:59:59 |
|--------------------------|---|--|--|--|

I authorize NSE, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode \*

Both  
Email  
Mobile

Investor will select the authentication mode and generate the OTP.

Investor gets the confirmation once the authentication is completed successfully.

**SWP Cancellation Authorization for NON DEMAT1 (ND14)**

Distributor Name  Distributor Contact No.  Distributor Email

---

**SWP Cancellation Confirmation for NON DEMAT1 (ND14)**

|                                 |  |  |   |
|---------------------------------|--|--|---|
| Cancellation Date<br>24/01/2025 | SWP Registration Date<br>24/01/2025<br>Transaction Mode<br>Non-Demat | SWP Registration No.<br>202501241000005<br>Folio No.<br>654321 | Scheme Name<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Amount<br>5000<br>Quantity<br>0<br>Start Date<br>25/02/2025<br>Frequency<br>MONTHLY<br>Installments<br>60 |
|---------------------------------|--|--|---|

Thank you for completing authorization. Transaction will be processed as per above mention.

Investor gets the confirmation mail with a copy to the member and SMS too once the authentication is completed successfully.

**Systematic Transaction Cancellation Confirmation**

Dear NON DEMAT1,  
Greetings from NSE Invest.

Cancellation request for Systematic Reg no 202501241000004 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor:  or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

### **How to modify a registered SWP:**

Member can modify the SWP from the below path. The SWP can be modified only after 3 instalments are reported to RTA.

Path: Menu>SWP>SWP Detail Change

Member needs to search the SWP by entering the SWP Registration number and then enter the details he wishes to modify.

**SWP Detail Change**

SWP Registration No. \*

---

**SWP Basic Detail**

|   |   |  |
|---|---|--|
| <b>Client Code</b><br>2FA9                  | <b>Client Name</b><br>2FA   | <b>Depository</b><br>NSDL                  |
| <b>AMC</b><br>DSP MUTUAL FUND               | <b>Scheme Name</b><br>DSP NIFTY 500 INDEX FUND - REGULAR - GROWTH | <b>Settlement Category</b><br>T2           |
| <b>Min. Installment Amount</b><br>100       | <b>Max. Installment Amount</b><br>999999999                       | <b>Frequency Type</b><br>MONTHLY           |
| <b>Installment Amount Multiplier</b><br>.01 | <b>Installment Unit Multiplier</b><br>1                           | <b>Max. Installment Units</b><br>999999999 |

---

**SWP Changeable Detail**

|  |   |  |                                      |
|--|---|--|--------------------------------------|
| <b>Primary Holder Mobile</b><br>-                  | <b>Primary Holder Email</b><br>-                  | <b>Transaction Mode</b><br>Demat             | <b>DP Transaction Mode</b><br>NSDL   |
| <input type="text" value="Primary Holder Mobile"/> | <input type="text" value="Primary Holder Email"/> | <input type="text" value="Non Demat"/>       | <input type="text" value="NSDL"/>    |
| <b>SubBroker Code</b><br>987954                    | <b>Sub Broker ARN Code</b><br>ARN-99943           | <b>EUIN</b><br>E526341                       |                                      |
| <input type="text" value="SubBroker Code"/>        | <input type="text" value="Sub Broker ARN Code"/>  | <input type="text" value="EUIN"/>            |                                      |
| <b>Folio Number</b><br>-                           | <b>Withdrawal Amount</b><br>0                     | <b>Withdrawal Units</b><br>10                | <b>Remarks</b><br>-                  |
| <input type="text" value="Folio Number"/>          | <input type="text" value="Withdrawal Amount"/>    | <input type="text" value="Withdrawal Unit"/> | <input type="text" value="Remarks"/> |

Additionally, the member can upload the bulk file in the below path to modify the SWP.

Menu: SWP > SWP Bulk Upload

## File Type: SWP Detail Change

SWP Bulk Upload

**File Type \***

SWP DETAIL CHANGE
▼

**Browse File \***

Select File
Browse

Import

Reset

**i** only .txt file allowed with pipe(|) separated values. **i** Kindly import file without header.

- SWP\_bulk\_Modification\_Sample.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

After registering the modification request from UI or by uploading file successfully, investor will receive an Email on his registered mail ID with a copy to the member and SMS on his registered mobile no for authorization.

Authorization Request for Systematic Modification

Mr. /Ms./ M/s. GROWW1 TEST1 TEST1,

Greetings from NSE Invest.

We refer to your request received for the modification in the existing systematic registration.

| SWP Modification Detail |  |
|-------------------------|--|
| <b>SWP Reg No.</b>      | 202604221000024  |
| <b>Email</b>            | <a href="mailto:SGHUGE@NSE.CO.IN">SGHUGE@NSE.CO.IN</a> |
| <b>Mobile</b>           | 8691933032   |
| <b>Sub Broker Code</b>  | 1187456  |
| <b>Sub Broker ARN</b>   | ARN-1187456  |
| <b>EJIN Number</b>      | E123345  |
| <b>Trans Mode</b>       | Demat  |
| <b>Units</b>            | 1000.00  |

Please click the link below to approve or reject the SWP modification.  
[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser  
<https://nseinvestat.nseindia.com/mf/a7507ea0f6>

In case you need any clarification, please contact your Distributor 99091 - GROWTESTMFINVEST or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
 National Stock Exchange of India Limited.

Investor needs to click on the "View & Authorize link" and then selects the mode of authorization to generate the OTP for authorization. He can resend the OTP if he didn't receive the same earlier. Investor gets the confirmation mail of SWP Modification.

**From:** [noreply@nse.co.in](mailto:noreply@nse.co.in) <noreply@nse.co.in>  
**Sent:** 11 June 2026 10:09  
**To:** [shradhdha8@gmail.com](mailto:shradhdha8@gmail.com)  
**Cc:** Shradha Nagare (MFR) <[shhuge@nse.co.in](mailto:shhuge@nse.co.in)>  
**Subject:** Systematic Modification Authorization Confirmation

Systematic Modification Authorization Confirmation

Dear GROWW1 TEST1 TEST1,

Greetings from NSE Invest.

Modification request for Systematic Reg no 202604221000025 placed on NSE MF INVEST platform has been authenticated successfully and submitted for further processing.

Thanks and Regards,  
 National Stock Exchange of India Limited.

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The data would be provided to the clients on an "as is" and "where-is" basis, without any warranty. The Exchange shall not be liable for any delay or any other interruption which may occur in providing the data due to any reason including network (Internet) reasons or snags in the system, break down of the system or any other equipment, server breakdown, maintenance shutdown, breakdown of communication services or inability of the Exchange to send the data. In no event shall the Exchange be liable for any damages, including without limitation direct or indirect, special, incidental, or consequential damages, losses or expenses arising in connection with the data provided by the Exchange through this facility.

Mutual Fund investments are subject to market risks. read all scheme related documents carefully amended and issued from time to time by the mutual funds.

In case the client didn't receive the authentication link, member can resend the same using the Menu > Utilities > Resend Communication

Product Type – SWP Detail Change

## SWP Reports

Menu: SWP >>

- **SWP Reg. & Can. Report** = This report gives data of SWP registered till date and SWP Cancelled till date. Member can also Export this report in Excel and TXT format for their reference. Resend option of the SWP authorization mail is available.
- 
- **SWP Instalment Due Report** = This report fetches the data of SWP instalment due for future dates based on From Date and To Date
- **SWP Matured Report** = This report fetches the data of all those SIP which are matured as on today only. Future date is not allowed here.

