

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**Checklist for release of 1% Security Deposit****A. Part I – Obtaining NOC from Exchange for release of 1% Security Deposit**

In order to initiate the process of release of 1% security deposit, the issuer is required to obtain NOC from the Exchange. To obtain the same, the issuer should submit following documents to the Exchange.

Sr. No	Particulars
1	Confirmation from BRLM as per Annexure I (BRLM who is responsible for post-bidding activities should provide this confirmation)
2	Confirmation from Company as per Annexure II
3	Certificate from Auditor as per Annexure III

B. Part II- Release of 1% Security Deposit

After obtaining NOC from the Exchange for release of 1% Security Deposit, the issuer is required to obtain NOC from SEBI. On receipt of the same, following documents should be submitted to the Exchange.

Sr. No	Particulars
1	NOC received from SEBI for release of 1% Security Deposit
2	Soft copy of the cancelled cheque of the Bank Account of the Issuer.

Please submit the above-mentioned documents via email to DL-LISA@nse.co.in and DL-IPO@nse.co.in