## How to enter STP transaction

Member can register STP from the below path.

Path: Menu> STP> STP Registration

Member needs to enter all the details required in the UI to register STP.

The screenshot shows the 'STP Registration' form with the following fields and options:

- Client Code/Name \* (Searchable dropdown)
- Client Tax Status (Dropdown)
- Transaction Type: ADDITIONAL
- AMC Name \* (Dropdown)
- From Scheme Type (Dropdown)
- From Scheme Option (Dropdown)
- From Scheme Name \* (Dropdown)
- To Scheme Type (Dropdown)
- To Scheme Option (Dropdown)
- To Scheme Name \* (Dropdown)
- Frequency Type \* (Dropdown)
- Generate First Order Today (Checkbox)
- Submit and Reset buttons

Additionally, member can upload the bulk file to register STPs.

Menu: STP > STP Bulk Upload

File Type: STP Registration

Member can place STP orders in the system. Both .txt and .csv file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample files provided on screen for their reference.

The screenshot shows the 'STP Bulk Upload' form with the following elements:

- File Type \* (Dropdown: STP REGISTRATION)
- Browse File \* (Text input: Select File, Browse button)
- Import and Reset buttons
- Message box: only .csv, .txt file allowed with pipe(|) separated values. Kindly import file without header.
- Sample files list:
  - STP\_bulk\_Upload\_Sample.csv
  - STP\_bulk\_Upload\_Sample.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

**STP Bulk Upload**

File Type \* STP REGISTRATION Browse File \* Select File Browse Import Reset

**!** only .csv, .txt file allowed with pipe(|) separated values. **!** Kindly import file without header.

- STP\_bulk\_upload\_Sample.csv
- STP\_bulk\_upload\_Sample.txt

**STP Bulk Upload Summary**

| Number of records in File | New Registration Imported | Records are not processed |
|---------------------------|---------------------------|---------------------------|
| 1                         | 1                         | 0                         |

After registering the STP through UI or by uploading the STP file successfully, Investor will receive mail with a copy to the member where he needs to click on the "View & Authorize link" and then select the orders he wants to authenticate. He then will have to select the mode of authorization. Cart Facility for up to 10 STP orders in UI is available.

**STP Registration Details**

|                    |   |
|--------------------|---|
| STP Reg No.        | 202501221000002   |
| STP Reg Date       | 22-Jan-2025   |
| From Scheme Name   | MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH |
| To Scheme Name     | MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH             |
| Amount             | 500.00  |
| Qty                | 0   |
| Frequency          | MONTHLY   |
| Start Date         | 28-Feb-2025   |
| End Date           | 27-Apr-2025   |
| Total Installments | 15  |
| Trans Mode         | NON DEMAT   |
| Depository         | -   |
| Folio No           | 654321  |

Please click the link below to approve or reject the STP registration.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser

<https://nseinvestuat.nseindia.com/mfa/?1c5eb7fd>

We request you to take note of above STP details and it will be construed as an approval / confirmation for STP registration. Link is Valid till 23-JAN-2025 23:59:59

**Pending to Authorize with OTP**

|                          |  |  |  |   |
|--------------------------|--|--|--|---|
| <input type="checkbox"/> | STP Trxn No.<br>202501221000003<br>Folio No.<br>654321<br>First Order Today<br>Y | Registration Date<br>22/01/2025<br>Transaction Mode<br>Non-Demat<br>Active Till<br>23/01/2025 23:59:59 | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Start Date<br>28/02/2025<br>Frequency<br>MONTHLY | Scheme Name(SI)<br>MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH<br>Total installments<br>15<br>Amount(SI)   Qty(SI)<br>500   0 |
| <input type="checkbox"/> | STP Trxn No.<br>202501221000002<br>Folio No.<br>654321<br>First Order Today<br>Y | Registration Date<br>22/01/2025<br>Transaction Mode<br>Non-Demat<br>Active Till<br>23/01/2025 23:59:59 | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Start Date<br>28/02/2025<br>Frequency<br>MONTHLY | Scheme Name(SI)<br>MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH<br>Total installments<br>15<br>Amount(SI)   Qty(SI)<br>500   0 |
| <input type="checkbox"/> | STP Trxn No.<br>202501211000005<br>Folio No.<br>654321<br>First Order Today<br>Y | Registration Date<br>21/01/2025<br>Transaction Mode<br>Non-Demat<br>Active Till<br>21/02/2025          | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Start Date<br>21/02/2025<br>Frequency<br>MONTHLY | Scheme Name(SI)<br>MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH<br>Total installments<br>15<br>Amount(SI)   Qty(SI)<br>500   0 |

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be from the member.

I Agree Terms & Condition

Authorization Mode \* Both Email Mobile Select Authorization Mode

Reset

Investor generates the OTP for authorization. He can resend the OTP if he didn't receive the same earlier.

**STP Registration Confirmation for NON DEMAT1 (NDI2)**

|  |  |  |  |
|--|--|--|--|
| STP Trxn No.<br>202501221000003<br>Folio No.<br>654321 | Registration Date<br>22/01/2025<br>Transaction Mode<br>Non-Demat | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Start Date<br>28/02/2025<br>Frequency<br>MONTHLY<br>Total installments<br>15 | Scheme Name(SI)<br>MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH<br>Amount(SI)   Qty(SI)<br>5000   0<br>First Order Today<br>Y |
| STP Trxn No.<br>202501221000002<br>Folio No.<br>654321 | Registration Date<br>22/01/2025<br>Transaction Mode<br>Non-Demat | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Start Date<br>28/02/2025<br>Frequency<br>MONTHLY<br>Total installments<br>15 | Scheme Name(SI)<br>MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH<br>Amount(SI)   Qty(SI)<br>500   0<br>First Order Today<br>Y  |
| STP Trxn No.<br>202501211000005<br>Folio No.<br>654321 | Registration Date<br>21/01/2025<br>Transaction Mode<br>Non-Demat | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH<br>Start Date<br>21/02/2025<br>Frequency<br>MONTHLY<br>Total installments<br>15 | Scheme Name(SI)<br>MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH<br>Amount(SI)   Qty(SI)<br>500   0<br>First Order Today<br>Y  |

**Thank you for completing authorization. Transaction will be processed as per above mention.**

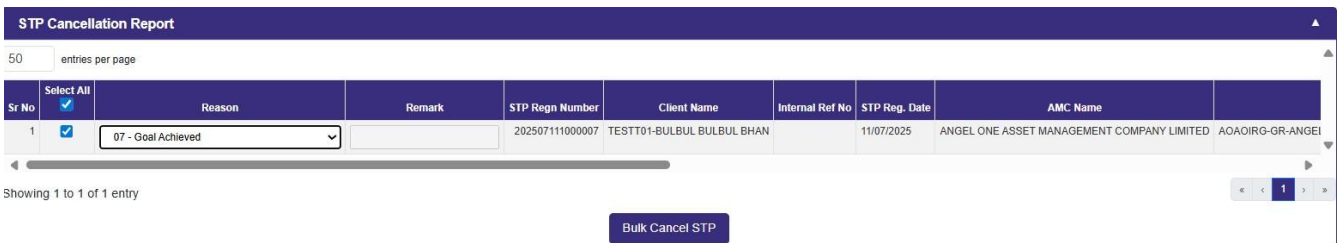
Post successful authorization, the investor gets a confirmation mail with a copy to the member and SMS for Systematic Transaction Authorization Confirmation.

**Systematic Transaction Authorization Confirmation**

Mr./Ms./ M/s. NON DEMAT1,  
 Greetings from NSE Invest.  
 Thank you for investing through .  
 Systematic Reg no 202501221000003 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.  
 Disclaimer:NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned NRI customer.  
 Thanks and Regards,  
 National Stock Exchange of India Limited.

**How to Cancel STP transaction**

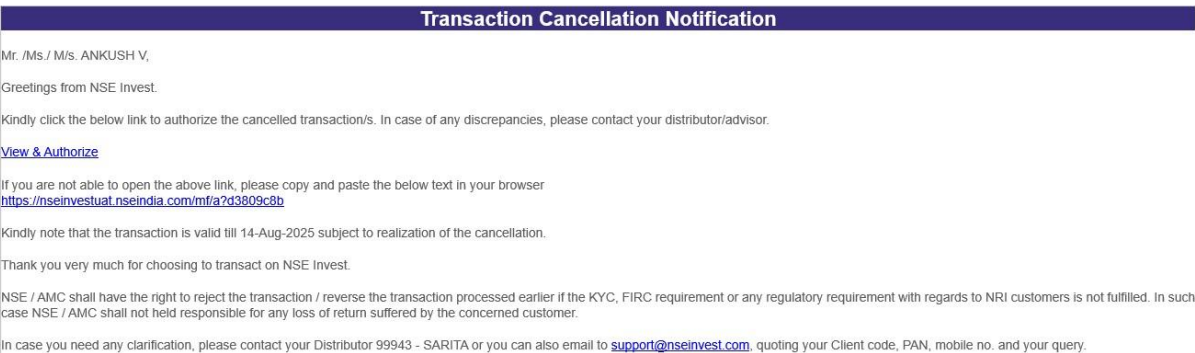
Member can cancel STP manually of his clients through UI from the Path below. Menu:  
 STP> STP Cancellation



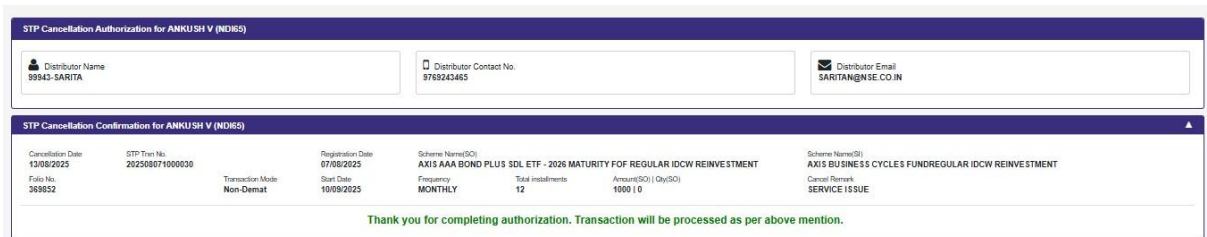
Additionally, Member can upload the bulk file in the below path to cancel STPs.

Client gets the authentication mail with a copy to the member for the cancellation of STP. He needs to click on view and authorize and generate OTP to validate the same.

Authorization Request for STP Cancellation



On successful authentication, the client gets the message as below.



Member can view the same in the Report available – STP Reg & Can Report.

### Menu: STP > STP Bulk Upload

File Type: STP Cancellation

Member can cancel STP orders in the system. Only .txt file allowed with pipe (|) separated values. Kindly import file without header. Member can refer sample file provided on screen for their reference.

#### STP Bulk Upload

File Type \*  
STP CANCELLATION

Browse File \*  
Select File

**i** only .txt file allowed with pipe(|) separated values. **i** Kindly import file without header.

- STP\_bulk\_Cancel\_Sample.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

#### STP Bulk Upload

File Type \*  
STP CANCELLATION

Browse File \*  
Select File

**i** only .txt file allowed with pipe(|) separated values. **i** Kindly import file without header.

- STP\_bulk\_Cancel\_Sample.txt

#### STP Cancellation Summary

| Number of records in File | Number of record processed successfully | Number of record failed |
|---------------------------|---|-------------------------|
| 1                         | 1                                       | 0                       |

Post successful upload of the cancellation file, investor gets an authorization mail with a copy to the member for the same.

**Transaction Cancellation Notification**

Mr. /Ms./ M/s. NON DEMAT1,

Greetings from NSE Invest.

Kindly click the below link to authorize the cancelled transaction/s. In case of any discrepancies, please contact your distributor/advisor.

[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser  
<https://nseinvestuat.nseindia.com/mf/s?23b0545>

Kindly note that the transaction is valid till 23-Jan-2025 subject to realization of the cancellation.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,

Investor will have to click on View and authorize to authorize the cancellation of the SWP Order. The Authentication link will be going only to the 1<sup>st</sup> holder in the UCC.

**Pending to Authorize with OTP**

OTP  
 Cancel

|   |                                |                               |                                 |  |  |
|---|--------------------------------|-------------------------------|---------------------------------|--|--|
| Cancellation Request Date<br>22/01/2025 | STP Trn No.<br>202501221000002 | Folio No.<br>654321           | Registration Date<br>22/01/2025 | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH | Scheme Name(SI)<br>MOTILAL OSWAL FLEXI CAP FUND - REGULAR GROWTH |
|   |                                | Transaction Mode<br>Non-Demat | Start Date<br>28/02/2025        | Frequency<br>MONTHLY   | Total instalments<br>15  |
|   |                                |                               |                                 | Amount(SI)   Qty(SI)<br>5000   0   | Active Till<br>23/01/2025 23:59:59                               |

I authorize NSEMF, its affiliates, and the orders to act on any instructions believed to be genuine for any service authorized on this form.

I Agree Terms & Condition

Authorization Mode \*

Select Authorization Mode

Both

Email

Mobile

Reset

Investor needs to select the authorization mode and generate the OTP for authorization. He can resend the OTP if he didn't receive the same earlier.

**NSE Client Desk**

**STP Cancellation Authorization for NON DEMAT1 (NDM4)**

Distributor Name: \_\_\_\_\_

Distributor Contact No.: \_\_\_\_\_

Distributor Email: SARITAN@NSE.CO.IN

**STP Cancellation Confirmation for NON DEMAT1 (NDM4)**

|                                 |                                |                                 |  |   |
|---------------------------------|--------------------------------|---------------------------------|--|---|
| Cancellation Date<br>24/01/2025 | STP Trn No.<br>202501241000004 | Registration Date<br>24/01/2025 | Scheme Name(SI)<br>MOTILAL OSWAL BUSINESS CYCLE FUND - REGULAR PLAN - GROWTH | Scheme Name(SI)<br>MOTILAL OSWAL GOLD AND SILVER ETF'S FUND OF FUNDS REGULAR GROWTH |
|                                 | Folio No.<br>654321            | Transaction Mode<br>Non-Demat   | Start Date<br>14/03/2025   | Frequency<br>MONTHLY  |
|                                 |                                |                                 | Total instalments<br>20  | Amount(SI)   Qty(SI)<br>5000   0  |

Thank you for completing authorization. Transaction will be processed as per above mention.

On successful authentication, investor gets mail on confirmation of cancellation of the transaction with a copy to the member.

**Systematic Transaction Cancellation Confirmation**

Dear NON DEMAT1,

Greetings from NSE Invest.

Cancellation request for Systematic Reg no 202501241000004 placed on NSEINVEST platform has been authenticated successfully and submitted for further processing.

Thank you very much for choosing to transact on NSE Invest.

NSE / AMC shall have the right to reject the transaction / reverse the transaction processed earlier if the KYC, FIRC requirement or any regulatory requirement with regards to NRI customers is not fulfilled. In such case NSE / AMC shall not held responsible for any loss of return suffered by the concerned customer.

In case you need any clarification, please contact your Distributor or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

### How to modify a registered STP:

Member can modify the STP from the below path. The STP can be modified only after 3 instalments are reported to RTA.

Path: Menu> STP > STP Detail Change

Member needs to search the STP by entering the STP Registration number and then enter the details he wishes to modify in the STP.

**STP Detail Change**

STP Registration No. \*  
202604221000032

[View](#) [Reset](#)

**STP Basic Detail**

|  |   |  |  |
|--|---|--|--|
| <b>Client Code</b><br>NDIB5  | <b>Client Name</b><br>ANKUSH V  | <b>Depository</b><br>Non Demat               | <b>AMC</b><br>ANGEL ONE ASSET MANAGEMENT COMPANY LIMITED |
| <b>From Scheme Name</b><br>ANGEL ONE GOLD ETF FUND OF FUND - REGULAR PLAN - GROWTH | <b>To Scheme Name</b><br>ANGEL ONE NIFTY TOTAL MARKET INDEX FUND REGULAR - GROWTH | <b>Frequency Type</b><br>DAILY               |  |
| <b>SO Min. Installment Amount</b><br>250   | <b>SO Max. Installment Amount</b><br>999999999                                    | <b>SI Min. Installment Amount</b><br>250     | <b>SI Max. Installment Amount</b><br>999999999           |
| <b>SO Min. Installment Units</b><br>.001   | <b>SO Max. Installment Units</b><br>999999999                                     | <b>SI Installment Amount Multiplier</b><br>1 | <b>SI Installment Amount Multiplier</b><br>1             |

**STP Changeable Detail**

|   |   |                                      |   |
|---|---|--------------------------------------|---|
| <b>Primary Holder Mobile</b><br>Primary Holder Mobile | <b>Primary Holder Email</b><br>4USARITA@GMAIL.COM | <b>Transaction Mode</b><br>Non Demat | <b>DP Transaction Mode</b><br>Non Demat |
| <b>SubBroker Code</b><br>302514                       | <b>Sub Broker ARN Code</b><br>ARN-12345           | <b>ELIN</b><br>E123456               |   |
| <b>Folio Number</b><br>8524132                        | <b>Transfer Amount</b><br>1600                    | <b>Transfer Units</b><br>0           | <b>Remarks</b><br>Remarks               |

[Modify](#)

Additionally, the member can upload the bulk file in the below path to modify the STP.

Menu: STP > STP Bulk Upload

File Type: STP Detail Change

**STP Bulk Upload**

File Type \*  
STP DETAIL CHANGE

Browse File \*  
Select File [Browse](#) [Import](#) [Reset](#)

**only .txt file allowed with pipe(|) separated values. Kindly import file without header.**

- STP\_modification.txt

After uploading file, Member can view how many records are Processed Successfully and Failed. Member can also download the error records for failed records.

After registering the modification request from UI or by uploading file successfully, investor will receive an Email on his registered mail ID with a copy to the member and SMS on his registered mobile no for authorization.

**Authorization Request for Systematic Modification**

Mr. /Ms / M/s. ANKUSH V,  
Greetings from NSE Invest.  
We refer to your request received for the modification in the existing systematic registration.

| STP Modification Detail |  |
|-------------------------|--|
| <b>STP Reg No.</b>      | 202604221000033  |
| <b>Email</b>            | <a href="mailto:SARITAN@NSE.CO.IN">SARITAN@NSE.CO.IN</a> |
| <b>Mobile</b>           | 9769243465   |

Please click the link below to approve or reject the STP modification.  
[View & Authorize](#)

If you are not able to open the above link, please copy and paste the below text in your browser  
<https://nseinvestuat.nseindia.com/ml/a72bb8c920>

In case you need any clarification, please contact your Distributor 99043 - SARITA or you can also email to [support@nseinvest.com](mailto:support@nseinvest.com), quoting your Client code, PAN, mobile no. and your query.

We look forward to your continued support and assuring you of our best services always.

Thanks and Regards,  
National Stock Exchange of India Limited.

Investor needs to click on the "View & Authorize link" and then selects the mode of authorization to generate the OTP for authorization. He can resend the OTP if he didn't receive the same earlier. Investor gets the confirmation mail of STP Modification.

 Non-Confidential

### Systemetic Modification Authorization Confirmation

Dear ANKUSH V,

Greetings from NSE Invest.

Modification request for Systematic Reg no 202604221000032 placed on NSE MF INVEST platform has been authenticated successfully and submitted for further processing.

Thanks and Regards,  
National Stock Exchange of India Limited.

Disclaimer: The contents of this e-mail are the privileged and confidential material of National Stock Exchange of India Limited (NSE). The information is solely intended for the individual/entity it is addressed to. If you are not the intended recipient of this message, please be aware that you are not authorized in any way whatsoever to read, forward, print, retain, copy or disseminate this message or any part of it. If you have received this e-mail in error, we would request you to please notify the sender immediately by return e-mail and delete it from your computer. This e-mail message including attachment(s), if any, is believed to be free of any virus and NSE is not responsible for any loss or damage arising in any way from its use.

The data would be provided to the clients on an "as is" and "where-is" basis, without any warranty. The Exchange shall not be liable for any delay or any other interruption which may occur in providing the data due to any reason including network (Internet) reasons or snags in the system, break down of the system or any other equipment, server breakdown, maintenance shutdown, breakdown of communication services or inability of the Exchange to send the data. In no event shall the Exchange be liable for any damages, including without limitation direct or indirect, special, incidental, or consequential damages, losses or expenses arising in connection with the data provided by the Exchange through this facility.

Mutual Fund investments are subject to market risks. read all scheme related documents carefully amended and issued from time to time by the mutual funds.

In case the client didn't receive the authentication link, member can resend the same using the Menu > Utilities > Resend Communication

Product Type – STP Detail Change

### STP Reports

Menu: STP >>

- **STP Reg. & Can. Report** = This report gives data of STP registered till date and SWP Cancelled till date. Member can also Export this report in Excel and TXT format for their reference. Resend option of the STP authorization mail is available.
- 
- **STP Instalment Due Report** = This report fetches the data of STP instalment due for future dates based on From Date and To Date
- **STP Matured Report** = This report fetches the data of all those STP which are matured as on today only. Future date is not allowed here.

### Reports

- **Provisional Order Report – (Member Desk>Menu>Reports>Provisional Order Report)**

Report of any transactions placed by the member will be initially visible in this report. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

**Provisional Order Report**

Order No.

Order Request \*  ONLINE  OFFLINE

From Date \*  To Date \*  OR Order Status \*  Trans Type \*  Order Type

Sub Order Type  Settlement Type  AMC  Scheme Name  Client Code/Name

Note: Online order filtration work on transaction order date and Offline order filtration work on transaction request date.

---

**Online Provisional Order Report - 10-01-2025 To 10-01-2025**

50 entries per page

| Sl. No. | Member Code | Date       | Time     | Order No.    | Sett. No. | Client Code | Client Name | Scheme Code | Scheme Name  | ISIN         | Buy/Sell | Amount | Units | EP Trans. | DP/Folio No. | Folio No. | Entry By | Order Status | Order Remark      | Internal Ref No. | Sett. Type | Order Type      | SP Regn No. | SP Regn Date | Subtr Code | EUN | ALL Desc | ALL Units | Disc | Order Type |
|---------|-------------|------------|----------|--------------|-----------|-------------|-------------|-------------|--|--------------|----------|--------|-------|-----------|--------------|-----------|----------|--------------|-------------------|------------------|------------|-----------------|-------------|--------------|------------|-----|----------|-----------|------|------------|
| 1       | 99643       | 10/01/2025 | 11:05:59 | 450100000059 | 202508    | NDI1        | NON DEMAT1  | HDFCFDP.L1  | HDFC BALANCED ADVANTAGE FUND - DIRECT PLAN - IDCW PAYOUT | INF178601V93 | P        | 200000 |       | PHYSICAL  |              |           | admin    | VALID        | PROVISIONAL ORDER | L1               | SIP        | 202501101000002 | 10/01/2025  |              |            | N   | N        | Y         | NR   |            |
| 2       | 99643       | 10/01/2025 | 11:37:49 | 450100000060 | 202508    | NDI1        | NON DEMAT1  | BSL155A.G   | ADITYA BIRLA SUN LIFE LIQUID FUND - DIRECT PLAN          | INF3090R9L8  | P        | 500    |       | PHYSICAL  |              |           | admin    | VALID        | PROVISIONAL ORDER | L1               | KSP        | 202501101000003 | 10/01/2025  | E103495      | Y          | N   | Y        | NR        |      |            |

Total Records: 2 | Report Total Amount: 200,500 | Total Units: 6

Showing 1 to 2 of 2 entries

**Order Status report- (Member Desk>Menu>Reports>Order Status Report)**

Member can view the Status of Orders placed. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference Member can view the status of the orders if they are approved or rejected by the AMC.

**Order Status Report**

Order No.

From Date \*  To Date \*  OR Order Status \*  Trans Type \*  Order Type  Sub Order Type

Settlement Type  AMC  Scheme Name  Client Code/Name

---

**Order Status Report - 10-01-2025 To 10-01-2025**

50 entries per page

| Sl. No. | Member Code | Order Date | Order Time | Order No.    | Sett. No. | Client Code | Client Name | Scheme Code | Scheme Name                               | ISIN        | Buy/Sell | Amount | Units | EP Trans. | DP/Folio No. | Folio No. | Entry By | Order Status | Order Remark  | Internal Ref No. | Sett. Type | Order Type | SP Regn No. | SP Regn Date | Subtr Code | EUN | ALL Desc | ALL Units | Disc | Order Type | Final Order | Purchase/Redeem (Fresh/Additional) |
|---------|-------------|------------|------------|--------------|-----------|-------------|-------------|-------------|---|-------------|----------|--------|-------|-----------|--------------|-----------|----------|--------------|---------------|------------------|------------|------------|-------------|--------------|------------|-----|----------|-----------|------|------------|-------------|------------------------------------|
| 1       | 99643       | 10/01/2025 | 09:01:00   | 450200001123 | 202508    | NDI27       | NON DEMAT27 | KAS2.GR     | KOTAK LIQUID FUND - REGULAR PLAN - GROWTH | INF174431N6 | P        | 10000  | 0.000 | PHYSICAL  | 123547       |           | admin    | INVALID      | ORDER EXPIRED | T1               | NRM        |            |             |              |            | N   | N        | Y         | NRM  | N          | FRESH       | PLI                                |

Total Records: 1 | Report Total Amount: 10,000 | Total Units: 0

**File import summary report**

Menu: Reports> File import summary report

Members can view all the files they have imported on their login. Member can select type of module and date to get respective file report.

**File Import Summary Report**

From Date \*  To Date \*  Module Name

- ALL
- Bulk Upload - Client Bank Upload
- Bulk Upload - Client Master Common
- Bulk Upload - FATCA COMMON
- Bulk Upload - FATCA INDIVIDUAL
- Bulk Upload - Order Cancellation
- Bulk Upload - Order Entry

## Client FRESH EKYC Status Report –

Menu: Reports> Client Fresh E-KYC Status Report

Members can view the EKYC status of fresh KYC registration done by him of his clients in this report.

## ○ Allotment Statement report- (Member Desk>Menu>Reports> Allotment Statement report)

Using this Allotment Statement Report Member can check the unit allotment of the transactions. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

## ○ Redemption Statement report- (Member Desk>Menu>Reports> Redemption Statement report)

Member can check status of redemption orders after the same is processed by the RTAs. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

## ○ Redemption Payout date report- (Member Desk>Menu>Reports> Redemption Payout date report)

Member can check the redemption payout status of redemption orders. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

**○ Security Delivery and Shortage report- (Member Desk>Menu>Reports> Security Delivery and Shortage report)**

Member can check Transaction Orders for whom units are allotted and yet to be allotted and Shortage in units for specific Order. Member can also export this report in Excel and TXT format for their reference.

**○ Refund report- (Member Desk>Menu>Reports>Refund Report)**

Member can check the refund status of invalid purchase orders. Member can apply filters as per requirement to generate reports. Member can also export this report in Excel and TXT format for their reference.

**○ Member Fund Allocation Order Wise Report Other Than PA (Member Desk>Menu>Reports> Member Fund Allocation Order Wise Report Other Than PA)**

This report displays the allocation of funds order wise received through

**○ 2FA Report (Member Desk>> Reports>>2FA Report)**

Member can view the authorization details of the order like authorisation date, time and email id and mobile of all the holder who have authenticated the order for all types of transactions. Member can also export this report in Excel or TXT format for their reference.

**2FA Report**

Product

OR

From Date \*  To Date \*

Client Name/ UCC  Order Type

**Order Lifecycle Report (Member Desk>>Reports>>Order Lifecycle Report)**

Member can view the complete lifecycle of the order including order authentication status by respective holders, payment mode, payment status, reconciliation status, settlement details, refund details if applicable for all orders placed on NSE MF Invest Platform. Member can also export this report in Excel and TXT format for their reference.

**Order Lifecycle Report**

Product

OR

From Date \*  To Date \*

Client Name/ UCC  Order Type

**UCC onboarding Detail report**

Member can check the status of UCCs onboarded by him by entering UCC/PAN details. KYC status, UCC Status, AOF and FATCA status can be viewed.

**NSE** Mutual Fund Platform **Member Desk** Select Menu

Session expire in **27m 33s**
Sett. No. **2025143**
Current Time **29th July, 2025 12:29:43**
WELCOME, TEST NJ MEMBER
ADMIN

**UCC Onboarding Detail Report**

Search By \*  Search Value \*

**UCC Onboarding Detail Report**

50 entries per page

| Member ID | Trusted Mode | Client Code | Tax Status      | Depository | KYC Checked | Active | Holding Type | Applicant PAN | Applicant Name | Karvy Direct AOF | Karvy Normal AOF | CAMS Direct AOF | CAMS Normal AOF | Karvy FATCA | CAMS F |
|-----------|--------------|-------------|-----------------|------------|-------------|--------|--------------|---------------|----------------|------------------|------------------|-----------------|-----------------|-------------|--------|
| 12346     | N            | TEJ8005     | 01 - INDIVIDUAL | PHYS       | N           | Y      | First        | COBPL6545F    | TEJAS          | PRESENT          | PRESENT          | PRESENT         | PRESENT         | PRESENT     | PRES   |

Showing 1 to 1 of 1 entry

**Order – Bank Verification Report (Member Desk>Menu>Reports> Order – Bank Verification Report)**

Member can view this Report where purchase orders are received for UCCs having one or more un-verified bank account. Client will not be able to make payment until the bank account gets verified. Member can share the bank proof using the Client Cancel Cheque upload option. Member can also export this report in Excel and TXT format for their reference.

The screenshot shows the 'Order - Bank Verification Report' form. It features a search bar for 'Order No.' and a date range filter for 'From Date' (06-02-2025) and 'To Date' (06-02-2025). There are also dropdown menus for 'Order Type' (set to 'ALL') and 'Client Code/Name'. At the bottom right, there are three buttons: 'View', 'Export To Excel', and 'Reset'.

**Transaction Detail Report: (Member Desk>Menu>Reports> Transaction Detail Report)**

Member can view the real-time status of the transactions placed by him. The report includes all transaction types.

The screenshot shows the 'Transaction Detail Report' form. It includes a search bar for 'Order ID' and 'Systematic Reg. ID'. There are also dropdown menus for 'Search By' (set to 'NONE') and 'Date Type' (set to 'Request Date'). Date range filters for 'From Date' (04-12-2025) and 'To Date' (04-12-2025) are present. At the bottom, there are three buttons: 'View', 'Export To Excel', and 'Reset'.

**Client Detail Report: (Member Desk>Menu>Reports> Client Detail Report)**

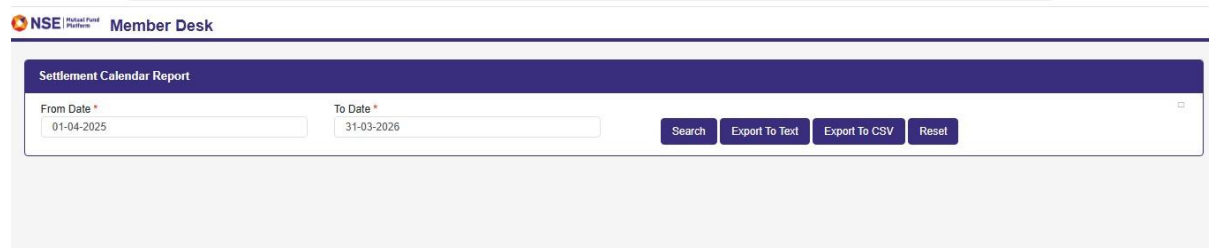
Members can view a detailed view of the clients created in his code and the status of the same.

The screenshot shows the 'Client Detail Report' form. It features a search bar for 'Member Code' (set to 'NONE') and a dropdown menu for 'Date Type' (set to 'Modified Date'). Date range filters for 'From Date' (04-12-2025) and 'To Date' (04-12-2025) are present. There is also a text input field for 'Authorization Status'. At the bottom, there are three buttons: 'View', 'Export To Excel', and 'Reset'.

## Downloads

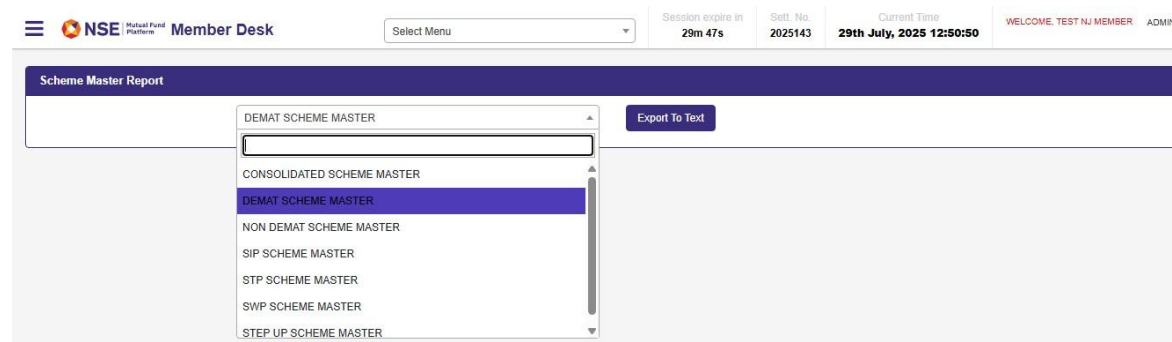
### Settlement calendar report-

Member can view and download the settlement calendar.



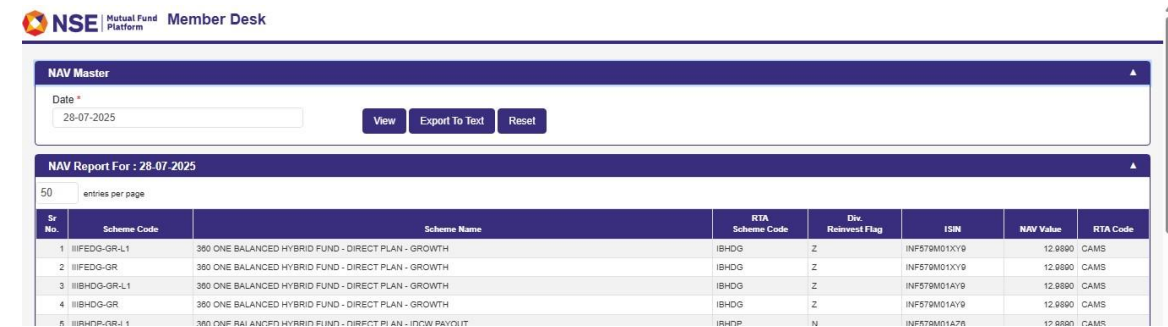
### Scheme Master Report –

Member can view and download the consolidated, Demat, Non-demat, SIP, STP, SWP scheme Masters.



### NAV =

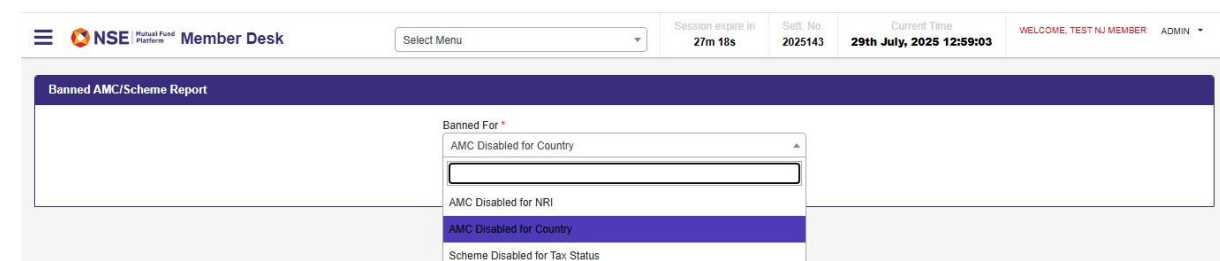
Members can view and download the NAV.



| Sr No. | Scheme Code   | Scheme Name  | RTA Scheme Code | Div. Reinvest Flag | ISIN         | NAV Value | RTA Code |
|--------|---------------|--|-----------------|--------------------|--------------|-----------|----------|
| 1      | IIIFEDG-GR-L1 | 380 ONE BALANCED HYBRID FUND - DIRECT PLAN - GROWTH        | IBHDG           | Z                  | INF578M01XY9 | 12.8890   | CAMS     |
| 2      | IIIFEDG-GR    | 380 ONE BALANCED HYBRID FUND - DIRECT PLAN - GROWTH        | IBHDG           | Z                  | INF578M01XY9 | 12.8890   | CAMS     |
| 3      | IIIBHDG-GR-L1 | 380 ONE BALANCED HYBRID FUND - DIRECT PLAN - GROWTH        | IBHDG           | Z                  | INF578M01AY9 | 12.8890   | CAMS     |
| 4      | IIIBHDG-GR    | 380 ONE BALANCED HYBRID FUND - DIRECT PLAN - GROWTH        | IBHDG           | Z                  | INF578M01AY9 | 12.8890   | CAMS     |
| 5      | IIIRHDP-GR-L1 | 380 ONE BALANCED HYBRID FUND - DIRECT PLAN - INDIAN PAYOUT | IRHDP           | N                  | INF578M01A78 | 12.8890   | CAMS     |

### Banned AMC/Scheme Report

Members can download the list of all AMCs which are banned in country, banned for NRI investors, banned for tax status.



## **ADMIN**

### **User Master**

Member can create multiple users under his login. He can register/view and edit the user details.

The screenshot shows the 'User Master' form in the NSE Member Desk. The form is divided into three main sections: Login Details, ARN Details, and Contact Details. The top navigation bar includes the NSE logo, 'Member Desk', a 'Select Menu' dropdown, session information (29m 48s), settlement number (2025143), current time (29th July, 2025 13:46:57), and user information (WELCOME, TEST NJ MEMBER ADMIN).

**Login Details:**

- Member Code: 12346 - TEST NJ MEMBER
- User Name \*:
- Login ID \*:
- Date Of Birth \*:

**ARN Details:**

- Member ARN Code: ARN-3659874
- Sub Broker Code:
- Sub Broker ARN:
- EUIN No.:

**Contact Details:**

- Address:
- Country:
- State:
- City:
- Pin Code:
- Phone:
- Mobile:
- Fax No.:

The second screenshot shows the 'User Master' form with search filters:

- Member Code: 12346 - TEST NJ MEMBER
- Login ID:
- From Date \*:
- To Date \*:
- Buttons: View, Reset

**User Master Report:**

10 entries per page

| Sr No | Login ID | Username | Member ARN Code | Sub Broker ARN Code | Access Level | Status | Action | Creation Date       |
|-------|----------|----------|-----------------|---------------------|--------------|--------|--------|---------------------|
| 1     | 91985    | BULBUL   | ARN-3659874     |                     | SUPER USER   | Active |        | 04/09/2024 17:36 PM |
| 2     | ADMIN    | ADMIN    | ARN-3659874     | ARN-9109502         | SUPER USER   | Active |        | 02/08/2024 13:12 PM |
| 3     | USER     | USER     | ARN-3659874     |                     | FULL ACCESS  | Active |        | 11/02/2025 16:43 PM |

Showing 1 to 3 of 3 entries

### **Rights Management**

Member can manage the right of role by using this functionality.

Member can select the role and after that member can give access to selected functionality from the present modules from member desk.

**NSE Mutual Fund Platform Member Desk** | Select Menu | Session expire in 28m 24s | Setf. No. 2025143 | Current Time 29th July, 2025 13:58:11 | WELCOME, TEST NJ MEMBER ADMIN

**Rights Management**

Role \*

- Select Role
- CLICK
- ABV
- ADMIN
- BACKOFFICE
- DEALER
- DHAVAL

---

**NSE Mutual Fund Platform Member Desk** | Select Menu | Session expire in 29m 55s | Setf. No. 2025143 | Current Time 29th July, 2025 13:58:31 | WELCOME, TEST NJ MEMBER ADMIN

**Rights Management**

Role \*

**Rights Management**

- Main Menu
  - Quick Order
  - View Order
  - Switch Order
  - Masters
  - Utilities
  - Reports
  - Downloads
  - Admin
  - SIP
  - X SIP
  - STP
  - SWP
  - STEPUP
  - NFT

## AMC Mapping

In this module member can map the AMCs he has empanelled with. He can map/unmap the AMCs. Mapped AMCs will only be visible for member to place transactions.

**NSE Mutual Fund Platform Member Desk** | Select Menu | Session expire in 29m 49s | Setf. No. 2025143 | Current Time 29th July, 2025 13:59:23 | WELCOME, TEST NJ MEMBER ADMIN

**AMC Mapping**

Member Name : TEST NJ MEMBER

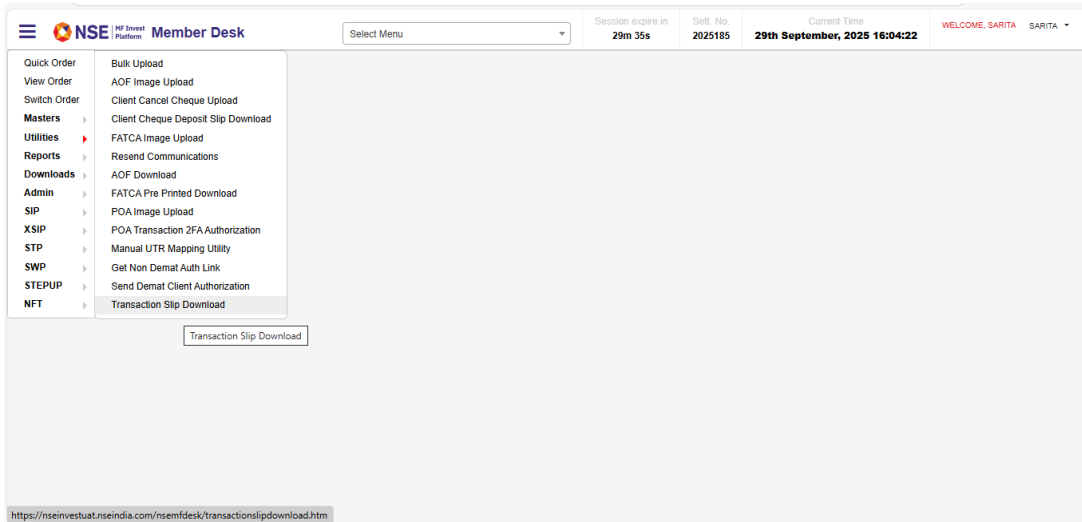
**AMC Mapping**

| Enabled                             | AMC Name                                   | RTA Name |
|-------------------------------------|--|----------|
| <input type="checkbox"/>            | 360 ONE MUTUAL FUND                        | CAMS     |
| <input type="checkbox"/>            | ADITYA BIRLA SUN LIFE AMC LIMITED          | KARVY    |
| <input checked="" type="checkbox"/> | AISHWARYA                                  | KARVY    |
| <input type="checkbox"/>            | AMC TEST                                   | CAMS     |
| <input type="checkbox"/>            | AMC VIJAYA                                 | KARVY    |
| <input checked="" type="checkbox"/> | ANGEL ONE ASSET MANAGEMENT COMPANY LIMITED | CAMS     |
| <input checked="" type="checkbox"/> | ANGLE ONE PVT LTD                          | CAMS     |
| <input checked="" type="checkbox"/> | ANZ MUTUAL FUND                            | CAMS     |

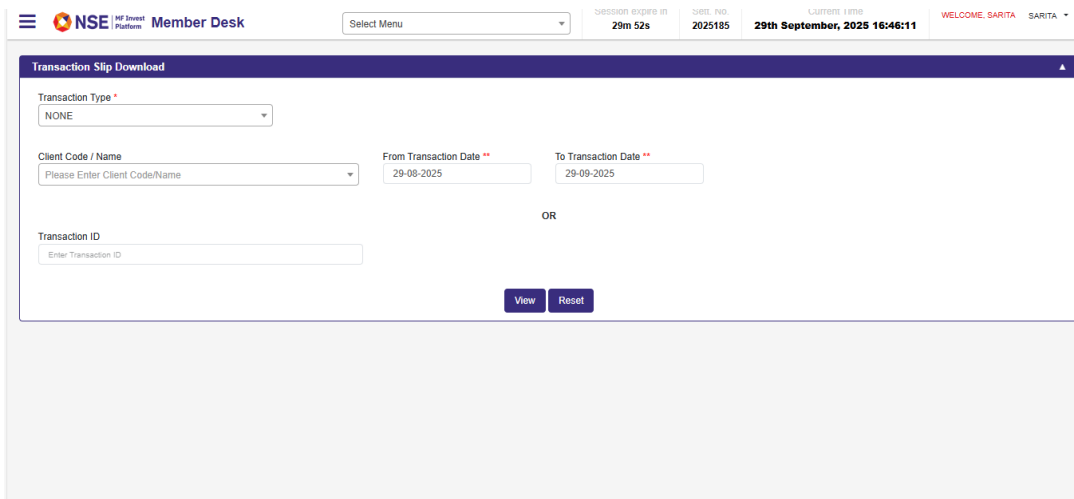
## Transaction Slip Download

In this module, member can download the transaction slips for the transactions placed by him. The slips can be downloaded for Purchase, Redemption, Switch, SIP, STP and SWP.

Path: Menu> Utilities >Transaction Slip Download.



Member needs to select the transaction type and a date range to download the slips. Additionally, member can also mention the UCC. The slip can be downloaded by mentioning the transaction Id as well.



|                                    |                                |
|------------------------------------|--------------------------------|
| Member Code / Name: 99943 - SARITA | Sub Broker Code: 987654        |
| Transaction Type: Purchase         | Sub Broker ARN Code: ARN-99943 |
| Transaction Mode: Physical         | EJIN: E123456                  |

| UCC Details                           |                           |
|---------------------------------------|---------------------------|
| Client Code: NMS6                     | Date of Birth: 01-01-1995 |
| Tax Status: INDIVIDUAL                | Holding Nature: Single    |
| Name of the First Applicant: ANKUSH V | PAN: ASDPN241P            |
| Name of the Guardian:                 | PAN:                      |
| Name of Second Applicant:             | PAN:                      |
| Name of Third Applicant:              | PAN:                      |

| Scheme Name  | Transaction Ref. No. | Folio No. | Amount/Units  | Transaction Date |
|--|----------------------|-----------|---------------|------------------|
| 33-DC-ADITYA BIRLA SUN LIFE TAX RELIEF WF FUND - (DC) REG. OF IT ACT) - IDCIR-REGULAR PLAN | 452990000193         |           | 10,000.00 (A) | 26-Sep-2025      |

**Declaration**

I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purposes of the collection of any Act, Rules, Regulations, Notifications or Directions of the government of India from time to time. I/We confirm that the payment for subscription of the units of the Schemes shall be made from own bank account(s) and that the payment is in compliance with the provisions detailed above. "Restrictions on Acceptance of Third Party payments for subscription of units."

I/We understand that for payment through the e-banking, transaction request shall be through a payment gateway and the NSE / NSCCL will not be liable for any failure in the link or for any fraud or delay (either at the payment gateway's end and/or the bank's end) that could take place at the time of making payment.

I understand that any transaction request by me on a non-business day or request submitted after the applicable cut-off time for the schemes on any business day will be processed on the next business day at the applicable NFO subject to reallocation of funds and acceptance of conditions by the AMC/Authorized Registrar and Transfer agents and that the electronic time stamping on the transaction slip received through NSE MF INVEST shall be deemed to be the time stamping.

I/We agree that the Units will be created based on the credit receipt in the AMC's account and not based on the date of debit from my/our account or credit receipt in the NSCCL, etc.

For NRIs: I/We confirm I am/We are Non-Resident of Indian Nationality / Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External/Non-Resident Ordinary/FOR account. I/We also agree to provide required evidence for such fund transfer including FIRC proof.

For Corporates: I/We confirm that I/We are duly authorized by the applicant corporate body to enter into this transaction and that the applicant corporate body is bound by the terms of the Scheme Information Document.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to invest.

This transaction request was generated by Member code 99943 through NSE MF INVEST platform at 10:14 on 26-September-2025.  
The transaction request is already timestamped electronically.

| Acknowledgement Slip NSE MF INVEST  |                      |           |                       |                  |
|---|----------------------|-----------|-----------------------|------------------|
| Received from Mr. Ms. ANKUSH V an application of purchase of units of (Gross Investment Amount) Rs. 10,000/- (Ten Thousand Rupees Only) |                      |           | Acknowledgement Stamp |                  |
| Scheme Name   | Transaction Ref. No. | Folio No. | Amount/Units          | Transaction Date |
| 33-DC-ADITYA BIRLA SUN LIFE TAX RELIEF WF FUND - (DC) REG. OF IT ACT) - IDCIR-REGULAR PLAN  | 452990000193         |           | 10,000.00 (A)         | 26-Sep-2025      |

**Client Sub broker Mapping:** In this module, member can map the EJIN / Sub Broker code / Sub Broker ARN to UCC / Investor, to avoid manual entry mistakes leading to order rejections.

Path: Menu > Utilities > Bulk Upload > Client Sub broker Mapping

Data to be uploaded without header, Client code and EJIN is Mandatory – Rest are optional.

**Bulk Upload**

File Type \* Browse File \*

CLIENT SUBBROKER MAPPING x v

Select File... Browse

Upload Reset Download Mapping

**i** Only .csv or .txt file allowed with pipe (|) separated values. **i** Kindly import file without header.

- CLIENT\_SUBBROKER\_MAPPING.csv
- CLIENT\_SUBBROKER\_MAPPING.txt